

# An introduction to coding theory

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## Lecture #1C: Introduction to error control coding-III



# Outline of the lecture

- Types of Codes

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- Decoding strategies

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- Decoding strategies
- Error control strategies

## Types of Channel Codes

Block codes:

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- The encoder for a block code is memoryless.



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- The codeword sequence, in general, can be non-binary, but we only consider binary codes since they are the most commonly used in practice.



# Block Codes

- *Example:* Let  $k = 3$  and  $n = 6$ . The following table gives a block code of length 6. The code rate is  $R = \frac{1}{2}$ .

Message	Codewords
(0 0 0)	(0 0 0 0 0 0)
(1 0 0)	(0 1 1 1 0 0)
(0 1 0)	(1 0 1 0 1 0)
(1 1 0)	(1 1 0 1 1 0)
(0 0 1)	(1 1 0 0 0 1)
(1 0 1)	(1 0 1 1 0 1)
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- The set of sequences produced by a  $k$ -input,  $n$ -output encoder of memory order  $m$  is called an  $(n, k, m)$  convolutional code.

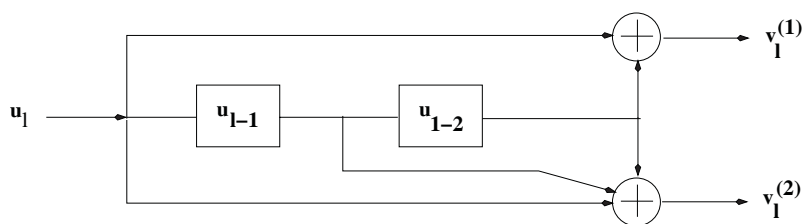


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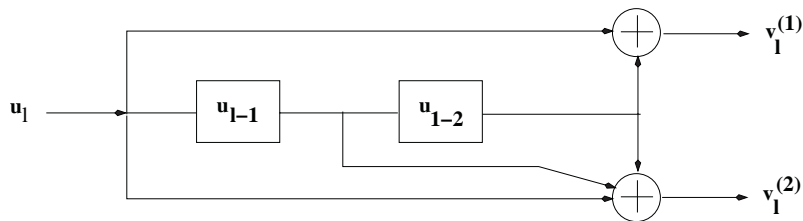
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- The values of  $n$  and  $k$  are much smaller for convolutional codes compared to the block codes.

## Convolutional Codes



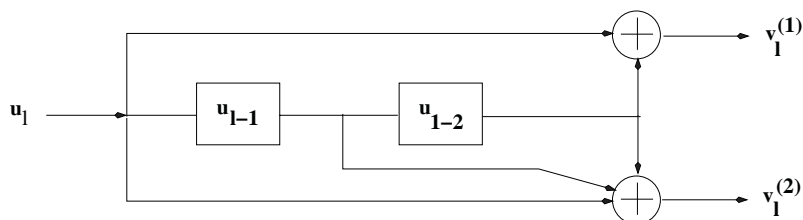
- Let  $k = 1$ ,  $n = 2$  and  $m = 2$ . The following circuit generates a  $(2, 1, 2)$  convolutional code.

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- Input:  $u_l$
- Outputs:

$$v_l^{(1)} = u_l + u_{l-2}$$

$$v_l^{(2)} = u_l + u_{l-1} + u_{l-2}$$

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- A *decoding rule* is an assignment of an estimate  $\hat{\mathbf{v}}$  to each of the received sequence  $\mathbf{r}$ .



# Decoding Strategies

- The average probability of error is given by

$$\begin{aligned} P(E) = P(\hat{\mathbf{v}} \neq \mathbf{v}) &= \sum_{\mathbf{r}} P(E/\mathbf{r})P(\mathbf{r}) \\ &= \sum_{\mathbf{r}} P(\hat{\mathbf{v}} \neq \mathbf{v}/\mathbf{r})P(\mathbf{r}) \end{aligned}$$



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- Minimizing  $P(\hat{\mathbf{v}} \neq \mathbf{v}/\mathbf{r})$  is equivalent to maximizing  $P(\hat{\mathbf{v}} = \mathbf{v}/\mathbf{r})$ .



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- For each  $\mathbf{r}$ , compute

$$P(\mathbf{v}/\mathbf{r}) = \frac{P(\mathbf{r}/\mathbf{v})P(\mathbf{v})}{P(\mathbf{r})} \quad \text{Bayes' rule}$$

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- A Maximum a-posteriori probability (MAP) decoder chooses  $\hat{\mathbf{v}}$  such that  $P(\mathbf{v}/\mathbf{r})$  is maximized.



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- Since  $\log x$  is a monotone increasing function of  $x$ , maximizing  $P(\mathbf{r}/\mathbf{v})$  is equivalent to maximizing  $\log P(\mathbf{r}/\mathbf{v})$ .



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- Hamming distance  $d(\mathbf{r}, \mathbf{v})$  between  $\mathbf{r}$  and  $\mathbf{v}$  is the number of positions for which  $r_i \neq v_i$ .

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- Note  $\log \left( \frac{p}{1-p} \right) < 0$  for  $p < 1/2$  and  $n \log(1-p)$  is a constant.
- For each  $\mathbf{r}$ , choose  $\hat{\mathbf{v}}$  as the codeword  $\mathbf{v}$  which minimizes the Hamming distance  $d(\mathbf{r}, \mathbf{v})$ .



# Error control strategies

## Forward Error Correction (FEC):

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- The error correcting codes used in such a system are referred as *forward error correction (FEC)* codes.



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## Automatic Repeat reQuest (ARQ):

- In *two-way* system, there exist a feedback path from the receiver to the transmitter.
- Error correction can be achieved for two-way system using error detection and retransmission, also known as *automatic repeat request (ARQ)*.



# Error control strategies

## Hybrid Automatic Repeat reQuest (HARQ):

- For channels with feedback, one can use ARQ protocols in combination with FEC to improve system performance. These types of schemes are known as hybrid-ARQ (HARQ) schemes.



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- For channels with feedback, one can use ARQ protocols in combination with FEC to improve system performance. These types of schemes are known as hybrid-ARQ (HARQ) schemes.
- In HARQ protocols, the transmitted data is encoded for both error correction and error detection. If the receiver detects an error after decoding, it sends a negative acknowledgment (NACK) to the transmitter, which then retransmits the data.