



## Module 01

Partha Pratim Das

Objectives &  
Outline

Is Software  
Engineering?

Evolution of  
Domains

Why Software  
Project Fail?

Software:  
Development  
→  
Construction

KYC

Outline

Text

Examples

TAs

Summary

# Module 01: Object-Oriented Analysis & Design

## Challenges in Software Engineering

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This presentation uses diagrams, examples and selected texts from *Object-Oriented Analysis and Design – With Applications* by Grady Booch et. al. (3rd Ed, 2007) with kind permission of the author



# Module Objectives

## Module 01

Partha Pratim Das

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Is Software Engineering?

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Why Software Project Fail?

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### KYC

Outline

Text

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TAs

Summary

- Understand why Software is still *developed* and **not constructed**
- Understand why Software projects fail
- Take a glimpse of the remedial measures
- KYC: Know Your Course





# Module Outline

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Outline  
Text  
Examples  
TAs

Summary

- Is Software *Engineering*?
  - Evolution of Engineering Domains
- Why Software Projects Fail?
- Software: Development → Construction
- KYC: Know Your Course
  - Course Outline
  - Course Text Book
  - Course Examples
  - Course TAs



# Software Engineering

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Summary

Software Development



The order of the day is to refer to **Software Development** as **Software Engineering**

Is it fair?

Can we really **Construct Software** as Civil Engineers build bridges?



# Engineering: Skills of Construction

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Summary

- **Civil Engineering**
  - Construction of Buildings
- **Mechanical Engineering**
  - Construction of Automobiles
- **Electrical Engineering**
  - Construction of Power Plants
- **Software Engineering**
  - *Development of Software*



# Evolution of Domains

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Text  
Examples  
TAs

Summary

- Bridges
- Surgery
- Airplanes
- Software



# Bridge Construction – Art of Connecting

## Module 01

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Is Software Engineering?

Evolution of Domains

Why Software Project Fail?

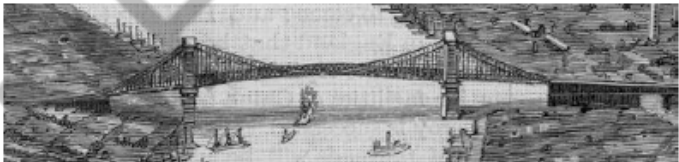
Software: Development  
→ Construction

KYC

Outline  
Text  
Examples  
TAs

Summary

- Early Wood, Stone – *time immemorial*
- Then Iron, Steel
- Concrete Bridges
- *Constructing a bridge* is different from *innovating a bridge* (with new material, for instance) for the first time
- *Engineers use well established metrics, standards to design bridges* - they do not innovate at this stage





# Medical Surgery – Art of Curing

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KYC

Outline

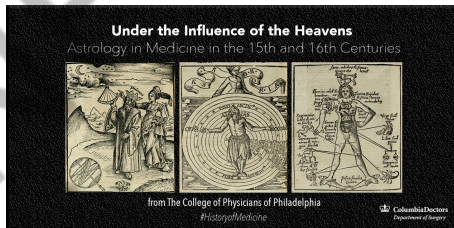
Text

Examples

TAs

Summary

- *Health was thought to be restored by purging, starving, vomiting or bloodletting*
  - Surgeons and barbers specialized in this practice
  - Widely practiced in 18<sup>th</sup> and 19<sup>th</sup> century
  - Declared quackery by 1900
- Infection control
  - Survived surgery, died out of infection
  - Germ theory and sterility came only in late 1800's
  - *Strict protocols for Surgery*. Rate of infection < 2.5%







# Airplanes – Art of Flying

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Partha Pratim  
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TAs

Summary

- 400 BC Chinese fly kite aspiring humans to fly
- For centuries, we tried to fly like birds ... disastrous
- Steam powered, hot air
- Gliders, single man
- Engine powered
- 1903 Wright brothers' first flight - 12 seconds, 120 feet, 10 feet altitude
- *Manufacture of airplanes and flying them are strictly regulated by proven practices, standards*





# Software Development – Art of Problem Solving

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Summary

- Vision of Mechanical Computing by Babbage (1810's)
- Relatively nascent field in comparison
  - Incompleteness Theorem (1931) by Kurt Godel
  - Turing machines (1936) by Alan Turing
- Today, machines are getting faster or more powerful
- Yet, is software delivered *successfully*? That is,
  - On time
  - Within budget
  - Feature complete
  - Working (failure free)



# Success of Software Projects – Or the Lack of It

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Partha Pratim Das

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Outline

Text

Examples

TAs

Summary

- How successful are we in developing software?
- More than 30% of software projects fail - irrespective of development paradigm



2011 is the first year where we asked about Lean.  
We only had 40 respondents for this paradigm.

Copyright 2011 Scott W. Ambler [www.amblysoft.com/surveys/](http://www.amblysoft.com/surveys/)

Source: <http://www.amblysoft.com/surveys/success2011.html>



# Success of Software Projects – Or the Lack of It

## Module 01

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Outline

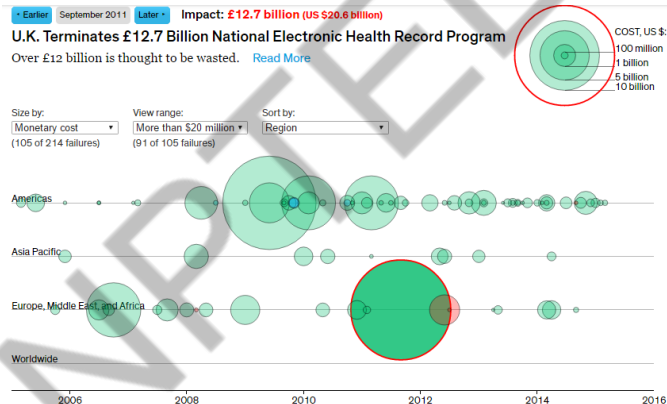
Text

Examples

TAs

Summary

- A decade of failures – 2006 to 2016



Source: <http://spectrum.ieee.org/static/the-staggering-impact-of-it-systems-gone-wrong>



# Why Projects Fail? – Complexity is the culprit!

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Summary

- **Complexity: Changes From Requirements**
  - Customers Learn from the Solution
  - Business Environment and Conditions Change
  - Business Processes are Re-engineered
- **Complexity: Changes From Technology**
  - Tools/Platform Release New Versions
  - Actual Tool/Platform Capabilities may Vary from Plans
- **Complexity: Changes From People**
  - Interactions are Complex
  - Individual Behavior is Unpredictable
- **Complexity: ...**



# Software Engineering

## Module 01

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Outline

Text

Examples

TAs

Summary

- If software engineering like **manufacturing** or like **designing a manufacturing plant**?
  - Is it like making another cell phone? Or,
  - Is it like the making of cell phones?
    - It took 37 years for commercialization
- **Manufacturing** must be *predictive*
  - Measure and control quality
  - Measure and control quantity
- **Designing a manufacturing plant** is *creative / innovative*
  - Most software development is innovative process rather than predictive manufacturing
  - Requires great deal of innovation, interaction / communication



# Software Development → Software Construction

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Outline

Text

Examples

TAs

Summary

- Structured Analysis, Modeling, Design, and Implementation
- Adherence to *Good Practices*

**Object-Oriented Analysis & Design is a precursor to both**



# Object-Oriented Analysis and Design: Course Outline

## Module 01

Partha Pratim  
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Text  
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TAs

Summary

- **Software Complexity:** Understanding the challenges OOAD can address
- **Object Model:** Defining the primitives of the OO paradigm
- **Classes and Objects:** Bringing in the broader perspectives
- **Classes and Objects:** Identification approaches using OOAD
- **Unified Modeling Language:** Understanding UML Diagrams (3 weeks)
- **OOAD Case Studies:** Applying OOAD in different contexts





# Text Book

## Module 01

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Outline

**Text**

Examples

TAs

Summary

## **Object-Oriented Analysis and Design – With Applications**

by *Grady Booch, Robert A. Maksimchuk, Michael W. Engle, Bobbi J. Young, Jim Conallen, Kelli A. Houston*, 3rd Ed., 2007



# Example Systems for OOAD

## Module 01

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Text

Examples

TAs

Summary

- Running Example in Lectures
  - **Leave Management System (LMS)**
  - Examples from Booch's OOAD Book
- Running Example in Assignments
  - (Students') **Assignment Management System (AMS)**
  - Examples from Booch's OOAD Book
- Examples for Complete Workout
  - (Indian) **Postal Management System (PMS)**:
  - (Newspaper) **Story Management System (SMS)**
  - (Rental) **Car Management Systems (CMS)**
  - Examples from Booch's OOAD Book



# Instructor and TAs

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# Module Summary

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Text  
Examples  
TAs

Summary

- *Software Engineering* is closer to *Development* than *Construction*
- Software Projects fails for Complexity, Change
- **OOAD** can take software from *Development* to *Construction*



# Instructor and TAs

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## Module 02

Partha Pratim  
Das

Objectives &  
Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process

Flexibility of  
Software  
Behavior of  
Discrete Systems

Summary

# Module 02: Object-Oriented Analysis & Design

## Complexity of Software

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# Module Objectives

## Module 02

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### Objectives & Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process

Flexibility of  
Software

Behavior of  
Discrete Systems

Summary

- Understand why Software is Complex
- Study the elements of Complexity



# Module Outline

## Module 02

Partha Pratim  
Das

### Objectives & Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process

Flexibility of  
Software

Behavior of  
Discrete Systems

Summary

- Defining Software Complexity
- Four Elements of Complexity
  - The Complexity of the Problem Domain
  - The Difficulty of Managing the Development Process
  - The Flexibility Possible through Software
  - The Problems of Characterizing the Behavior of Discrete Systems





# Module 02: Lecture 02

## Module 02

Partha Pratim  
Das

## Objectives & Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process

Flexibility of  
Software

Behavior of  
Discrete Systems

Summary

- Defining Software Complexity
- Four Elements of Complexity
  - The Complexity of the Problem Domain
  - The Difficulty of Managing the Development Process



# What do we refer to as *Software*?

- Personal or Limited-use Software
- Industrial-Strength Software

NPTEL

Module 02

Partha Pratim  
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Objectives &  
Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process

Flexibility of  
Software

Behavior of  
Discrete Systems

Summary



# Personal or Limited-use Software

## Module 02

Partha Pratim Das

Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain

Development Process

Flexibility of Software

Behavior of Discrete Systems

Summary

- Limited set of behaviors
- Not very complex
- Specified, constructed, maintained, and used by the same person or a small group
  - Amateur programmer, or
  - Professional developer working in isolation
- Tend to have short life span
- Can be thrown away and replaced with entirely new software rather than attempt to reuse them, repair them, or extend their functionality
- Generally more tedious than difficult to develop
- Techniques to develop them is of little interest here



# Industrial-Strength Software

## Module 02

Partha Pratim Das

Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain Development Process

Flexibility of Software Behavior of Discrete Systems

Summary

- Exhibit a very rich set of behaviors – *reactive systems that drive or are driven by events in the physical world*
- Works with scarce resources – *time, space, power, ...*
- Maintains the integrity of millions of records while allowing concurrent updates and queries – *airline booking*
- Commands and controls of real-world entities – *routing of air or railway traffic*
- Tend to have a long life span
- Depended by many users over time on proper functioning
- Usually based on frameworks that simplify the creation of domain-specific applications

**Complexity of Industrial-Strength Software systems exceeds the human intellectual capacity**



# Software is Inherently Complex

## Module 02

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Objectives &  
Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process  
Flexibility of  
Software  
Behavior of  
Discrete Systems

Summary

Inherent complexity derives from four elements:

- The Complexity of the Problem Domain
- The Difficulty of Managing the Development Process
- The Flexibility Possible through Software
- The Problems of Characterizing the Behavior of Discrete Systems



# The Complexity of the Problem Domain

## Module 02

Partha Pratim Das

Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain Development Process

Flexibility of Software Behavior of Discrete Systems

Summary

- **Domains are difficult to understand.** For example:
  - Electronic system of a multi-engine aircraft
  - Merchant Shipping
  - Online Trading and Reconciliation
- **Functional Requirements** are
  - *Complex to master*
  - Often are competing, even contradictory
- **Non-Functional Requirements** (*usability, performance, cost, survivability, and reliability*) are
  - *Often Implicit*
  - Difficult to justify in budget



# The Complexity of the Problem Domain

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Defining Software Complexity

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Problem Domain Development Process

Flexibility of Software Behavior of Discrete Systems

Summary

- **Communication Gap** between *Users* and *Developers*
  - Users *cannot express*; developers *cannot understand*
  - *Lack of expertise* across domains
  - *Different perspectives* on the nature of the problem leading to *different assumptions* regarding the nature of the solution
  - *Few instruments* to precisely capture requirements – large texts with some drawings is all we have
  - Leads to *External Complexity*
- **Changing / Evolving Requirements** during Development
  - Early products lead to *better understanding of needs* by the users
  - Developers get *enlightened through the process of development*



# The Complexity of the Problem Domain

## Module 02

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Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain Development Process

Flexibility of Software

Behavior of Discrete Systems

Summary

- **Large Capital Investments**

- Make *scrapping of projects unfeasible* with changing requirements
- Lead to *inordinate percentage of software preservation*

<b>Maintenance</b>	<i>Correct errors</i>
<b>Evolution</b>	<i>Respond to changing requirements</i>
<b>Preservation</b>	<i>Use extraordinary means to keep an ancient and decaying piece of software in operation</i>





# The Difficulty of Managing the Development Process

## Module 02

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Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain Development Process

Flexibility of Software

Behavior of Discrete Systems

Summary

- Create **Illusion of Simplicity** to hide external complexity. Hence code bases get large
- Code bases are **large in size** (LOC:  $10^5$  to  $10^6$  & more)
  - In spite of the *use of smart languages*
  - In spite of the *reuse of designs and codes*
  - Goes well beyond the comprehension of a single (or small group of) individual/s – even with meaningful decomposition. Hence, we need teams
- Challenges associated with **team development**
  - Large code bases mean more teams with *more developers in more geographies*
  - More developers means *more complex communication* and hence *more difficult coordination*
  - Key management challenge is to maintain a *unity and integrity of design*



# Module 02: End of Lecture 02

## Module 02

Partha Pratim  
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Objectives &  
Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
**Development  
Process**

Flexibility of  
Software

Behavior of  
Discrete Systems

Summary

- Defining Software Complexity
- Four Elements of Complexity
  - The Complexity of the Problem Domain
  - The Difficulty of Managing the Development Process



# Module 02: Lecture 03

- Four Elements of Complexity
  - The Flexibility Possible through Software
  - The Problems of Characterizing the Behavior of Discrete Systems

Module 02

Partha Pratim Das

Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain  
Development Process

Flexibility of Software

Behavior of Discrete Systems

Summary



# The Flexibility Possible through Software

## Module 02

Partha Pratim Das

Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain Development Process

Flexibility of Software

Behavior of Discrete Systems

Summary

### Civil Construction

- **Sources its material** (timber, steel, cement, glass, etc.) from other vendors

- Has **uniform building codes** and standards for the quality of raw materials

- Rarely would a builder think about **adding a new sub-basement** to an existing 100-story building

### Software Development

- Often **builds the components** within team – *because components are also software and can be built*

- **Few standards** exist for reusable components

- Amazingly, users of software systems rarely think twice about **asking for equivalent changes**



# The Problems of Characterizing the Behavior of Discrete Systems

## Module 02

Partha Pratim Das

Objectives & Outline

Defining Software Complexity

Elements of Complexity

Problem Domain

Development Process

Flexibility of Software

Behavior of Discrete Systems

Summary

- Software is built and executed on digital computers – Hence, they are **Discrete Systems**
- A large application would have
  - hundreds or even thousands of variables
  - more than one (often several) thread of control
- A state is:
  - Entire collection of variables
  - The current values of variables
  - The current address of each process
  - The calling stack of each process

**A Software has finite number of states. Yet, many of these the states are often intractable and influenced by external factors**



# Module Summary

## Module 02

Partha Pratim  
Das

Objectives &  
Outline

Defining  
Software  
Complexity

Elements of  
Complexity

Problem Domain  
Development  
Process

Flexibility of  
Software

Behavior of  
Discrete Systems

Summary

- Understood the difference between Limited-use and Industry-strength software
- Studied the four elements of Software Complexity



# Instructor and TAs

## Module 02

Partha Pratim  
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Objectives &  
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## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure

Relative Primitives

Separation of Concerns

Common Patterns

Stable Forms

Summary

# Module 03: Object-Oriented Analysis & Design

## Structure and Attributes of a Complex System

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# Module Objectives

## Module 03

Partha Pratim Das

### Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure

Relative Primitives

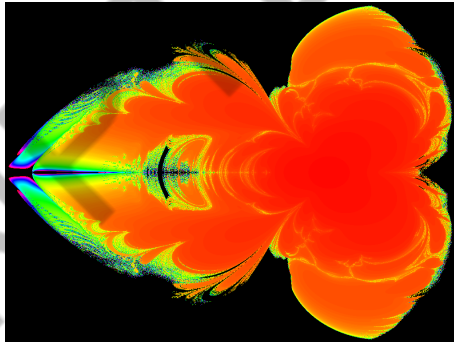
Separation of Concerns

Common Patterns

Stable Forms

Summary

- Understand the Structure of Complex Systems with examples of Man-made, Natural, and Social Systems
- Understand the Attributes of Complex Systems





# Module Outline

## Module 03

Partha Pratim  
Das

### Objectives & Outline

Structure of  
Complex  
Systems

Personal  
Computer  
Plants  
Education  
System in India  
Recap

Attributes of a  
Complex  
System

Hierarchic  
Structure  
Relative  
Primitives  
Separation of  
Concerns  
Common  
Patterns  
Stable Forms

Summary

- Structure of Complex Systems – Examples
  - Personal Computer
  - Plants
  - Education System in India
- Attributes of a Complex System
  - Hierarchic Structure
  - Relative Primitives
  - Separation of Concerns
  - Common Patterns
  - Stable Intermediate Forms



# Module 03: Lecture 04

## Module 03

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## Objectives & Outline

Structure of  
Complex  
Systems

Personal  
Computer  
Plants  
Education  
System in India  
Recap

Attributes of a  
Complex  
System

Hierarchic  
Structure  
Relative  
Primitives  
Separation of  
Concerns  
Common  
Patterns  
Stable Forms

Summary

- Structure of Complex Systems – Examples
  - Personal Computer
  - Plants
  - Education System in India
- Attributes of a Complex System
  - Hierarchic Structure



# The Structure of a Personal Computer

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Central Processing Unit (CPU) – *Executes programs*
  - Arithmetic & Logic Unit (ALU)
    - Registers
      - NAND gate
      - \* CMOS Gate
      - \* Interconnect
    - Inverter
  - Control Logic
  - Primary Memory
  - Bus – peripheral devices
- Hard disk drive – *Persistent storage for data*
- Monitor – *Outputs data*
- Keyboard – *Inputs data*
- Secondary storage device (DVD drive / USB) – *Removable storage for data*



# Building Blocks

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure

Relative Primitives

Separation of Concerns

Common Patterns

Stable Forms

Summary

- Personal Computer
  - Gates – NAND, Inverter, etc.
  - Interconnections



# Complex Systems are Hierarchic

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Each Level of Hierarchy represents a Layer of Abstraction
- Each Layer
  - Is built on top of other layers: CMOS Gates → NAND Gates → Registers
  - (in turn) Supports other layers: CMOS Gates → NAND Gates → Registers
  - Is independently understandable: CPU
  - Works independently with clear separation of concerns: ALU, Memory
- Common services / properties are shared across Layers: Same power-tree feeds the components of CPU
- Layers together show **Emergent Behavior**  
*Behavior of the whole is greater than the sum of its parts*
- Systems demonstrate cross-domain commonality: Cars have processors, memory, display



# The Structure of Plants

## Module 03

Partha Pratim  
Das

Objectives &  
Outline

Structure of  
Complex  
Systems

Personal  
Computer

Plants

Education  
System in India  
Recap

Attributes of a  
Complex  
System

Hierarchic  
Structure  
Relative  
Primitives  
Separation of  
Concerns  
Common  
Patterns  
Stable Forms

Summary

- Roots – *Absorb water and minerals from the soil*
  - Branch Roots
    - Collection of Cells
      - Chloroplasts
      - Nucleus
  - Root Hairs
    - Collection of Cells ...
  - Root Apex
  - Root Cap
- Stems – *Transport raw materials from roots up to leaves*
- Leaves – *Use the water and minerals for photosynthesis*
  - Epidermis
  - Mesophyll
  - Vascular Tissue



# Building Blocks

## Module 03

Partha Pratim Das

Objectives &  
Outline

Structure of  
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Systems

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Attributes of a  
Complex  
System

Hierarchic  
Structure

Relative  
Primitives

Separation of  
Concerns

Common  
Patterns

Stable Forms

Summary

- Plants
  - Cells – different types
  - Vessels





# Complex Systems are Hierarchic

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Each Level of Hierarchy represents a Layer of Abstraction
- Each Layer
  - Is built on top of other layers: Cells → Branch Roots → Roots
  - (in turn) Supports other layers: Cells → Branch Roots → Roots
  - Is independently understandable: Leaf
  - Works independently with clear separation of concerns: Roots, Leaves
- Common services / properties are shared across Layers: Oxygen supply to Roots, Stems, & Leaves
- Layers together show **Emergent Behavior**  
*Behavior of the whole is greater than the sum of its parts*
- Systems demonstrate cross-domain commonality: Cells are constituents of plants & animals



# The Structure of Education System in India

## Module 03

Partha Pratim  
Das

Objectives &  
Outline

Structure of  
Complex  
Systems

Personal  
Computer  
Plants  
Education  
System in India  
Recap

Attributes of a  
Complex  
System

Hierarchic  
Structure  
Relative  
Primitives  
Separation of  
Concerns  
Common  
Patterns  
Stable Forms

Summary

- CFTIs – IIT, IIM, NIT, IISER, etc.
  - Departments
    - Students
    - Teachers
    - Staffs
  - Library
- UGC / AICTE – *Regulates higher education*
  - Universities
    - Colleges
      - Departments
      - \* Students ...
- CBSE / ICSE – *Regulates school education*
  - Schools
    - Students ...
    - Play Ground



# Building Blocks

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer Plants

Education System in India Recap

Attributes of a Complex System

Hierarchic Structure Relative Primitives

Separation of Concerns

Common Patterns Stable Forms

Summary

- Education System
  - Knowledge Delivery – Contact, Remote, MOOCs
  - Examination – Written, Oral, Practical
  - Admission
  - Graduation



# Complex Systems are Hierarchic

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer Plants

Education System in India Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Each Level of Hierarchy represents a Layer of Abstraction
- Each Layer
  - Is built on top of other layers: Departments → Colleges → Universities
  - (in turn) Supports other layers: Departments → Colleges → Universities
  - Is independently understandable: College
  - Works independently with clear separation of concerns: Departments, Library
- Common services / properties are shared across Layers: NKN is shared between institutes; Departments use the same telephone system
- Layers together show **Emergent Behavior**  
*Behavior of the whole is greater than the sum of its parts*
- Systems demonstrate cross-domain commonality: Similar Leave policy for Teachers & Staff of PSUs



# Building Blocks – RECAP

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Personal Computer
  - Gates – NAND, Inverter, etc.
  - Interconnections
- Plants
  - Cells – different types
  - Vessels
- Education System
  - Knowledge Delivery – Contact, Remote, MOOCs
  - Examination – Written, Oral, Practical
  - Admission
  - Graduation



# Complex Systems are Hierarchic – RECAP

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer  
Plants  
Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Each Level of Hierarchy represents a Layer of Abstraction
- Each Layer
  - Is built on top of other layers: CMOS Gates → NAND Gates → Registers; Cells → Branch Roots → Roots; Departments → Colleges → Universities
  - (in turn) Supports other layers: CMOS Gates → NAND Gates → Registers; Cells → Branch Roots → Roots; Departments → Colleges → Universities
  - Is independently understandable: CPU, Leaf, College
  - Works independently with clear separation of concerns: ALU, Memory; Roots, Leaves; Departments, Library
- Common services / properties are shared across Layers: Same power-tree feeds the components of CPU; Oxygen supply to Roots, Stems, & Leaves; NKN is shared between institutes; Departments use the same telephone system
- Layers together show **Emergent Behavior**  
*Behavior of the whole is greater than the sum of its parts*
- Systems demonstrate cross-domain commonality: Cars have processors, memory, display; Cells are constituents of plants & animals; Similar Leave policy for Teachers & Staff of PSUs; Laws of conservation of momentum apply equally in astronomy (macro) and nuclear physics (micro)



# Complex Systems are Hierarchic – RECAP

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Each Level of Hierarchy represents a Layer of Abstraction
- Each Layer
  - Is built on top of other layers
  - (in turn) Supports other layers
  - Is independently understandable
  - Works independently with clear separation of concerns
- Common services / properties are shared across Layers
- Layers together show **Emergent Behavior**  
*Behavior of the whole is greater than the sum of its parts*
- Systems demonstrate cross-domain commonality



# The Five Attributes of a Complex System

## Module 03

Partha Pratim  
Das

Objectives &  
Outline

Structure of  
Complex  
Systems

Personal  
Computer  
Plants  
Education  
System in India  
Recap

Attributes of a  
Complex  
System

Hierarchic  
Structure  
Relative  
Primitives  
Separation of  
Concerns  
Common  
Patterns  
Stable Forms

Summary

- Hierarchic Structure
- Relative Primitives
- Separation of Concerns
- Common Patterns
- Stable Intermediate Forms





# Hierarchic Structure

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

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Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure

Relative Primitives

Separation of Concerns

Common Patterns

Stable Forms

Summary

- All **systems** are composed of **interrelated sub-systems**
- Sub-systems are composed of sub-sub-systems, and so on
- Lowest level sub-systems are composed of **elementary components**
- All systems are parts of larger systems
- The value added by a system must come from the relationships between the parts, not from the parts per se

**We can understand only those systems that have a hierarchic structure**



# Module 03: End of Lecture 04

## Module 03

Partha Pratim  
Das

Objectives &  
Outline

Structure of  
Complex  
Systems

Personal  
Computer  
Plants  
Education  
System in India  
Recap

Attributes of a  
Complex  
System

**Hierarchic  
Structure**  
Relative  
Primitives  
Separation of  
Concerns  
Common  
Patterns  
Stable Forms

Summary

- Structure of Complex Systems – Examples
  - Personal Computer
  - Plants
  - Education System in India
- Attributes of a Complex System
  - Hierarchic Structure



# Module 03: Lecture 05

- Attributes of a Complex System
  - Hierarchic Structure
  - Relative Primitives
  - Separation of Concerns
  - Common Patterns
  - Stable Intermediate Forms

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

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Recap

Attributes of a Complex System

**Hierarchic Structure**

Relative Primitives

Separation of Concerns

Common Patterns

Stable Forms

Summary



# Relative Primitives

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

Plants

Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure

**Relative Primitives**

Separation of Concerns

Common Patterns

Stable Forms

Summary

- **Subjective Choice** – strongly dependent on the experience and expertise of the designer
- What is primitive for one observer may be at a much higher level of abstraction for another.

**The choice of what components in a system are primitive is relatively arbitrary and is largely up to the discretion of the observer of the system**



# Separation of Concerns

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

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Recap

Attributes of a Complex System

Hierarchic Structure

Relative Primitives

Separation of Concerns

Common Patterns

Stable Forms

Summary

- Hierarchic systems are:
  - **decomposable** – *can be divided into identifiable parts*
  - **nearly decomposable** – *the parts are not completely independent*

### Intracomponent linkages

- Involves the *internal structure* of the components
- *Stronger*
- *High-frequency dynamics*

### Intercomponent linkages

- Involves *interaction among components*
- *Weaker*
- *Low frequency dynamics*

**Difference between intra- and intercomponent interactions provides a clear Separation of Concerns among the various parts of a system – helps the analysis and design in isolation**



# Common Patterns

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer

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Recap

Attributes of a Complex System

Hierarchic Structure

Relative Primitives

Separation of Concerns

**Common Patterns**

Stable Forms

Summary

- Complex systems have **Common Patterns**
- Complex systems are composed of only a few different kinds of subsystems in various combinations and arrangements (cells found in both plants and animals etc.)

**Common Patterns are a major source of reuse in OOAD.**

**Examples include Design Patterns, STL in C++, Data Structures in Python etc.**



# Stable Intermediate Forms

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer  
Plants  
Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- It is extremely difficult to design a complex system correctly in one go
- Start with a simple system and then refine (*Iterative Refinement*)
- Objects, once considered complex, become the primitive objects on which more complex systems are built
- The system matures from one intermediate form to the next

**A complex system that works is invariably found to have evolved from a simple system that worked**

**A complex system designed from scratch never works and cannot be patched up to make it work**



# Module Summary

## Module 03

Partha Pratim Das

Objectives & Outline

Structure of Complex Systems

Personal Computer  
Plants  
Education System in India  
Recap

Attributes of a Complex System

Hierarchic Structure  
Relative Primitives  
Separation of Concerns  
Common Patterns  
Stable Forms

Summary

- Analyzed the structure of man-made, natural and social complex systems to understand their generic principles by elucidation
- Summarized the attributes of a complex system:
  - Hierarchic Structure
  - Relative Primitives
  - Separation of Concerns
  - Common Patterns
  - Stable Intermediate Forms





# Instructor and TAs

## Module 03

Partha Pratim  
Das

Objectives &  
Outline

Structure of  
Complex  
Systems

Personal  
Computer

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Education  
System in India  
Recap

Attributes of a  
Complex  
System

Hierarchic  
Structure

Relative  
Primitives

Separation of  
Concerns

Common  
Patterns

Stable Forms

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## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

# Module 04: Object-Oriented Analysis & Design

## Handling of Complexity

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Himadri B G S Bhuyan

This presentation uses diagrams, examples and selected texts from *Object-Oriented Analysis and Design – With Applications* by Grady Booch et. al. (3rd Ed, 2007) with kind permission of the author



# Module Objectives

- Understand how to handle complexity

Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary



# Module Outline

## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

- **Organized Complexity:** Canonical Form
  - Decomposition Hierarchy
  - Abstraction Hierarchy
  - Class and Object Structure
  - Canonical Form
- **Disorganized Complexity:** Limited Human Capacity



# Handling Complexity

## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

- **Organized Complexity:** The Canonical Form of a Complex System
- **Disorganized Complexity:** The Limitations of the Human Capacity for Dealing with Complexity



# Hierarchies of a Complex System

## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

- Hierarchies are of multiple types
  - Decomposition – **part-of** or **HAS-A**
  - Abstraction – **IS-A**



# Decomposition (**HAS–A**) Hierarchy

## Module 04

Partha Pratim  
Das

Objectives &  
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Complexity

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Hierarchy

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Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

- Personal Computer is composed of
  - CPU
  - Memory
  - Keyboard
  - HDD
  - ...
- This is **Decomposition Hierarchy**



# Abstraction (**IS–A**) Hierarchy

## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

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Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

- A CPU can be one of
  - Pentium
  - Pentium + MMX
  - Pentium II
  - Celeron
  - Pentium III
  - ...
- All processors are different in details, but share the same functionality of a CPU. We say:
  - Pentium IS–A CPU
  - Pentium + MMX IS–A Pentium
  - Celeron IS–A Pentium II
  - ...
- This is **Abstraction Hierarchy**





# Class and Object Structures

## Module 04

Partha Pratim Das

Objectives & Outline

Handling Complexity

Canonical Form

Decomposition Hierarchy

Abstraction Hierarchy

Class and Object Structures

Canonical Form

Human Capacity

Summary

- Hierarchies are referred to as:
  - **Class Structure:** Abstraction (IS-A)
  - **Object Structure** Decomposition (HAS-A)

### Note:

- *These are class structure and object structure at a higher level of abstraction*
- *These make up complex systems, for example, a jet engine, an airframe, the various types of seats, an autopilot subsystem, and so forth*
- *These are not classes and objects that we create when coding in software*



# Key Hierarchies of Complex Systems

## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

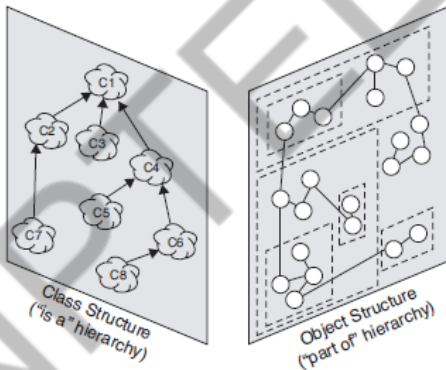
Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary



## *The Key Hierarchies of Complex Systems*

**Source:** *Object-Oriented Analysis and Design – With Applications* by Grady Booch et. al. (3rd Ed, 2007)



# The Canonical Form of a Complex System

## Module 04

Partha Pratim Das

Objectives & Outline

Handling Complexity

Canonical Form

Decomposition Hierarchy

Abstraction Hierarchy

Class and Object Structures

Canonical Form

Human Capacity

Summary

- System Architecture
  - **Class Structure:** Abstraction (IS-A)
  - **Object Structure** Decomposition (HAS-A)
- Five Attributes of a Complex System
  - Hierarchic Structure
  - Relative Primitives (multiple levels of abstraction)
  - Separation of Concerns
  - Common Patterns
  - Stable Intermediate Forms
- This is **Organized Complexity**

**Virtually, all complex systems take on the above canonical form**



# The Canonical Form of a Complex System

## Module 04

Partha Pratim Das

Objectives & Outline

Handling Complexity

Canonical Form

Decomposition Hierarchy

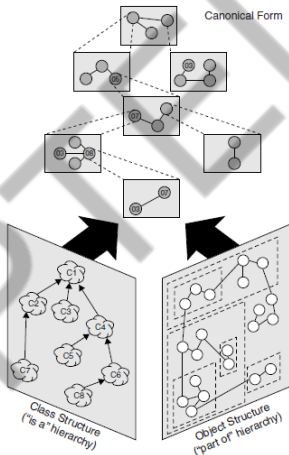
Abstraction Hierarchy

Class and Object Structures

Canonical Form

Human Capacity

Summary



## *The Canonical Form of a Complex System*

**Source:** *Object-Oriented Analysis and Design – With Applications* by Grady Booch et. al. (3rd Ed, 2007)



# The Limitations of the Human Capacity for Dealing with Complexity

## Module 04

Partha Pratim Das

Objectives & Outline

Handling Complexity

Canonical Form

Decomposition Hierarchy

Abstraction Hierarchy

Class and Object Structures

Canonical Form

Human Capacity

Summary

- Maximum number of chunks of information that an individual can simultaneously comprehend is on the order of seven, plus or minus two
- Human channel capacity is related to the capacity of short-term memory
- Processing speed is a limiting factor – it takes the mind about five seconds to accept a new chunk of information
- This leads to **Disorganized Complexity**



# Module Summary

## Module 04

Partha Pratim  
Das

Objectives &  
Outline

Handling  
Complexity

Canonical  
Form

Decomposition  
Hierarchy

Abstraction  
Hierarchy

Class and Object  
Structures

Canonical Form

Human  
Capacity

Summary

- Outlined approaches to handling Complexity
  - **Organized Complexity:** Canonical Form
  - **Disorganized Complexity:** Limited Human Capacity

---

### Fundamental dilemma:

*The complexity of the software systems we are asked to develop is increasing, yet there are basic limits on our ability to cope with this complexity*

---

### How then do we resolve this predicament?

---



# Instructor and TAs

## Module 04

Partha Pratim  
Das

Objectives &  
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Canonical  
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Canonical Form

Human  
Capacity

Summary

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## Module 05

Partha Pratim  
Das

Objectives &  
Outline

Order to  
Chaos

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

# Module 05: Object-Oriented Analysis & Design

## Bringing Order to Chaos

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# Recap

## Module 05

Partha Pratim  
Das

Objectives &  
Outline

Order to  
Chaos

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

- **Module 01:** Why Software Projects Fail?
- **Module 02:** Four Elements of Complexity
  - The Complexity of the Problem Domain
  - The Difficulty of Managing the Development Process
  - The Flexibility Possible through Software
  - The Problems of Characterizing the Behavior of Discrete Systems
- **Module 03:** Structure and Attributes of a Complex System
  - Hierarchic Structure
  - Relative Primitives
  - Separation of Concerns
  - Common Patterns
  - Stable Intermediate Forms
- **Module 04:** Handling of Complexity
  - Decomposition Hierarchy
  - Abstraction Hierarchy
  - Class and Object Structure
  - Organized Complexity: Canonical Form
  - Disorganized Complexity: Limited Human Capacity



# Module Objectives

## Module 05

Partha Pratim  
Das

### Objectives & Outline

Order to  
Chaos

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

- Build strategies for design of complex systems
- Understand design and model



# Module Outline

## Module 05

Partha Pratim  
Das

Objectives &  
Outline

Order to  
Chaos

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

- Bringing Order to Chaos
  - The Role of Decomposition
  - The Role of Abstraction
  - The Role of Hierarchy
- Designs and Models
  - What is Design?
  - Model Building



# Module 05: Lecture 07

## Module 05

Partha Pratim  
Das

Objectives &  
Outline

**Order to  
Chaos**

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

- Bringing Order to Chaos
  - The Role of Decomposition



# The Role of Decomposition

## Module 05

Partha Pratim  
Das

Objectives &  
Outline

Order to  
Chaos

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

- Algorithmic Decomposition
- Object-Oriented Decomposition
- Algorithmic versus Object-Oriented Decomposition



# Algorithmic Decomposition

## Module 05

Partha Pratim  
Das

Objectives &  
Outline

Order to  
Chaos

Decomposition  
Abstraction  
Hierarchy

Designs &  
Models

Design  
Models

Summary

- Top-down structured design
- Divide-and-Conquer
- Decompose the problem into key processing steps



# Algorithmic Decomposition

## Module 05

Partha Pratim Das

Objectives & Outline

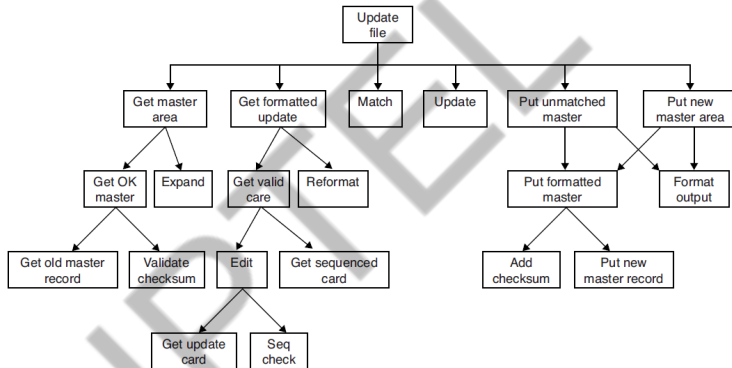
Order to Chaos

Decomposition  
Abstraction  
Hierarchy

Designs & Models

Design  
Models

Summary



## Algorithmic Decomposition: Structure Chart

- Design of a program that updates the content of a master file
- Automatically generated from a data flow diagram by an expert system tool
- Decompose the problem into steps like *Get formatted update & Add checksum*

**Source:** *Object-Oriented Analysis and Design – With Applications* by Grady Booch et. al. (3rd Ed, 2007)



# Object-Oriented Decomposition

## Module 05

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Summary

- Decompose the system according to the key abstractions in the problem domain
- Objects identified directly from the vocabulary of the problem domain





# Object-Oriented Decomposition

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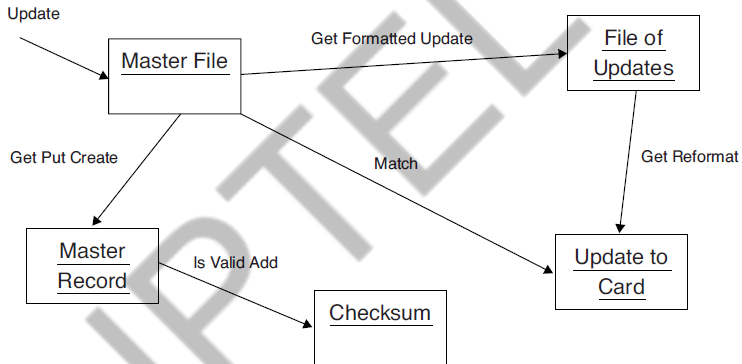
Order to Chaos

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Summary



## Object-Oriented Decomposition

- Decompose according to the key abstractions
- Identify objects like *Master File* and *Checksum* directly from the problem

**Source:** *Object-Oriented Analysis and Design – With Applications* by Grady Booch et. al. (3rd Ed, 2007)



# Object-Oriented Decomposition

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Summary

- Distinct approach compared to Algorithmic Decomposition
- We view the world as a set of autonomous agents that collaborate to perform some higher-level behavior
- Get Formatted Update
  - Removed as an independent algorithm
  - Becomes an operation associated with the object
- File of Updates
  - Calling Get Formatted Update on File of Updates the operation creates another object, Update to Card
- Each object embodies its own unique behavior, and each one models some object in the real world



# Object-Oriented Decomposition

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Summary

- An object is simply a tangible entity that exhibits some well-defined behavior
- Objects do things, and we ask them to perform what they do by sending them messages
- Because our decomposition is based on objects and not algorithms, we call this an **object-oriented decomposition**



# Client-Server Computing Model

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Summary

- Behavior is
  - Services provided by an object
- Services are invoked by
  - Sending Messages
- In Client-Server View
  - Clients request for Services
  - Servers provide Services
  - Contract between client and server ensures correctness



# Module 05: End of Lecture 07

## Module 05

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Summary

- Bringing Order to Chaos
  - The Role of Decomposition



# Module 05: Lecture 08

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Summary

- Bringing Order to Chaos
  - The Role of Decomposition – *continued*
  - The Role of Abstraction
  - The Role of Hierarchy
- Designs and Models
  - What is Design?
  - Model Building



# Algorithmic versus Object-Oriented Decomposition: Evolution of Design Methods

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Summary

- **Top-down structured design**
  - Oldest and most traditional
  - Does not address the issues of data abstraction and information hiding
  - Does not provide an adequate means of dealing with concurrency
  - Does not scale up well for extremely complex systems
  - Is largely inappropriate for use with object-based and object-oriented programming languages.
- **Data-driven design**
  - Mapping system inputs to outputs derives the structure of a software system
  - Has been successfully applied to a number of complex domains (*information management systems*)
  - Less effective for time-critical events
- **Object-oriented design**
  - Models software systems as collections of cooperating objects
  - Treats individual objects as instances of a class within a hierarchy of classes
  - OOAD directly reflects the topology of high-order programming languages (C++ / Java)



# Algorithmic versus Object-Oriented Decomposition

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Summary

### Algorithmic

- Highlights the **ordering of events**
- Typically **larger systems**
- Exposes **lower reuse**
- **Less resilient** and **more risky** to build complex systems with
- Typically flat and unable to reduce complexity
- Computing Model is typically **von Neumann**
- Low **concurrency**

### Object-Oriented

- Emphasizes the **agents** that either (1) Cause action (**Clients**) or (2) Are the subjects on which these operations act (**Servers**)
- Yields **smaller systems** through the reuse of common mechanisms
- Leverages **high reuse**
- **More resilient** to change due to underlying **stable intermediate forms**
- **Reduces the risk** of building complex software systems because they evolve incrementally (**iterative refinement**)
- Directly addresses the inherent complexity of software by using the **separation of concerns** in a large state space
- Computing Model is **Client-Server**
- Inherently **distributed**; High **concurrency**





# The Role of Abstraction

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Summary

- *Disorganized Complexity* results from
  - *Storage (STM) limitations* of human brain – an individual can simultaneously comprehend of the order of seven, plus or minus two chunks of information
  - *Speed limitations* of human brain – it takes the mind about five seconds to accept a new chunk of information
- **Abstraction** provides the major tool to handle Disorganized Complexity by *chunking information*
- Ignore its inessential details, dealing only with the generalized, idealized model of the object

Consider: A binary number **110010101001**

Hard to remember. Right?

Try the octal form: **(110)(010)(101)(001) ⇒ 6251**

Or the hex form: **(1100)(1010)(1001) ⇒ CA9**



# The Role of Hierarchy

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Summary

- Increase the semantic content of individual chunks of information by explicitly recognizing the class and object hierarchies
- *Object structure*  $\Rightarrow$  Illustrates how different objects collaborate with one another through patterns of interaction that we call mechanisms
- *Class structure*  $\Rightarrow$  Highlights common structure and behavior within a system



# What is Design?

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Summary

Before forging ahead and plunging into Object-Oriented Design Paradigm, let us provide a crisp view of what we consider a *Design*. A Design:

- Satisfies a given (perhaps informal) **functional specification**
- Conforms to **limitations of the target medium**
- Meets implicit or explicit **requirements on performance and resource usage**
- Satisfies implicit or explicit **design criteria on the form of the artifact**
- Satisfies **restrictions on the design process** itself, such as its length or cost, or the tools available for doing the design



# Model Building

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Summary

- Physics
    - Time-Distance Equation
  - Chemistry
    - Valency-Bond Structures
  - Geography
    - Maps
    - Projections
  - Electrical Circuits
    - Kirchoff's Loop Equations
    - Time Series Signals and FFT
    - Transistor Models
    - Schematic Diagram
    - Interconnect Routing
  - Building & Bridges
    - Drawings – Plan, Elevation, and Side view
    - Finite Element Models
- Models are common in all engineering disciplines
  - Model building follows principles of decomposition, abstraction, and hierarchy
  - Each model describes a specific aspect of the system
  - Build new models upon old proven models



# Module Summary

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Summary

- Decomposition, Abstraction and Hierarchy can help in containing the complexity of a complex software system
- The products of design are models that enable us to reason about our structures, make trade-offs when requirements conflict, and in general, provide a blueprint for implementation
- Models help us immensely to reason with, design, build and debug systems
- We next look into **Object Models**



# Instructor and TAs

## Module 05

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Summary

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