

An introduction to coding theory

Adrish Banerjee

Department of Electrical Engineering
Indian Institute of Technology Kanpur
Kanpur, Uttar Pradesh
India

Mar. 6, 2017



Lecture #15: Turbo Codes



Introduction

- Shannon's noisy channel coding theorem implies that arbitrarily low decoding error probabilities can be achieved at any transmission rate R less than the channel capacity C by using long block lengths.



Introduction

- Shannon's noisy channel coding theorem implies that arbitrarily low decoding error probabilities can be achieved at any transmission rate R less than the channel capacity C by using long block lengths.
- Shannon proved that the average performance of a randomly chosen ensemble of codes results in an exponentially decreasing decoding error probability with increasing block length.



Introduction

- Shannon's noisy channel coding theorem implies that arbitrarily low decoding error probabilities can be achieved at any transmission rate R less than the channel capacity C by using long block lengths.
- Shannon proved that the average performance of a randomly chosen ensemble of codes results in an exponentially decreasing decoding error probability with increasing block length.
- Typically, the code designs contain a large amount of structure, since it can be used to guarantee good minimum distance properties for the code as well as it is easier to decode a highly structured code.

Introduction

- Shannon's noisy channel coding theorem implies that arbitrarily low decoding error probabilities can be achieved at any transmission rate R less than the channel capacity C by using long block lengths.
- Shannon proved that the average performance of a randomly chosen ensemble of codes results in an exponentially decreasing decoding error probability with increasing block length.
- Typically, the code designs contain a large amount of structure, since it can be used to guarantee good minimum distance properties for the code as well as it is easier to decode a highly structured code.
- However, structure does not always result in the best distance properties for a code.

Introduction

- Shannon's noisy channel coding theorem implies that arbitrarily low decoding error probabilities can be achieved at any transmission rate R less than the channel capacity C by using long block lengths.
- Shannon proved that the average performance of a randomly chosen ensemble of codes results in an exponentially decreasing decoding error probability with increasing block length.
- Typically, the code designs contain a large amount of structure, since it can be used to guarantee good minimum distance properties for the code as well as it is easier to decode a highly structured code.
- However, structure does not always result in the best distance properties for a code.
- Turbo codes have random-like code design with just enough structure to allow for an efficient iterative decoding method.



Turbo Codes

Encoder structure consists of

- Recursive systematic convolutional encoder as constituent encoders in a parallel concatenation scheme.



Turbo Codes

Encoder structure consists of

- Recursive systematic convolutional encoder as constituent encoders in a parallel concatenation scheme.
- An interleaver denoted by π_1 .



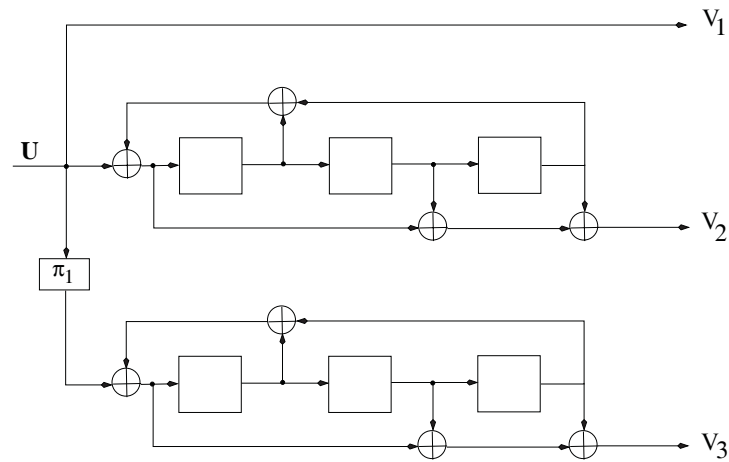
Turbo Codes

Encoder structure consists of

- Recursive systematic convolutional encoder as constituent encoders in a parallel concatenation scheme.
- An interleaver denoted by π_1 .
- Example of rate $R=1/3$ turbo code shown in next slide.



Turbo Codes



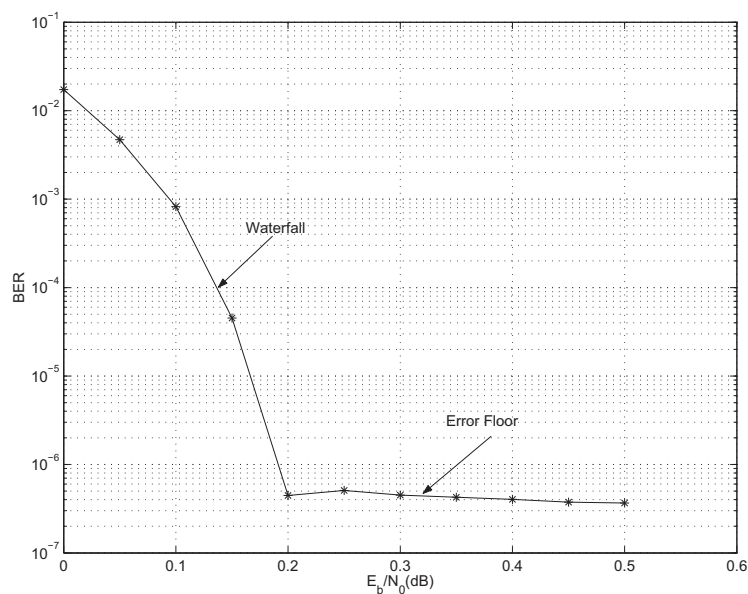
Rate 1/3 Turbo Code

Adrish Banerjee

Department of Electrical Engineering Indian Institute of Technology Kanpur Kanpur, Uttar Pradesh India

An introduction to coding theory

Turbo Codes



BER performance of rate $R=1/3$, turbo code with input information blocklength of 65536 bits.

Adrish Banerjee

Department of Electrical Engineering Indian Institute of Technology Kanpur Kanpur, Uttar Pradesh India

An introduction to coding theory

Turbo Codes

- The best performance at moderate BER's down to about 10^{-5} is achieved with short constraint length constituent encoders, typically $\nu = 4$ or less.

Turbo Codes

- The best performance at moderate BER's down to about 10^{-5} is achieved with short constraint length constituent encoders, typically $\nu = 4$ or less.
- If the constituent encoders of a turbo code are same, it is known as a symmetric turbo code, otherwise, it is an asymmetric turbo code.

Turbo Codes

- The best performance at moderate BER's down to about 10^{-5} is achieved with short constraint length constituent encoders, typically $\nu = 4$ or less.
- If the constituent encoders of a turbo code are same, it is known as a symmetric turbo code, otherwise, it is an asymmetric turbo code.
- Recursive systematic encoders give much better performance than nonrecursive systematic encoders when used as constituent encoders in a turbo code.



Turbo Codes

- The best performance at moderate BER's down to about 10^{-5} is achieved with short constraint length constituent encoders, typically $\nu = 4$ or less.
- If the constituent encoders of a turbo code are same, it is known as a symmetric turbo code, otherwise, it is an asymmetric turbo code.
- Recursive systematic encoders give much better performance than nonrecursive systematic encoders when used as constituent encoders in a turbo code.
- Bits can be punctured from the parity sequences in order to produce higher code rates.



Turbo Codes

- The best performance at moderate BER's down to about 10^{-5} is achieved with short constraint length constituent encoders, typically $\nu = 4$ or less.
- If the constituent encoders of a turbo code are same, it is known as a symmetric turbo code, otherwise, it is an asymmetric turbo code.
- Recursive systematic encoders give much better performance than nonrecursive systematic encoders when used as constituent encoders in a turbo code.
- Bits can be punctured from the parity sequences in order to produce higher code rates.
- Bits can also be punctured from the information sequence. If some of the information bits are punctured, it is known as partially systematic turbo code. If all the information bits are punctured, it is known as nonsystematic turbo code.



Turbo Codes

- Additional constituent codes and interleavers can be used to produce lower rate codes. For example, rate $1/4$ can be achieved with three constituent codes and two interleavers. This is known as a multiple turbo code.



Turbo Codes

- Additional constituent codes and interleavers can be used to produce lower rate codes. For example, rate $1/4$ can be achieved with three constituent codes and two interleavers. This is known as a multiple turbo code.
- The interleavers are usually constructed in a random fashion.



Turbo Codes

- Additional constituent codes and interleavers can be used to produce lower rate codes. For example, rate $1/4$ can be achieved with three constituent codes and two interleavers. This is known as a multiple turbo code.
- The interleavers are usually constructed in a random fashion.
- Suboptimum iterative decoding, which employs individual soft input, soft output (SISO) decoders for each of the constituent codes in an iterative manner, achieves performance typically within a few tenths of a dB of overall ML or MAP decoding.



Turbo Codes

- Additional constituent codes and interleavers can be used to produce lower rate codes. For example, rate $1/4$ can be achieved with three constituent codes and two interleavers. This is known as a multiple turbo code.
- The interleavers are usually constructed in a random fashion.
- Suboptimum iterative decoding, which employs individual soft input, soft output (SISO) decoders for each of the constituent codes in an iterative manner, achieves performance typically within a few tenths of a dB of overall ML or MAP decoding.
- The best performance is obtained when the BCJR, or MAP, algorithm is used as the SISO decoder for each constituent code.



Turbo Codes

- Additional constituent codes and interleavers can be used to produce lower rate codes. For example, rate $1/4$ can be achieved with three constituent codes and two interleavers. This is known as a multiple turbo code.
- The interleavers are usually constructed in a random fashion.
- Suboptimum iterative decoding, which employs individual soft input, soft output (SISO) decoders for each of the constituent codes in an iterative manner, achieves performance typically within a few tenths of a dB of overall ML or MAP decoding.
- The best performance is obtained when the BCJR, or MAP, algorithm is used as the SISO decoder for each constituent code.
- Since the MAP decoder uses a forward-backward algorithm, the information is arranged in blocks.



Turbo Codes

- The first constituent encoder is terminated by appending ν bits to return it to the all-zero state.

Turbo Codes

- The first constituent encoder is terminated by appending ν bits to return it to the all-zero state.
- Because the interleaver reorders the input sequence, the second encoder will not normally return to the zero state. However, if desired, modifications can be made to insure termination of both encoders.

Turbo Codes

- The first constituent encoder is terminated by appending ν bits to return it to the all-zero state.
- Because the interleaver reorders the input sequence, the second encoder will not normally return to the zero state. However, if desired, modifications can be made to insure termination of both encoders.
- Block codes can also be used as constituent codes in turbo encoders.



Turbo Codes

- The first constituent encoder is terminated by appending ν bits to return it to the all-zero state.
- Because the interleaver reorders the input sequence, the second encoder will not normally return to the zero state. However, if desired, modifications can be made to insure termination of both encoders.
- Block codes can also be used as constituent codes in turbo encoders.
- Turbo codes have few disadvantages:



Turbo Codes

- The first constituent encoder is terminated by appending ν bits to return it to the all-zero state.
- Because the interleaver reorders the input sequence, the second encoder will not normally return to the zero state. However, if desired, modifications can be made to insure termination of both encoders.
- Block codes can also be used as constituent codes in turbo encoders.
- Turbo codes have few disadvantages:
 - a large decoding delay.



Turbo Codes

- The first constituent encoder is terminated by appending ν bits to return it to the all-zero state.
- Because the interleaver reorders the input sequence, the second encoder will not normally return to the zero state. However, if desired, modifications can be made to insure termination of both encoders.
- Block codes can also be used as constituent codes in turbo encoders.
- Turbo codes have few disadvantages:
 - a large decoding delay.
 - significantly weakened performance at BER's below 10^{-6} .



Turbo Codes: Key Developments

- C. Berrou, A. Glavieux, and P. Thitimajshima, “Near Shannon limit error-correcting coding and decoding: Turbo codes,” in *Proc. IEEE International Conference on Communications (ICC 93)*, (Geneva, Switzerland), pp. 1064–1070, May 1993.



Turbo Codes: Key Developments

- C. Berrou, A. Glavieux, and P. Thitimajshima, “Near Shannon limit error-correcting coding and decoding: Turbo codes,” in *Proc. IEEE International Conference on Communications (ICC 93)*, (Geneva, Switzerland), pp. 1064–1070, May 1993.
- S. Benedetto and G. Montorsi, “Unveiling turbo codes: Some results on parallel concatenated coding,” in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 409–428, Mar. 1996.



Turbo Codes: Key Developments

- C. Berrou, A. Glavieux, and P. Thitimajshima, "Near Shannon limit error-correcting coding and decoding: Turbo codes," in *Proc. IEEE International Conference on Communications (ICC 93)*, (Geneva, Switzerland), pp. 1064–1070, May 1993.
- S. Benedetto and G. Montorsi, "Unveiling turbo codes: Some results on parallel concatenated coding," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 409–428, Mar. 1996.
- S. Benedetto and G. Montorsi, "Design of parallel concatenated convolutional codes," in *IEEE Transactions on Communications*, vol. COM-44, pp. 591–600, May 1996.



Turbo Codes: Key Developments

- C. Berrou, A. Glavieux, and P. Thitimajshima, "Near Shannon limit error-correcting coding and decoding: Turbo codes," in *Proc. IEEE International Conference on Communications (ICC 93)*, (Geneva, Switzerland), pp. 1064–1070, May 1993.
- S. Benedetto and G. Montorsi, "Unveiling turbo codes: Some results on parallel concatenated coding," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 409–428, Mar. 1996.
- S. Benedetto and G. Montorsi, "Design of parallel concatenated convolutional codes," in *IEEE Transactions on Communications*, vol. COM-44, pp. 591–600, May 1996.
- D. Divsalar and F. Pollara, "Multiple turbo codes for deep-space communications," TDA Progress Report 42-121, Jet Propulsion Laboratory, May 1995.



Turbo Codes: Key Developments

- C. Berrou, A. Glavieux, and P. Thitimajshima, "Near Shannon limit error-correcting coding and decoding: Turbo codes," in *Proc. IEEE International Conference on Communications (ICC 93)*, (Geneva, Switzerland), pp. 1064–1070, May 1993.
- S. Benedetto and G. Montorsi, "Unveiling turbo codes: Some results on parallel concatenated coding," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 409–428, Mar. 1996.
- S. Benedetto and G. Montorsi, "Design of parallel concatenated convolutional codes," in *IEEE Transactions on Communications*, vol. COM-44, pp. 591–600, May 1996.
- D. Divsalar and F. Pollara, "Multiple turbo codes for deep-space communications," TDA Progress Report 42-121, Jet Propulsion Laboratory, May 1995.
- D. Divsalar and F. Pollara, "On the design of turbo codes," TDA Progress Report 42-123, Jet Propulsion Laboratory, Nov. 1995.

Turbo Codes: Key Developments

- J. Hagenauer, E. Offer, and L. Papke, "Iterative decoding of binary block and convolutional codes," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 429–445, Mar. 1996.

Turbo Codes: Key Developments

- J. Hagenauer, E. Offer, and L. Papke, "Iterative decoding of binary block and convolutional codes," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 429–445, Mar. 1996.
- S. Benedetto, D. Divsalar, G. Montorsi, and F. Pollara, "Serial concatenation of interleaved codes: Performance analysis, design and iterative decoding," in *IEEE Transactions on Information Theory*, vol. IT-44, pp. 909–926, May 1998.



Turbo Codes: Key Developments

- J. Hagenauer, E. Offer, and L. Papke, "Iterative decoding of binary block and convolutional codes," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 429–445, Mar. 1996.
- S. Benedetto, D. Divsalar, G. Montorsi, and F. Pollara, "Serial concatenation of interleaved codes: Performance analysis, design and iterative decoding," in *IEEE Transactions on Information Theory*, vol. IT-44, pp. 909–926, May 1998.
- S. ten Brink, "Convergence of iterative decoding," in *IEE Electronics Letters*, vol. 35, pp. 806–808, May 1999.



Turbo Codes: Key Developments

- J. Hagenauer, E. Offer, and L. Papke, "Iterative decoding of binary block and convolutional codes," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 429–445, Mar. 1996.
- S. Benedetto, D. Divsalar, G. Montorsi, and F. Pollara, "Serial concatenation of interleaved codes: Performance analysis, design and iterative decoding," in *IEEE Transactions on Information Theory*, vol. IT-44, pp. 909–926, May 1998.
- S. ten Brink, "Convergence of iterative decoding," in *IEE Electronics Letters*, vol. 35, pp. 806–808, May 1999.
- D. Divsalar, S. Dolinar, and F. Pollara, "Iterative turbo decoder analysis based on density evolution," in *IEEE Journal on Selected Areas in Communications*, vol. SAC-19, pp. 891–907, May 2001.



Turbo Codes: Key Developments

- J. Hagenauer, E. Offer, and L. Papke, "Iterative decoding of binary block and convolutional codes," in *IEEE Transactions on Information Theory*, vol. IT-42, pp. 429–445, Mar. 1996.
- S. Benedetto, D. Divsalar, G. Montorsi, and F. Pollara, "Serial concatenation of interleaved codes: Performance analysis, design and iterative decoding," in *IEEE Transactions on Information Theory*, vol. IT-44, pp. 909–926, May 1998.
- S. ten Brink, "Convergence of iterative decoding," in *IEE Electronics Letters*, vol. 35, pp. 806–808, May 1999.
- D. Divsalar, S. Dolinar, and F. Pollara, "Iterative turbo decoder analysis based on density evolution," in *IEEE Journal on Selected Areas in Communications*, vol. SAC-19, pp. 891–907, May 2001.
- H. El Gamal and A. R. Hammons Jr., "Analyzing the turbo decoder using the Gaussian approximation," in *IEEE Transactions on Information Theory*, vol. IT-47, pp. 671–686, Feb. 2001.

