

## Module 17 : Surfaces, Surface Area, Surface integrals, Divergence Theorem and applications

### Lecture 49 : Surfaces and parameterizations [Section 49.1]

#### Objectives

In this section you will learn the following :

- The notion of a surface
- Parameterization of a surface

#### 49.1.1 Definition :

Let  $F : D \subseteq \mathbb{R}^3 \rightarrow \mathbb{R}$  be a scalar field and  $c \in \mathbb{R}$ . Then

$$S = \{(x, y, z) \in D \mid F(x, y, z) = c\}$$

is called a **surface** in  $D$

#### 49.1.2 Examples :

## 1. Linear surface:

Let  $F(x,y,z) = ax + by + cz$ , be a linear function of the variables  $x, y$  and  $z$ , where  $a, b, c \in \mathbb{R}$ . Then, the surface

$$IP := \{(x,y,z) \in \mathbb{R}^3 \mid ax + by + cz = k\}$$

is called a **plane**.

## 2. Quadratic surface:

Let

$$F(x,y,z) = Ax^2 + By^2 + Cz^2 + Dxy + Exz + Fyz + ax + Hy + Iz + J,$$

where  $(x,y,z) \in \mathbb{R}^3$  and  $A, B, C, D, E, F, G, H, I$  and  $J$  are real numbers. This is called a **second-degree equation in variables**  $x, y, z$ . The surface

$$S := \{(x,y,z) \in \mathbb{R}^3 \mid F(x,y,z) = k\}$$

is called a **quadratic surface** in  $\mathbb{R}^3$ . Some special cases are of a quadratic surface are the following:

1. For

$$F(x,y,z) = x^2 + y^2 \text{ with } k = a^2 \text{ for some } a > 0,$$

the quadratic surface

$$S = \{(x,y,z) \in \mathbb{R}^3 \mid x^2 + y^2 = a^2\},$$

is called the **circular-cylinder**.

2. For

$$F(x,y,z) = x^2 + y^2 + z^2 \text{ with } k = a^2, a > 0,$$

the quadratic surface

$$\{(x,y,z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = a^2\}$$

is the **sphere** of radius  $a$ .

3. For

$$F(x,y,z) = \frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2}, k = 1,$$

the quadratic surface

$$S = \left\{ (x,y,z) \in \mathbb{R}^3 \mid \frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1 \right\}$$

is called the **ellipsoid**.

4. For

$$F(x, y, z) = \frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2}, k = 1$$

the quadratic surface

$$\left\{ (x, y, z) \in \mathbb{R}^3 \mid \frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1 \right\}$$

is called the **hyperboloid of one sheet**.

### 3. Surfaces as graph of a function:

Surfaces also arise as graphs of functions of two variables. Let  $f : D \subseteq \mathbb{R}^2 \rightarrow \mathbb{R}$  be a function. Then its graph

$$\begin{aligned} G(f) &:= \left\{ (x, y, z) \in \mathbb{R}^3 \mid (x, y) \in D, z = f(x, y) \right\} \\ &= \left\{ (x, y, z) \in \mathbb{R}^3 \mid F(x, y, z) = f(x, y) - z = 0, (x, y) \in D \right\} \end{aligned}$$

is a surface. In the vector form we can write  $G(f)$  as the image of the function  $(x, y) \mapsto \mathbf{r}(x, y)$  given by

$$\mathbf{r}(x, y) = x \mathbf{i} + y \mathbf{j} + f(x, y) \mathbf{k}, (x, y) \in D.$$

The above example motivates the following:

#### 49.1.3 Definition :

1. A function  $\mathbf{r} : D \subseteq \mathbb{R}^2 \rightarrow \mathbb{R}^3$ ,

$$\mathbf{r}(u, v) = x(u, v) \mathbf{i} + y(u, v) \mathbf{j} + z(u, v) \mathbf{k}, (u, v) \in D$$

is called a **parameterization** of the surface

$$S := \left\{ (x, y, z) \in \mathbb{R}^3 \mid x = x(u, v), y = y(u, v), z = z(u, v), (u, v) \in D \right\}.$$

2. We say  $S$  is a **smooth surface** if all the functions  $x(u, v)$ ,  $y(u, v)$ ,  $z(u, v)$  are continuous and have continuous partial derivatives in  $D$ .

#### 49.1.4 Examples :

1. The Plane

$$IP = \left\{ (x, y, z) \in \mathbb{R}^3 \mid ax + by + cz = d \right\}$$

has parameterizations

$$\mathbf{r}(x, y) = x \mathbf{i} + y \mathbf{j} + \left[ \frac{d}{c} - \left( \frac{a}{c} x + \frac{b}{c} y \right) \right] \mathbf{k}, (x, y) \in \mathbb{R}^2,$$

if  $c \neq 0$ .

2. Let  $S$  be the sphere of radius  $a$ ,

$$S = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = a^2\}.$$

A parameterizations of  $S$  is given by the spherical coordinates:

$$\mathbf{r}(\theta, \phi) = x(\theta, \phi) \mathbf{i} + y(\theta, \phi) \mathbf{j} + z(\theta, \phi) \mathbf{k},$$

where

$$\left. \begin{aligned} x(\theta, \phi) &= a \cos \theta \cos \phi \\ y(\theta, \phi) &= a \cos \theta \sin \phi \\ z(\theta, \phi) &= a \sin \theta \end{aligned} \right\}, 0 \leq \theta \leq 2\pi, -\pi/2 \leq \phi \leq \pi/2.$$

3. Consider the elliptic-cylinder

$$S = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + 4y^2 = 4, z \in \mathbb{R}\}.$$

A parameterization of  $S$  is given by

$$\mathbf{r}(\theta, z) = x(\theta, z) \mathbf{i} + y(\theta, z) \mathbf{j} + z(\theta, z) \mathbf{k},$$

where

$$\left. \begin{aligned} x(\theta, z) &= 2 \cos \theta \\ y(\theta, z) &= 2 \sin \theta \\ z(\theta, z) &= z \end{aligned} \right\}, 0 \leq \theta \leq 2\pi, z \in \mathbb{R}.$$

#### 49.1.5 Definition :

Given a surface  $S$  with parameterization  $\mathbf{r}(u, v)$ ,  $(u, v) \in D$ , the curves  $v \mapsto \mathbf{r}(u, v)$  for a fixed  $u$

and

$v \mapsto \mathbf{r}(u, v)$  for any fixed  $v$ ,

are called the **coordinate-curves** of the surface  $S$ .

Coordinate curves are useful in visualizing the surface.

#### 49.1.6 Example :

Consider a surface  $S$  with parameterization:

$$\mathbf{r}(u, v) = u \cos v \mathbf{i} + u \sin v \mathbf{j} + u \mathbf{k}, (u, v) \in \mathbb{R}^2.$$

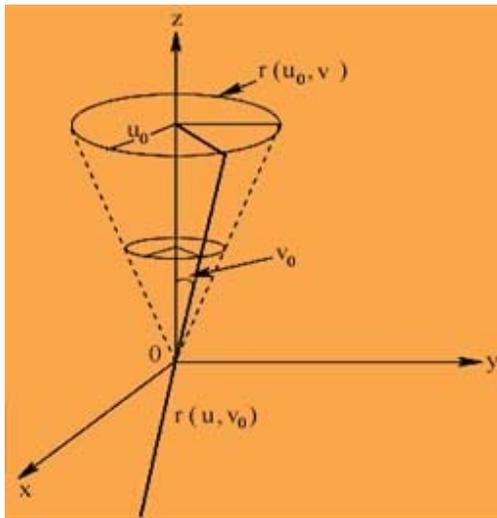
For  $u_0$  fixed, the coordinate curve

$$v \mapsto r(u_0, v) = u_0 \cos v \mathbf{i} + u_0 \sin v \mathbf{j} + u_0 \mathbf{k}$$

is a circle of radius  $u_0$  in the plane  $z = u_0$ . For  $v_0$  fixed, the coordinate curve

$$u \mapsto r(u, v_0) = u \cos v_0 \mathbf{i} + u \sin v_0 \mathbf{j} + u \mathbf{k}$$

is the line at an angle  $v_0$  from the  $z$ -axis.



**Figure: Coordinate curves of  $\mathcal{S}$**

In section 35.1, we defined the normal vector to  $\mathcal{S}$ , namely the vector  $\nabla F$ , provided it is nonzero. We describe this in terms of a parameterization of  $\mathcal{S}$ .

**49.1.7 Theorem:**

Let

$$\mathcal{S} = \{(x, y, z) \in D \mid F(x, y, z) = c\}$$

be a smooth surface with a parameterization

$$\mathbf{r}(u, v) = x(u, v)\mathbf{i} + y(u, v)\mathbf{j} + z(u, v)\mathbf{k}, (u, v) \in D.$$

Then,

$$\nabla F = \pm (\mathbf{r}_u \times \mathbf{r}_v),$$

and the unit normal vector to  $\mathcal{S}$  at any point is given by

$$\mathbf{n} = \pm \frac{\nabla F}{|\nabla F|} = \pm \left( \frac{\mathbf{r}_u \times \mathbf{r}_v}{|\mathbf{r}_u \times \mathbf{r}_v|} \right).$$



Since  $(x, y, z) \in \mathcal{S}$  satisfies  $F(x, y, z) = c$ , we have

$$F(x(u, v), y(u, v), z(u, v)) = c, (u, v) \in D.$$

Hence,

$$\begin{aligned} 0 &= \frac{\partial F}{\partial x} \frac{\partial x}{\partial u} + \frac{\partial F}{\partial y} \frac{\partial y}{\partial u} + \frac{\partial F}{\partial z} \frac{\partial z}{\partial u} \\ &= (\nabla F) \cdot \mathbf{r}_u. \end{aligned} \quad \text{-----(60)}$$

Similarly,

$$(\nabla F) \cdot \mathbf{r}_v = 0. \quad \text{-----(61)}$$

From (60) and (61) it follows that at every point on  $\mathcal{S}$ , the vectors  $\nabla F$  and  $\mathbf{r}_u \times \mathbf{r}_v$  are parallel to each other, i.e.,

$$\nabla F = \pm (\mathbf{r}_u \times \mathbf{r}_v).$$

Hence, the unit normal vector to  $\mathcal{S}$  at any point is given by

$$\mathbf{n} = \pm \left( \frac{\mathbf{r}_u \times \mathbf{r}_v}{|\mathbf{r}_u \times \mathbf{r}_v|} \right).$$

#### 49.1.8 Example:

Let a surface  $\mathcal{S}$  be explicitly representable in the form

$$z = h(x, y), (x, y) \in \mathcal{R}.$$

Then,

$$\mathcal{S} = \{(x, y, z) | F(x, y, z) = h(x, y) - z = 0, (x, y) \in \mathcal{R}\}.$$

Hence,

$$\nabla F = h_x \mathbf{i} + h_y \mathbf{j} - \mathbf{k}.$$

Thus, if we give  $\mathcal{S}$  the parameterization

$$\mathbf{r}(x, y) = x \mathbf{i} + y \mathbf{j} + h(x, y) \mathbf{k},$$

then

$$\mathbf{r}_x \times \mathbf{r}_y = \pm \nabla F = \pm (h_x \mathbf{i} + h_y \mathbf{j} - \mathbf{k}).$$

Hence, the unit normal is

$$\mathbf{n} = \pm \left[ \frac{-h_x \mathbf{i} - h_y \mathbf{j} + \mathbf{k}}{\sqrt{1 + h_x^2 + h_y^2}} \right].$$

#### Practice Exercise

1. Find a parameterizations of the surface  $\mathcal{S}$  given below:

1. The portion of the cone

$$2z = \sqrt{x^2 + y^2},$$

in the first octant between the planes  $z = 0$  and  $z = 3$ .

2. The portion of the sphere

$$x^2 + y^2 + z^2 = 3,$$

between the planes  $2z = \sqrt{3}$  and  $2z = -\sqrt{3}$ .

3. The portion of the plane

$$x + y + z = 1$$

inside the cylinder  $x^2 + y^2 = 9$ .

**Answer:**

$$(i) \mathbf{r}(u, v) = (u \cos v)\mathbf{i} + (u \sin v)\mathbf{j} + \frac{u}{2}\mathbf{k}, 0 \leq u \leq 6, 0 \leq v \leq 2\pi$$

$$(ii) \mathbf{r}(\varphi, \phi) = (\sqrt{3} \sin \phi \cos \varphi)\mathbf{i} + (\sqrt{3} \sin \phi \sin \varphi)\mathbf{j} + (\sqrt{3} \cos \phi)\mathbf{k}, \\ 0 \leq \varphi \leq 2\pi, \pi/3 \leq \phi \leq 2\pi/3$$

$$(iii) \mathbf{r}(u, v) = (u \cos v)\mathbf{i} + (u \sin v)\mathbf{j} + (1 - u \cos v - 4 \sin v)\mathbf{k} \quad 0 \leq u \leq 3, 0 \leq v \leq 2\pi$$

2. Compute the unit normal vector at a point on the following surfaces:

1.  $\mathbf{r}(u, v) = u\mathbf{i} + v\mathbf{j} + (u^2 + v^2)\mathbf{k}, (u, v) \in \mathbb{R}^2$ .

2.  $\mathbf{r}(u, v) = (2 + \cos u) \cos v\mathbf{i} + (2 + \cos v) \sin v\mathbf{j} + \sin u\mathbf{k}, 0 \leq u \leq 2\pi, 0 \leq v \leq 2\pi$ .

Answer:

$$(i) \frac{-2u\mathbf{i} - 2v\mathbf{j} + \mathbf{k}}{\sqrt{5}}$$

$$(ii) \frac{-(2 + \cos v)(\cos v \cos u\mathbf{i} + \sin v \cos u\mathbf{j} + \sin u\mathbf{k})}{\sqrt{2 + \cos u}}$$

3. A surface  $\mathcal{S}$  is obtained by revolving the graph of the function  $f(x) = 1/x, 1 \leq x \leq 5$ , about  $x$ -axis. Find a parameterization of  $\mathcal{S}$ .

Answer:

$$\mathbf{r}(x, y) = x\mathbf{i} + \frac{1}{x} \cos v\mathbf{j} + \frac{1}{x} \sin v\mathbf{k}, 1 \leq x \leq 5, 0 \leq v \leq 2\pi$$

## Recap

In this section you have learnt the following

- The notion of a surface
- Parameterization of a surface

## [Section 49.2]

### Objectives

In this section you will learn the following :

- How to define the integrals of a scalar field over a curve.

## 49.2 Area of a Surface

### 49.2.1 Definition:

Let  $\mathcal{S}$  be a surface with a parameterization  $\mathbf{r}: R \subseteq \mathbb{R}^2 \rightarrow \mathbb{R}^3$ . We define the area of the surface  $\mathcal{S}$  to be the

$$\text{Surface area of } \mathcal{S} = \iint_R |\mathbf{r}_u \times \mathbf{r}_v| \, du \, dv$$

### 49.2.2 Note:

- The justification for the above definition is the following. Consider a small rectangular area element  $\Delta R$  in the  $u, v$ -plane with sides parallel to the  $u, v$  axis. Let its image under  $\mathbf{r}$  be the region  $\Delta S$  in  $\mathbb{R}^3$ . We can imagine  $\Delta S$  to be same as the parallelogram with sides  $\mathbf{r}_u, \mathbf{r}_v$ , and hence the area of  $\Delta S$  is approximately equal to the area of the parallelogram, which is given by  $|(\mathbf{r}_u \Delta u) \times \mathbf{r}_v \Delta v|$ . Thus

$$\Delta S = |\mathbf{r}_u \times \mathbf{r}_v| \Delta u \Delta v$$

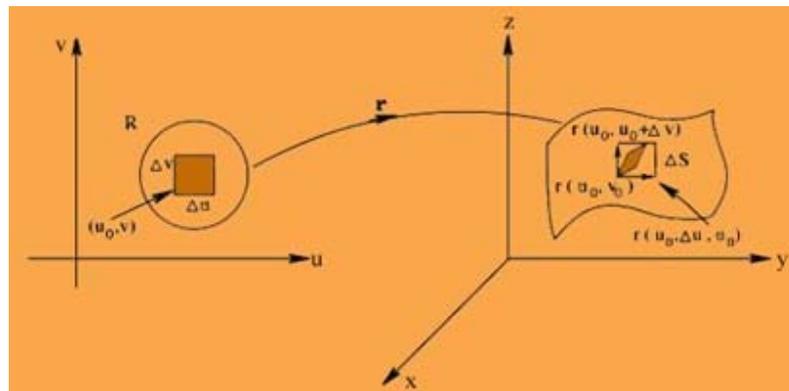


Figure:  $\Delta S$  is approximately a parallelogram

Hence, it is natural to define the area of the surface  $\mathcal{S}$  to be the double integral

$$\iint_R |\mathbf{r}_u \times \mathbf{r}_v| \, du \, dv.$$

- For the above definition to be meaningful, we should have the condition that the surface  $\mathbf{r}(u, v)$  is such that  $(\mathbf{r}_u \times \mathbf{r}_v)(u, v) \neq 0$ , everywhere on  $R$ . From now onwards, we shall assume this condition. Such surfaces are called **regular surfaces**.

### 49.2.3 Example:

Find the area of the parabolic cylinder

$y = x^2$  in the first octant bounded by the planes  $z = 2$  and  $y = \frac{1}{4}$ .

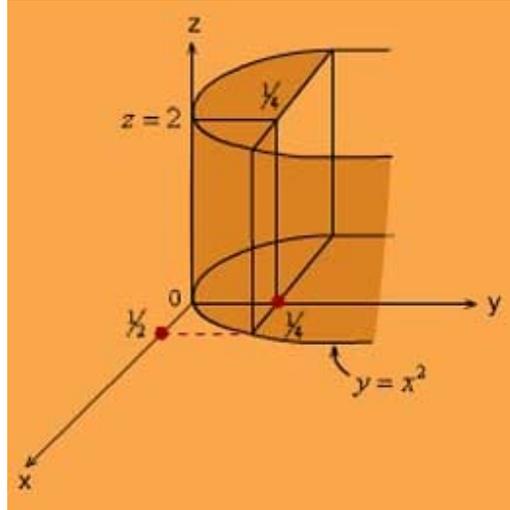


Figure: The surface  $y = x^2$

We can parameterize the surface as follows:

$$\mathbf{r}(x, z) = x \mathbf{i} + x^2 \mathbf{j} + z \mathbf{k}, (x, z) \in R, 0 \leq z \leq 2,$$

where

$$R = \{(x, z) \in \mathbb{R}^2 \mid 0 \leq x \leq 1/2, 0 \leq z \leq 2\}.$$

Thus,

$$\mathbf{r}_x = \mathbf{i} + 2x \mathbf{j} \text{ and } \mathbf{r}_z = \mathbf{k}.$$

Thus

$$\begin{aligned} \mathbf{r}_x \times \mathbf{r}_z &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ 1 & 2x & 0 \\ 0 & 0 & 1 \end{vmatrix} \\ &= 2x \mathbf{i} - \mathbf{j}. \end{aligned}$$

Hence,

$$|\mathbf{r}_x \times \mathbf{r}_z| = \sqrt{1 + 4x^2}.$$

Thus, the area of the surface is

$$\int_{x=0}^{1/2} \int_{z=0}^2 (1 + 4x^2) dx dz$$

#### 49.2.4 Surface area for explicit representation:

For a surface  $S$ , explicitly representable in the form

$$z = h(x, y), (x, y) \in R,$$

we saw in example 49.1.8 that a parametric representation of  $S$  is

$$\mathbf{r}(x, y) = x \mathbf{i} + y \mathbf{j} + h(x, y) \mathbf{k}, (x, y) \in R,$$

and we have

$$|\mathbf{r}_x \times \mathbf{r}_y|^2 = 1 + h_x^2 + h_y^2.$$

Hence,

$$\text{surface area } (S) = \iint_R \sqrt{1+h_x^2+h_y^2} \, dx dy$$

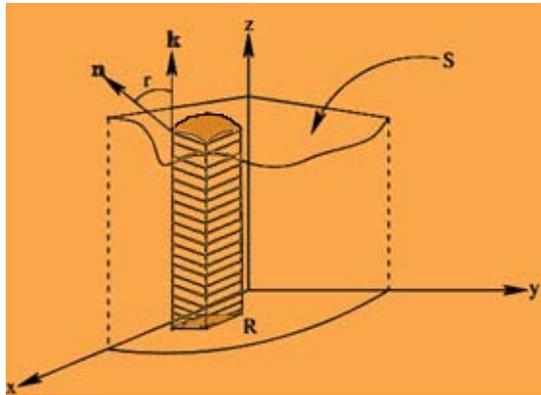


Figure: Caption text

If  $\gamma$  denotes the angle between the positive z-axis and the vector  $\mathbf{r}_x \times \mathbf{r}_y$  Then,

$$(\mathbf{r}_x \times \mathbf{r}_y) \cdot \mathbf{k} = (-h_x \mathbf{i} - h_y \mathbf{j} + \mathbf{k}) \cdot \mathbf{k} = 1. \quad \text{-----(62)}$$

On the other hand

$$(\mathbf{r}_x \times \mathbf{r}_y) \cdot \mathbf{k} = |\mathbf{r}_x \times \mathbf{r}_y| \cos \gamma.$$

Thus,

$$|\mathbf{r}_x \times \mathbf{r}_y| \cos \gamma = 1 \quad \text{-----(63)}$$

i.e.,

$$\sec \gamma = |\mathbf{r}_x \times \mathbf{r}_y| = \sqrt{1+4x^2+4y^2}.$$

From (62) and (63) it follows that  $\cos \gamma > 0$ , and hence  $0 \leq \gamma < \pi/2$ . Thus, if  $\gamma$  denote the acute angle between the z-axis and the undirected normal, then we also have

$$\text{surface area of } S = \iint_R \sqrt{1+h_x^2+h_y^2} \, dx dy = \iint_R \sec \gamma \, dx dy,$$

where  $R$  is the projection of  $S$  on to the  $xy$  plane. Also,

$$(\mathbf{r}_x \times \mathbf{r}_y) \cdot \mathbf{k} = 1 = \cos \gamma |\mathbf{r}_x \times \mathbf{r}_y|$$

implying

$$\mathbf{n} \cdot \mathbf{k} = \frac{(\mathbf{r}_x \times \mathbf{r}_y) \cdot \mathbf{k}}{|\mathbf{r}_x \times \mathbf{r}_y|} = \cos \gamma.$$

Thus,

$$\sec \gamma = \frac{1}{|\mathbf{n} \cdot \mathbf{k}|}, \text{ where } \mathbf{n} = \frac{\nabla F}{|\nabla F|},$$

$$\text{and } F(x,y,z) = z - h(x,y).$$

#### 49.2.5 Example:

Let us find the surface area of the surface

$$z^2 = x^2 + y^2, 0 \leq z \leq 1.$$

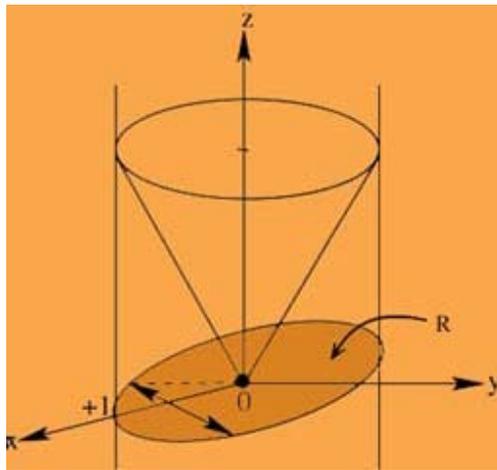


Figure: Surface  $z^2 = x^2 + y^2, 0 \leq z \leq 1$

The surface is given by  $F(x, y, z) = x^2 + y^2 - z^2 = 0$ . Thus,

$$\mathbf{n} = \frac{\nabla F}{|\nabla F|} = \frac{x\mathbf{i} + y\mathbf{j} - z\mathbf{k}}{z\sqrt{2}} \text{ and } \mathbf{n} \cdot \mathbf{k} = -\frac{1}{\sqrt{2}}.$$

Hence,

$$\sec \gamma = \frac{1}{|\mathbf{n} \cdot \mathbf{k}|} = \sqrt{2},$$

and the projection of the surface on to the  $xy$ -plane is

$$\begin{aligned} R &= \{(x, y) \mid x^2 + y^2 = 1\} \\ &= \{(x, y) \mid 0 \leq x \leq 1, 0 - \sqrt{1-x^2} \leq y \leq \sqrt{1-x^2}\} \end{aligned}$$

Thus,

$$\begin{aligned} \text{Surface area} &= \iint_R \sec \gamma \, dx \, dy \\ &= \sqrt{2} \iint_R 1 \, dx \, dy \\ &= \sqrt{2} \int_{-1}^{+1} \left( \int_{-\sqrt{1-x^2}}^{\sqrt{1-x^2}} dy \right) dx = \sqrt{2}\pi \end{aligned}$$

#### 49.2.6 Example

Find the area of the portion of cylinder  $(x-a)^2 + y^2 = a^2$  that lies inside the upper part of sphere the  $x^2 + y^2 + z^2 = 4a^2$ .

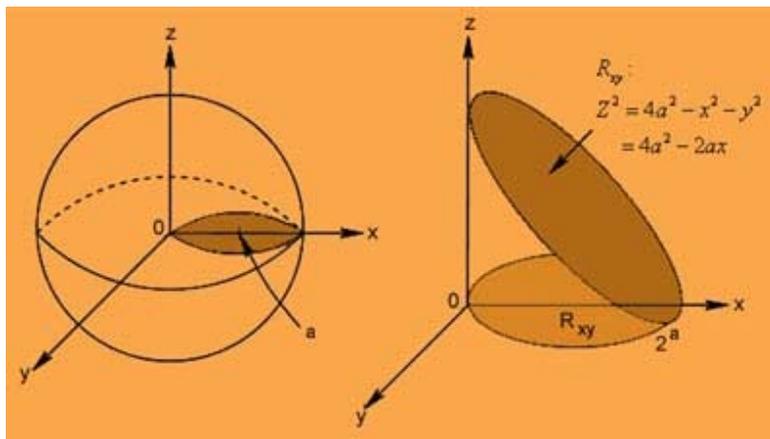


Figure: Surface of sphere inside cylinder

Projection of the cylinder onto  $xy$  plane is

$$R_{xy} = \{(x,y) | (x-a)^2 + y^2 \leq a^2\}.$$

The surface, cylinder, is given by  $F(x,y,z) = x^2 + y^2 - 2ax = 0$ . Thus,

$$\nabla F = (2x - 2a)\mathbf{i} + 2y\mathbf{j}.$$

Since  $\nabla F \cdot \mathbf{k} = 0$ , we should not project the surface onto the  $xy$  plane. Let us project  $S$  onto the  $xz$  plane. This projection is given by

$$R_{xz} = \{(x,z) | 0 \leq x \leq 2a, z = \sqrt{4a^2 - 2ax}\}.$$

Since,

$$\begin{aligned} |\nabla F| &= \sqrt{(2x - 2a)^2 + (2y)^2} \\ &= 2\sqrt{(x-a)^2 + y^2} \\ &= 2a \end{aligned}$$

and

$$\nabla F \cdot \mathbf{j} = 2y = 2\sqrt{a^2 - (a-x)^2},$$

we have

$$\mathbf{n} \cdot \mathbf{j} = \frac{\nabla F \cdot \mathbf{j}}{|\nabla F|} = \frac{\sqrt{a^2 - (a-x)^2}}{a}.$$

Thus, the required

$$\begin{aligned} \text{surface area} &= \iint_{R_{xz}} \frac{a}{\sqrt{a^2 - (a-x)^2}} dx dz \\ &= a \int_{x=0}^{x=2a} \int_{z=0}^{z=\sqrt{4a^2-2ax}} \left( \frac{1}{\sqrt{a^2 - (a-x)^2}} \right) dz dx. \end{aligned}$$

#### 49.2.7 Remark:

If the surface  $S$  is represented explicitly by

$$y = g(x,z), (x,z) \in R,$$

then the corresponding formulae for the surface area are

$$\text{Surface Area} = \iint_R \sec \beta \, dx \, dz$$

where  $\beta$  is the acute angle between the  $y$ -axis and the unit normal. Similarly, if the surface is representable explicitly as

$$x = f(y, z), (y, z) \in R,$$

then

$$\text{Surface Area} = \iint_R \sec \alpha \, dy \, dz,$$

where  $\alpha$  is the acute angle between the  $x$ -axis and the unit normal.

#### 49.2.8 Example:

Consider the sphere given by

$$\mathbf{r}(u, v) = a \cos v \cos u \mathbf{i} + a \sin u \cos v \mathbf{j} + a \sin v \mathbf{k}, 0 \leq u \leq 2\pi, 0 \leq v \leq \pi.$$

Then,

$$\mathbf{r}_u = -a \sin u \cos v \mathbf{i} + a \cos u \cos v \mathbf{j}$$

and

$$\mathbf{r}_v = -a \sin v \cos u \mathbf{i} - a \sin u \sin v \mathbf{j} + a \cos v \mathbf{k}.$$

Thus,

$$\begin{aligned} \mathbf{r}_u \times \mathbf{r}_v &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ -a \sin u \cos v & a \cos u \cos v & 0 \\ -a \sin u \sin v & -a \sin u \sin v & a \cos v \end{vmatrix} \\ &= (a^2 \cos^2 v \cos u) \mathbf{i} + (a^2 \sin u \cos^2 v) \mathbf{j} \\ &\quad + (a^2 \sin u \sin v \cos v + a^2 \cos^2 u \sin v \cos v) \mathbf{k} \\ &= (a^2 \cos^2 v \cos u) \mathbf{i} + (a^2 \sin u \cos^2 v) \mathbf{j} + (a^2 \sin u \cos v) \mathbf{k}. \end{aligned}$$

Hence,

$$\begin{aligned} \|\mathbf{r}_u \times \mathbf{r}_v\| &= a^4 \cos^4 v \cos^2 u + a^4 \sin^2 u \sin^4 v + a^4 \sin^2 u \cos^2 v \\ &= a^4 [\cos^4 v + \sin^2 v \cos^2 v] \\ &= a^4 \cos^2 v [\cos^2 v + \sin^2 v] \\ &= a^4 \cos^2 v. \end{aligned}$$

Thus, the surface area of the sphere is given by

$$\begin{aligned} \text{Surface area} &= a^2 \pi \int_0^{2\pi} |\cos v| \, dv = \int_0^{2\pi} \int_0^\pi a^2 \cos v \, du \, dv \\ &= 4 \pi a^2 \int_0^{\pi/2} \cos v \, dv \\ &= 4 \pi a^2. \end{aligned}$$

#### 49.2.9 Surface area for implicit equations:

Let  $S$  be a surface defined implicitly by  $F(x, y, z) = 0$ . Let  $S_{xy}$  be the projection of  $S$  onto the  $xy$ -plane. Suppose, the surface can be explicitly given by

$$z = h(x, y), (x, y) \in S_{xy}.$$

Then, we have

$$h_x = -\frac{\partial F / \partial x}{\partial F / \partial z} \text{ and } h_y = -\frac{\partial F / \partial y}{\partial F / \partial z},$$

provided  $\frac{\partial F}{\partial z} \neq 0$ . Thus,

$$\begin{aligned} \text{Surface area} &= \iint_{S_{xy}} \sqrt{1 + h_x^2 + h_y^2} \, dx \, dy \\ &= \iint_{S_{xy}} \left( \frac{\sqrt{\frac{\partial F^2}{\partial z} + \frac{\partial F^2}{\partial x} + \frac{\partial F^2}{\partial y}}}{\frac{\partial F}{\partial z}} \right) dx \, dy. \end{aligned}$$

#### 49.2.10 Surface area for surface of revolution:

A method of generating a surface in  $\mathbb{R}^3$  is to rotate a curve about an axis. Suppose  $C$  is the curve in the  $xz$  plane given by

$$z = f(x), a \leq x \leq b,$$

being rotated about the  $z$ -axis to get a surface  $S$ . We want to find the surface area of this surface. Consider a small portion  $\Delta s$  of the curve. When rotated, this will give a cylinder of radius  $x$  and of height  $\Delta s$ . Thus, its surface area is given by

$$2\pi x \Delta s.$$

Thus, the total area of the surface is defined to be

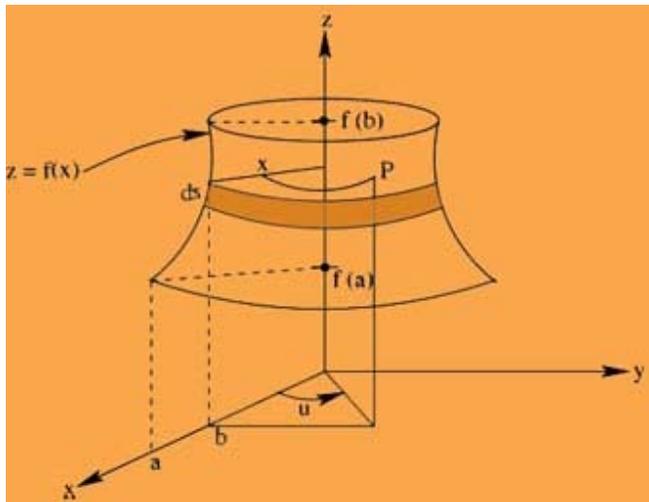


Figure: Surface area of surface of revolution

$$\text{Surface area} := \int_{x=a}^{x=b} 2\pi x \, ds = 2\pi \int_a^b x \sqrt{1 + (f'(x))^2} \, dx.$$

The surface  $S$  can be represented in parametric form, using cylindrical coordinates, as

$$\mathbf{r}(u, v) = u \cos v \mathbf{i} + u \sin v \mathbf{j} + f(u) \mathbf{k}, a \leq u \leq b, 0 \leq v \leq 2\pi$$

Thus,

$$\mathbf{r}_u = \cos v \mathbf{i} + \sin v \mathbf{j} + f'(u) \mathbf{k},$$

$$\mathbf{r}_v = -u \sin v \mathbf{i} + u \cos v \mathbf{j}.$$

Hence,

$$\mathbf{r}_u \times \mathbf{r}_v = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \cos u & \sin u & f'(u) \\ -u \sin v & u \cos v & 0 \end{vmatrix} = (u \cos v f'(u)) \mathbf{i} + (u \sin v f'(u)) \mathbf{j} + \mathbf{k} (u).$$

This gives

$$\begin{aligned} \|\mathbf{r}_u \times \mathbf{r}_v\|^2 &= u^2 \cos^2 v f'(u)^2 + u^2 \sin^2 v f'(u)^2 + u^2 \\ &= u^2 (1 + f'(u)^2). \end{aligned}$$

Hence, we have

$$\begin{aligned} \text{Surface area} &= \int_0^{2\pi} \int_a^b u \sqrt{1 + f'(u)^2} du dv \\ &= 2\pi \int_a^b u \sqrt{1 + f'(u)^2} du. \end{aligned}$$

Similar formulae can be obtained when if we consider the surface obtained by revolving the same curve  $z = f(x)$  about  $x$ -axis or  $y$ -axis.

#### 49.2.11 1<sup>st</sup> fundamental form for curves on surfaces :

Suppose  $\mathbf{r} = \mathbf{r}(t), t \in I$  is a curve on a surface  $S$  with a parameterization  $(u, v) \mapsto r(u, v)$ . Then, the curve is given by

$$t \mapsto \mathbf{r}(t) = \mathbf{r}(u(t), v(t)), t \in I.$$

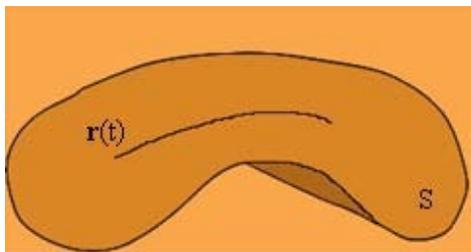


Figure: Curve on a surface

Suppose, we want to find its length. Since, the curve is given by

$$\mathbf{r} = \mathbf{r}(t) = \mathbf{r}(u(t), v(t)), t \in I,$$

we have

$$\frac{d\mathbf{r}}{dt} = \mathbf{r}_u \frac{du}{dt} + \mathbf{r}_v \frac{dv}{dt}.$$

We write this as

$$d\mathbf{r} = \mathbf{r}_u du + \mathbf{r}_v dv.$$

Since,

$$\left\| \frac{d\mathbf{r}}{dt} \right\|^2 = \frac{d\mathbf{r}}{dt} \cdot \frac{d\mathbf{r}}{dt},$$

we write

$$\begin{aligned}\|d\mathbf{r}\|^2 &= (\mathbf{r}_u du + \mathbf{r}_v dv) \cdot (\mathbf{r}_u du + \mathbf{r}_v dv) \\ &= (\mathbf{r}_u \cdot \mathbf{r}_u) du^2 + 2(\mathbf{r}_u \cdot \mathbf{r}_v) du dv + (\mathbf{r}_v \cdot \mathbf{r}_v) dv^2 \\ &:= E du^2 + 2F du dv + G dv^2,\end{aligned}$$

where

$$E = \mathbf{r}_u \cdot \mathbf{r}_u, F = \mathbf{r}_u \cdot \mathbf{r}_v, G = \mathbf{r}_v \cdot \mathbf{r}_v.$$

Thus, the length of  $\mathbf{r}(u(t)), (v(t)), t \in I = [a, b]$  is given by

$$\ell = \int_a^b \sqrt{\|d\mathbf{r}\|^2} dt = \int_a^b \left( \sqrt{E du^2 + 2F du dv + G dv^2} \right) dt$$

### Practice Exercises

1. Find the area of the plane  $2x + 6y + 3z = 6$  cut by the cylinder  $x^2 + y^2 = 1$ .

**Answer:**  $\frac{7\pi}{3}$

2. Find the area of the portion of the cone  $x^2 + y^2 = z^2$ , above the  $xy$ -plane cut off by the cylinder  $x^2 + y^2 = 2ax$ .

**Answer:**  $\sqrt{2}\pi a^2$

3. Find the area of the surface common to the cylinders  $x^2 + y^2 = a^2$  and  $y^2 + z^2 = a^2$ .

**Answer:**  $16a^2$

4. Find the area of the portion of the sphere  $x^2 + y^2 + z^2 = 4a^2$  that lies inside the cylinder  $x^2 + y^2 = 2ax$ .

**Answer:**  $16a^2 \left( \frac{\pi}{2} - 1 \right)$

### Recap

In this section you have learnt the following

- How to define the integrals of a scalar field over a curve.