

## Lecture 17 : Cyclotomic Extensions I

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### Objectives

- (1) Roots of unity in a field.
- (2) Galois group of  $x^n - a$  over a field having  $n^{\text{th}}$  roots of unity.
- (3) Irreducibility of the cyclotomic polynomial  $\Phi_n(x)$  over  $\mathbb{Q}$ .
- (4) A recursive formula for  $\Phi_n(x)$ .

**Keywords and phrases :** Roots of unity, Galois group of  $x^n - a$ , cyclotomic polynomials.

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## 18. CYCLOTOMIC EXTENSIONS

### Roots of unity in any field

Let  $F$  be a field. A root  $z \in F$  of  $x^n - 1$  is called an  $n^{\text{th}}$  root of unity in  $F$ . Roots of unity play important role in algebra and number theory. Their analysis led Gauss to his first mathematical discovery: construction of a regular polygon of 17 sides.

Suppose that  $\text{char } F = p$  and  $n = p^e m$  where  $(m, p) = 1$ . Then  $x^n - 1 = (x^m - 1)^{p^e}$ . By the derivative criterion,  $x^m - 1$  is separable. Hence the splitting field of  $x^n - 1$  is equal to that of  $x^m - 1$ . Therefore we consider fields of characteristic zero or of characteristic  $p$  where  $(p, n) = 1$ .

Let  $k$  be a field and  $(n, \text{char } k) = 1$ . Then  $x^n - 1$  is separable by the derivative criterion. Let  $Z = \{z_1, z_2, \dots, z_n\}$  be the set of its roots of in  $k^a$ . Then  $Z$  is a multiplicative subgroup of  $(k^a)^\times$ . Hence it is cyclic. Any of the  $\varphi(n)$  generators of  $Z$  is called a **primitive  $n^{\text{th}}$  root of unity**. Let  $z$  be any such generator. Then  $k(z)$  is a splitting field of  $x^n - 1$  over  $k$ . Let  $\Phi_n(x) = \text{irr}(z, \mathbb{Q})$ . A primitive  $n^{\text{th}}$  root of unity over  $\mathbb{Q}$  is denoted by  $\zeta_n$ .

**Definition 18.1.** A splitting field of  $x^n - 1$  over  $F$  is called a **cyclotomic field of order  $n$  over  $F$** .

**Proposition 18.2.** Let  $(\text{char } F, n) = 1$  and  $f(x) = x^n - 1 \in F[x]$ . Then  $G_f$  is isomorphic to a subgroup of  $U(n)$ . In particular  $G_f$  is an abelian group and  $o(G_f) \mid \varphi(n)$ .

*Proof.* As  $f(x)$  is separable, it has  $n$  distinct roots. Let  $\{z_1, z_2, \dots, z_n\} = Z$  be the set of roots of  $f(x)$  in  $F^a$  and  $E = F(z_1, z_2, \dots, z_n)$ . Since  $Z \subseteq E^\times$  is a subgroup, it is cyclic. The map  $\psi : G(E/F) \rightarrow \text{Aut}(Z)$  such that  $\sigma \mapsto \sigma|_Z$  is an injective group homomorphism. Since  $\text{Aut}(Z) \simeq \{\bar{m} \mid (m, n) = 1\} := U(n)$  is an abelian group,  $G(E/F)$  is also an abelian group whose order divides  $\varphi(n)$ .  $\square$

**Example 18.3.** Let  $F = \mathbb{F}_2$ . Then  $x^3 - 1 = (x - 1)(x^2 + x + 1)$ . Any root  $z$  of  $x^2 + x + 1$  is a primitive cube root of unity over  $F$ . Hence  $[F(z) : F] = 2$ . To find the degree of a primitive seventh root of unity over  $F$ , consider the factorization of  $x^7 - 1$  into irreducible polynomials over  $F$  :

$$x^7 - 1 = (x - 1)(x^3 + x^2 + 1)(x^3 + x + 1).$$

Therefore there are 6 primitive 7th roots of unity over  $F$  with two minimal polynomials. In contrast to this, we shall see that all the primitive  $n^{\text{th}}$  roots of unity over  $\mathbb{Q}$  have the same irreducible polynomial called the  $n^{\text{th}}$  cyclotomic polynomial  $\Phi_n(x)$ .

**Proposition 18.4.** Let  $x^n - a = f(x) \in F[x]$  and suppose  $F$  has  $n$  distinct roots of  $x^n - 1$ . Then  $G_f$  is a cyclic group and  $o(G_f)$  divides  $n$ .

*Proof.* Let  $Z = \{z_1, z_2, \dots, z_n\} \subset F$  be the set of roots of  $x^n - 1$ . Let  $r$  be a root of  $f(x)$  in a splitting field  $E$  of  $f(x)$ . Then  $rz_1, rz_2, \dots, rz_n$  are roots of  $f(x)$ . Thus  $E = F(r)$ . Let  $\sigma, \tau \in G(E/F)$ . Then  $\sigma(r) = z_\sigma r$  and  $\tau(r) = z_\tau r$  for some  $z_\sigma, z_\tau \in Z$ . Hence  $\sigma\tau(r) = \sigma(z_\tau r) = z_\tau z_\sigma r$ . Define

$$\psi : G(E/F) \rightarrow \mathbb{Z} \text{ such that } \psi(\sigma) = z_\sigma.$$

Then  $\psi$  is a group homomorphism. The map  $\psi$  is clearly injective. Since  $Z$  is a subgroup of  $F^\times$ , it is a cyclic group of order  $n$ . Hence  $|G_f|$  divides  $n$  and  $G_f$  is cyclic.  $\square$

**Theorem 18.5.** (1)  $\Phi_n(x) \in \mathbb{Z}[x]$ , (2)  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n) = \deg \Phi_n(x)$  and (3)  $G(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \simeq U_n$ .

*Proof.* Let  $\Phi_n(x) = \text{irr}(\zeta_n, \mathbb{Q})$ . Then  $x^n - 1 = \Phi_n(x)h(x)$ , where  $h(x)$  is monic in  $\mathbb{Q}[x]$ . By Gauss' Lemma  $\Phi_n(x), h(x) \in \mathbb{Z}[x]$ . We show that each

primitive  $n^{\text{th}}$  root of unity is a root of  $\Phi_n(x)$ . Let  $p$  be a prime number and  $(p, n) = 1$ . Suppose  $\Phi_n(\zeta_n^p) \neq 0$ . Hence  $h(\zeta_n^p) = 0$ . Hence  $\zeta_n$  is a root of  $h(x^p)$ . Thus

$$h(x^p) = \Phi_n(x)g(x) \text{ for some monic } g(x) \in \mathbb{Z}[x].$$

Reduce mod  $p$  to get

$$(\bar{h}(x))^p = \bar{\Phi}_n(x)\bar{g}(x) \quad ,$$

where “ $\bar{\phantom{x}}$ ” denotes reduction of coefficients mod  $p$ . Hence  $\bar{\Phi}_n(x)$  and  $\bar{h}(x)$  have a common root mod  $p$ . But  $x^n - 1$  has distinct roots over  $\mathbb{F}_p$ . Hence  $\zeta_n^p$  is a root of  $\Phi_n(x)$ . Hence all primitive  $n^{\text{th}}$  roots of unity are roots of  $\Phi_n(x)$ . Since  $G = G(\mathbb{Q}(\zeta_n)/\mathbb{Q})$  is isomorphic to a subgroup of  $U(n)$ ,  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = |G| \leq \varphi(n)$ . But  $\deg \Phi_n(x) \geq \varphi(n)$ . Hence  $|G| = \varphi(n)$ . Hence  $G \simeq U(n)$ .  $\square$

### Computation of Cyclotomic Polynomials

Let  $\zeta_n$  be a primitive  $n^{\text{th}}$  root of unity. Then the other roots of  $\Phi_n(x)$  are  $\zeta_n^i$  such that  $(i, n) = 1$ . Thus

$$\Phi_n(x) = \prod_{(i,n)=1} (x - \zeta_n^i).$$

Since the roots of  $x^n - 1$  form a cyclic group of order  $n$ , the order of any root divides  $n$ . Since  $\Phi_d(x) = \prod_{o(z)=d} (x - z)$ , it follows that

$$x^n - 1 = \prod_{d|n} \Phi_d(x).$$

Therefore

$$\Phi_n(x) = \frac{x^n - 1}{\prod_{d|n, d < n} \Phi_d(x)}.$$

This is a recursive formula for computation of  $\Phi_n(x)$ . First few cyclotomic polynomials are:

$$\begin{aligned}
 \Phi_1(x) &= x - 1 \\
 \Phi_2(x) &= \frac{x^2 - 1}{\Phi_1(x)} = x + 1 \\
 \Phi_3(x) &= \frac{x^3 - 1}{\Phi_1(x)} = x^2 + x + 1 \\
 \Phi_4(x) &= \frac{x^4 - 1}{\Phi_1(x)\Phi_2(x)} = x^2 + 1 \\
 \Phi_5(x) &= x^4 + x^3 + x^2 + x + 1 \\
 \Phi_6(x) &= \frac{x^6 - 1}{\Phi_1(x)\Phi_2(x)\Phi_3(x)} = x^2 - x + 1
 \end{aligned}$$