

# An introduction to Information Theory

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Coding a single random variable

Prefix-free code

Kraft's inequality

## Lecture #3A: Block to variable length coding-I: Prefix-free code



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## Outline of the lecture

- Coding a single random variable



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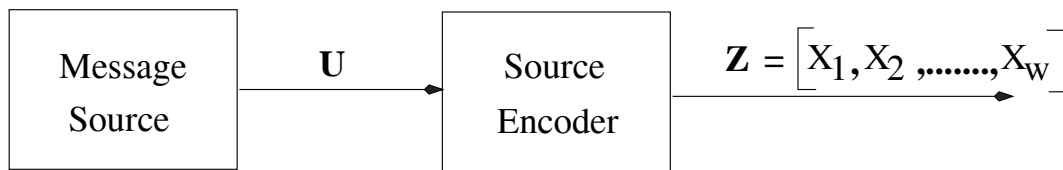


# Outline

- 1 Coding a single random variable
- 2 Prefix-free code
- 3 Kraft's inequality



## Coding a single random variable

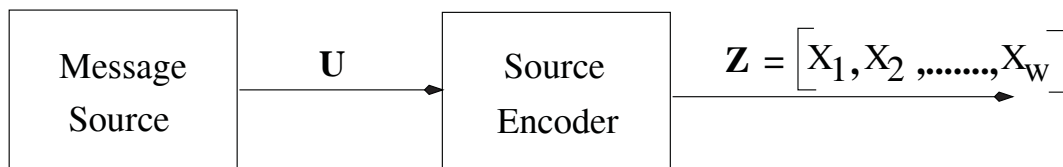


Variable length coding scheme

- $\mathbf{U}$  is a  $K$ -ary random variable.



## Coding a single random variable

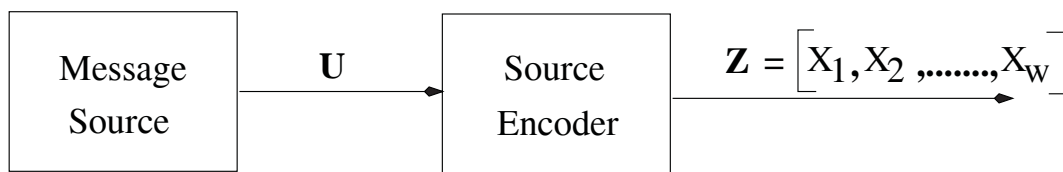


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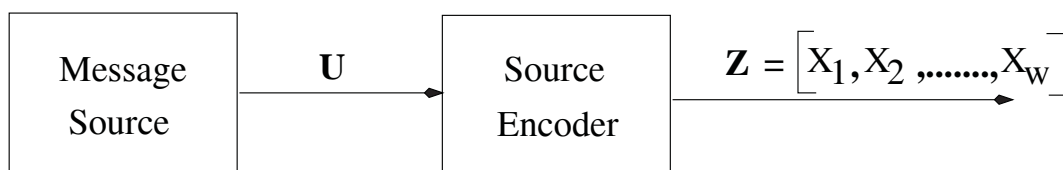


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## Coding a single random variable



Variable length coding scheme

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- $X_i$  takes on values in the  $D$ -ary alphabet.
- $\mathbf{W}$  is a random variable, i.e.  $\mathbf{Z}$  is variable length.
- A list  $(z_1, z_2, \dots, z_K)$  of  $D$ -ary sequences is a codeword of  $U = [u_1, u_2, \dots, u_K]$ .



## Coding a single random variable

- If  $\mathbf{z}_i = [x_{i1}, x_{i2}, \dots, x_{iw_i}]$  is the codeword for  $u_i$ , and  $w_i$  is the length of this codeword, then average codeword length is defined as

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- Smallness of average codeword length is a measure of goodness of the code.



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# Uniquely decodeable codes

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- Can a non-prefix free code be uniquely decodeable?

$U$	$Z$
$u_1$	0
$u_2$	10
$u_3$	11

Prefix-free code

$U$	$Z$
$u_1$	1
$u_2$	00
$u_3$	11

Not a prefix-free code

## Test for uniquely decodeability

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- In general to form  $S_n, n > 1$ , we compare  $S_0$  and  $S_{n-1}$ . If a codeword in  $S_0$  is a prefix of a codeword in  $S_{n-1}$  or vice-versa, we place the suffix  $\in S_n$ .



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- A code is uniquely decodeable if and only if none of the sets  $S_1, S_2, \dots$  contains a code word that is a member of  $S_0$ .



## Test for uniquely decodeability

$S_0$	$S_1$	$S_2$	$S_3$	$S_4$	$S_5$	$S_6$	$S_7$
a	d	eb	de	b	ad	d	eb
c	bb	cde			bcde		
ad							
abb							
bad							
deb							
bbcde							



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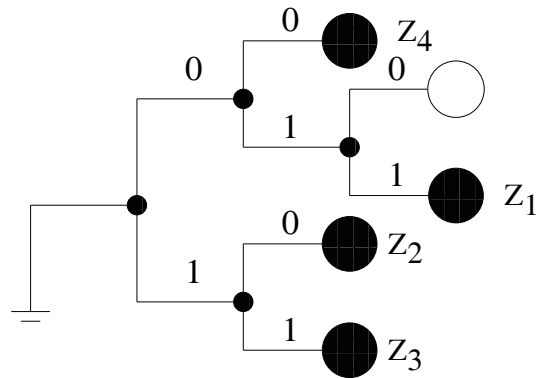


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- Ex. Draw binary tree for the following code  
 $z_1 = [011], z_2 = [10], z_3 = [11],$  and  $z_4 = [00]$



# Prefix-free code



Binary tree for prefix-tree code



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# Kraft's inequality

- There exists a D-ary prefix-free code whose codeword lengths are the positive integers  $w_1, w_2, \dots, w_K$  if and only if

$$\sum_{i=1}^K D^{-w_i} \leq 1$$

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Sketch of Proof:

- In the full D-ary tree of length N,  $D^{N-w}$  leaves stem from each node at depth  $w$  where  $w < N$ .
- Suppose there exist a D-ary prefix-free code, construct a tree for the code by pruning the full D-ary tree of length  $N = \max_i w_i$  at all vertices corresponding to codewords.



## Kraft's inequality

- Due to prefix-free condition, if at depth  $w_i$ , we delete  $D^{N-w_i}$  leaves, none of these leaves could have been previously deleted.



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- Dividing the above equation by  $D^N$  we get the necessary condition for prefix-free code.



# Kraft's inequality

- Sketch of converse proof: Suppose  $w_1, \dots, w_K$  are positive integers such that Kraft inequality is satisfied. Consider an ordered list of length  $w_1 \leq w_2 \leq \dots \leq w_K$ . Consider the following algorithm



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- (1)  $i \leftarrow 1$
- (2) Choose  $z_i$  as any surviving node or leaf at depth  $w_i$ , and prune the tree. Stop if there is no such surviving node or leaf.



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- (1)  $i \leftarrow 1$
- (2) Choose  $z_i$  as any surviving node or leaf at depth  $w_i$ , and prune the tree. Stop if there is no such surviving node or leaf.
- (3)  $i = K$  stop , otherwise  $i \leftarrow i + 1$



## Kraft's inequality

- If we are able to choose  $z_K$  in step (2), we are able to construct prefix-free code. Suppose  $z_1, z_2, \dots, z_{i-1}$  have been chosen, the number of surviving leaves at depth  $N$  not stemming from any codeword is

$$D^N - (D^{N-w_1} + D^{N-w_2} + \dots + D^{N-w_{i-1}}) = D^N \left( 1 - \sum_{j=1}^{i-1} D^{-w_j} \right)$$



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- Therefore the number of surviving leaves at depth  $N$  is greater than zero. If there is a surviving leaf at depth  $N$ , there must be some unused nodes at depth  $w_i < N$  and no already chosen codeword can stem outward from such a surviving node. Thus the surviving node can be chosen as  $z_i$ .



# Prefix-free code

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- Since  $\sum_{i=1}^5 3^{-w_i} = 1/3 + 1/9 + 1/9 + 1/27 + 1/81 = 49/81 < 1$ , a prefix-free code exists.

