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EE 669 L 11 / Slide 01

Thermal Oxidation of Silicon

- (i) SiO_2 Structure & Properties
- (ii) Kinetics of Thermal Growth of Silicon
- (iii) Si-SiO_2 interface
- (iv) Characterisation of Quality of SiO_2
- (v) Growth Technology



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MOS Technology is used in creation of Silicon ICs, owe most of it's credit to $\text{Si}-\text{SiO}_2$ system.

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In fact more than calling ICs as Silicon ICs, we should really call ICs as SiO_2 ICs.

SiO_2 is used in VLSI Technology as

- (i) Field Oxide ($4000 \text{ \AA}$ to $1.4 \mu\text{m}$)
- (ii) Masking Oxide ($600 \text{ \AA}$ to $4000 \text{ \AA}$)
- (iii) Gate Oxide (Gate Dielectric) [1 nm to $1000 \text{ \AA}$]
- (iv) Pad Oxide (100 to $400 \text{ \AA}$)
- (v) Chemical oxide ; During RCA cleaning

Technologies used for creation of SiO_2

1. Thermal Oxidation

(a) Dry Oxidation

(b) Wet Oxidation

2. Deposition techniques

(a) Chemical Vapour Deposition

(b) Physical Vapour Deposition

(c) Rapid Thermal Oxidation

(d) Sol.-gel Process

(e) Plasma Oxidation

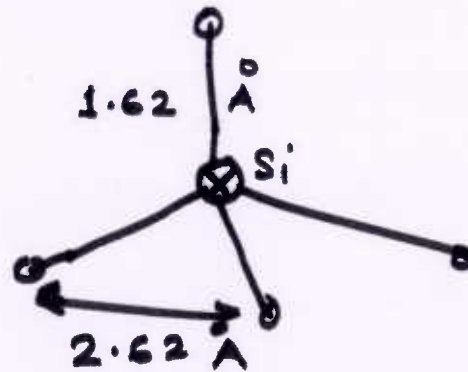
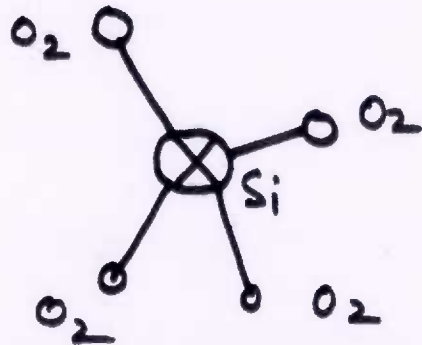
Thermal Oxidation is most basic oxidation technique and is most important step in creation of Gate-Oxide in a MOS Transistor.



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Properties of Silicon Dioxide

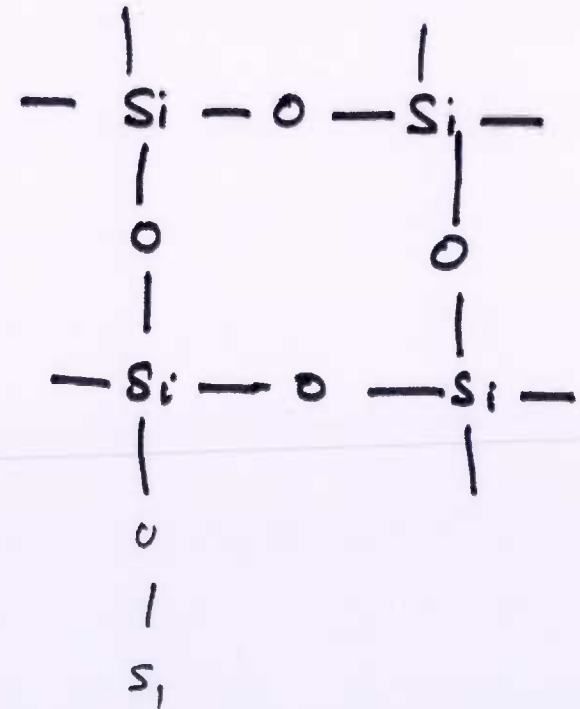
(i) Bonding : Si-O-Si is a natural bond in SiO_2 .



O-O Bond length $\rightarrow 2.62 \text{ Å}$

Si-O Bond Length $\rightarrow 1.62 \text{ Å}$

Si-Si Bond Length $\rightarrow 3 \text{ Å}$



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SiO_2 is a Dielectric Material and is available in (i) Amorphous phase

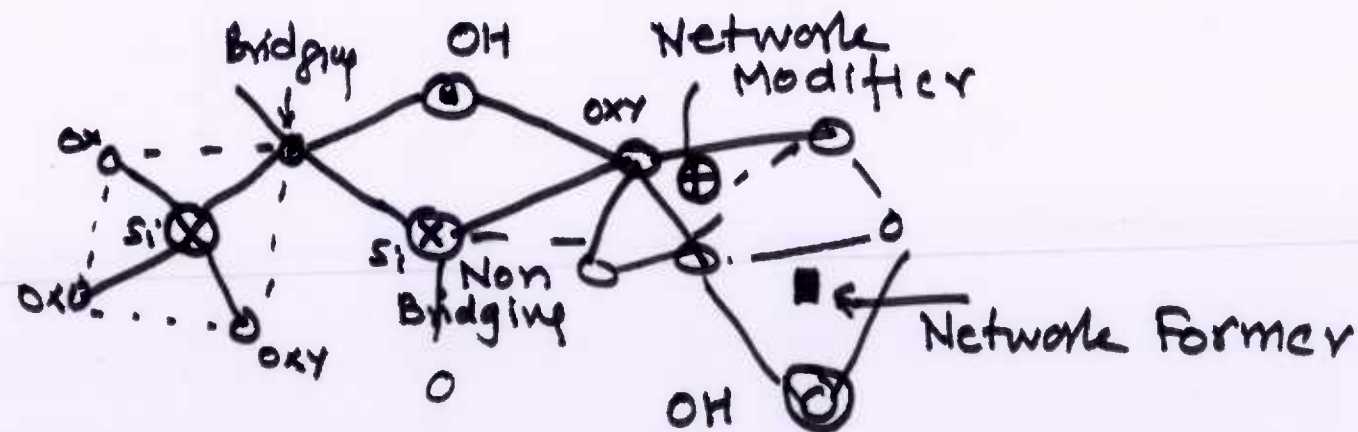
(ii) Crystalline phase $\rightarrow$ Quartz



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Thermally grown oxides are Amorphous in nature. Typical atomic structure of SiO_2 with some impurities or ions is as below:



Some observations:

- (i) From the Lattice structures of SiO_2 , we observe that- if Si has to leave SiO_2 lattice, it must break 4. Si-O bonds.

While if Oxygen atom has to leave SiO_2 lattice, it ~~was~~ needs to break only One bond.

- (ii) SiO_2 with no Impurities like Diffusing Impurities, or Sodium, Lead, Barium etc, is called Intrinsic Silica.

While SiO_2 with Impurities is called Extrinsic Silica.



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(iii) Boron, Phosphorus & Arsenic (etc.) replace Silicon and hence create bond between Oxygen & them. Such Impurities are called Network Former.

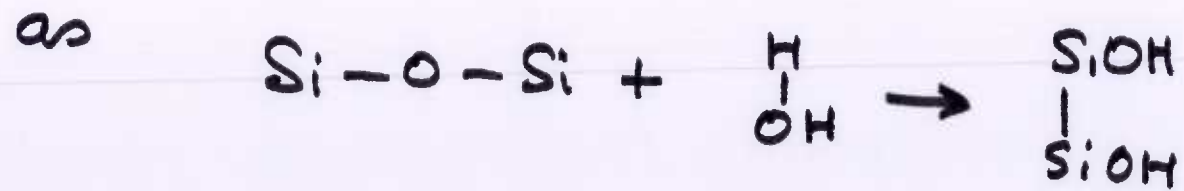


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However if Impurities sit in Interstitial Sites, then they modify the Network of SiO_2 , and hence called Network Modifier. Na_2O , Pb_2O_3 , Ba_2O_3 are such Modifier.

(iv) If SiO_2 is put into water, we can have reaction



It is also to be noted that SiO_2 is strong Hydrophilic in nature.

(v) Physical Properties of SiO_2

1. $\sigma \leq 10^{-16}$ mhos/cm
2. Bandgap $E_g \geq 9 \text{ eV}$
3. Refractory Index $n = 1.45$
4. Density = 2.22
5. No. of atoms/cc = 2.3×10^{22} /cc
6. Dielectric Constant $K_{\text{SiO}_2} = 3.9$
7. Dielectric Strength = 10^7 V/cm.



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(vi) SiO_2 has strong Utility in IC processing as

(a) Easy to Etch $\text{SiO}_2 + 4\text{HF} \rightarrow 4\text{SiF}_4 + 2\text{H}_2\text{O}$

(b) Excellent Insulator with High Dielectric Strength



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(c) Most Impurities (in Silicon) have poor Diffusion Constant in SiO_2 .

(d) It is extremely Stable.

(e) Interface is quite Stable (Si-SiO_2)

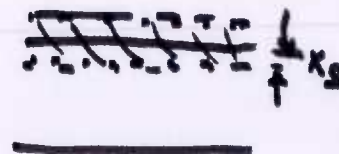
and very much reproducible as is desired in IC fabrication.

(vii) When Si is Oxidised, there is volume expansion.

If x_s is Silicon Thickness and after oxidation

it creates Oxide Thickness x_{ox} . Then we use

Law of Mass-action to say



$$N_s \cdot x_s = N_{ox} x_{ox}$$

where N_s is Si Conc = $5 \times 10^{22} / \text{cc}$

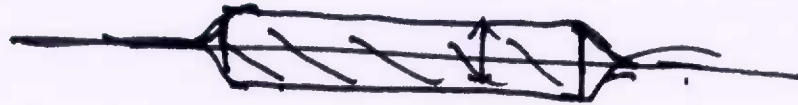
and N_{ox} is SiO_2 Conc. = $2.3 \times 10^{22} / \text{cc}$

$$\therefore x_s = \frac{N_{ox}}{N_s} \cdot x_{ox} = 0.46 x_{ox}$$

Local Oxidation Silicon.

FOX

LOCOS



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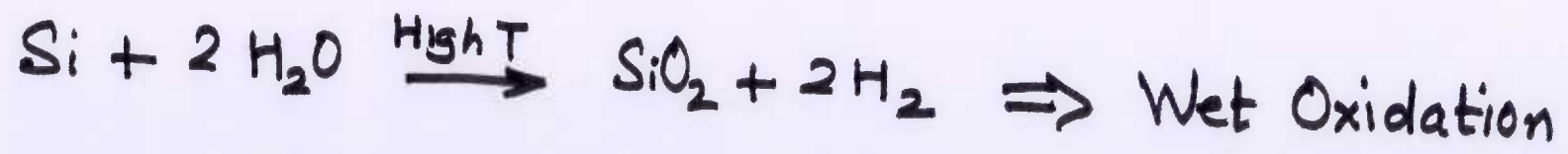
"Kinetics of Thermal Oxidation of Silicon"

Silicon dioxide (SiO_2) is generally grown by Oxidation of Silicon at high temperatures (800°C to 1200°C) in Oxygen rich ambient.

It could be pure O_2 or H_2O . Oxygen reacts with Silicon to form SiO_2 .



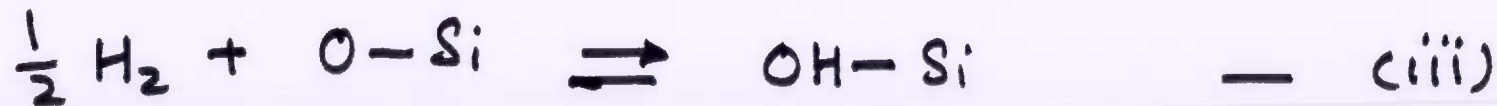
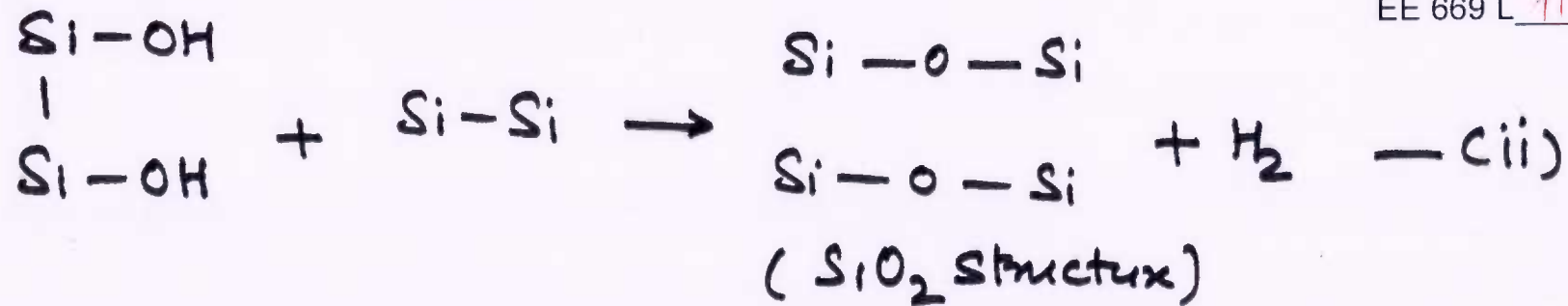
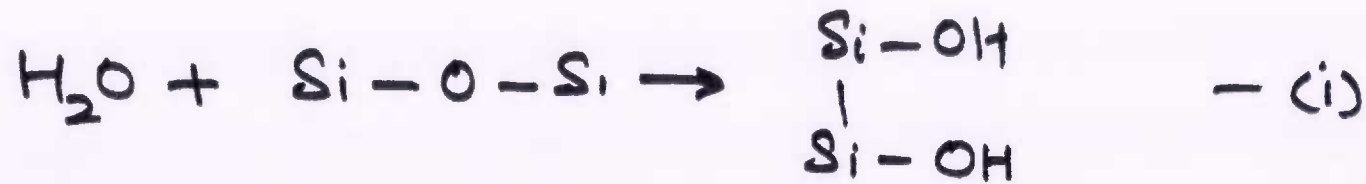
SiO_2 formation by oxidation of Silicon in Water Vapour may have a bit complicated reaction. Overall however it may be like:



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The detailed reaction could be as :



(i) H_2O attacks Bridging O_2 and Creates Non-Bridging (bond).

This weakens the Network

(ii) At $\text{Si}-\text{SiO}_2$ interface, OH group reacts with Silicon to form $\text{Si}-\text{O}-\text{Si}$ bond Structure which is essentially SiO_2 .

(iii) H_2 diffuses through SiO_2 layer and reacts with Bridging O_2 .
Loosening of Network.



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Bruce Deal and Andy Grove were first to model the process of Thermal Oxidation.

(Ref: J. Appl. Phys., vol. 36, p 3770, 1965)

Hence the Model is called 'Deal-Grove' Model.



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This model provides kinetics of growth of SiO_2 (X_{ox}) as a function of temperature and time.

Model Assumptions

- ① Initially there is a finite (Thin) oxide layer, before Oxidation ($t=0^-$) Starts
- ② The Oxidant Gas specie impinges on this SiO_2 layer and diffuses through the Oxide-layer and reaches Si- SiO_2 interface.

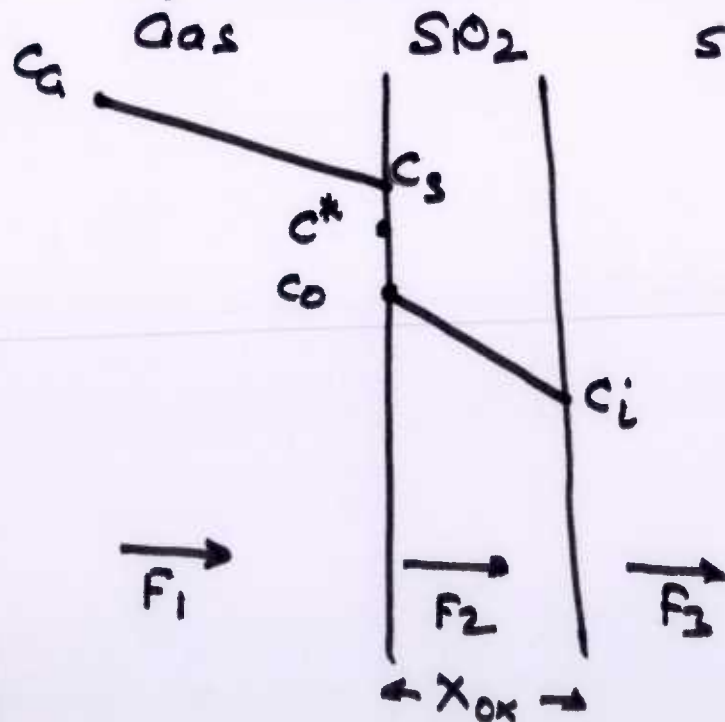
© The Oxidant specie then reacts with Silicon atoms at the Interface and creates newer oxide layer.



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This process continues and Si dioxide layer keeps growing to a thickness by end of time of Oxidation. This process is depicted in the Fig. below:



There are Three Fluxes in Gas, SiO_2 and Silicon regions.

Let F_1 be oxidant flux in Gas phase

F_2 be oxidant flux in SiO_2

F_3 be oxidant flux for reaction at Silicon.



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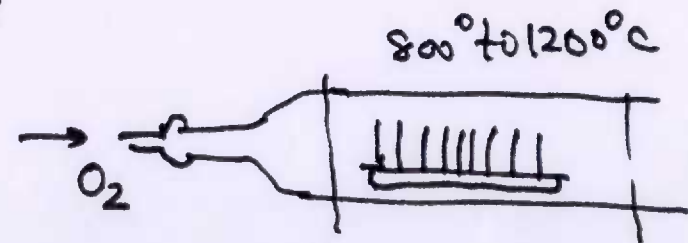
① Transport of Oxidant from the Furnace ambient to the Oxide surface takes place with Flux F_1 .

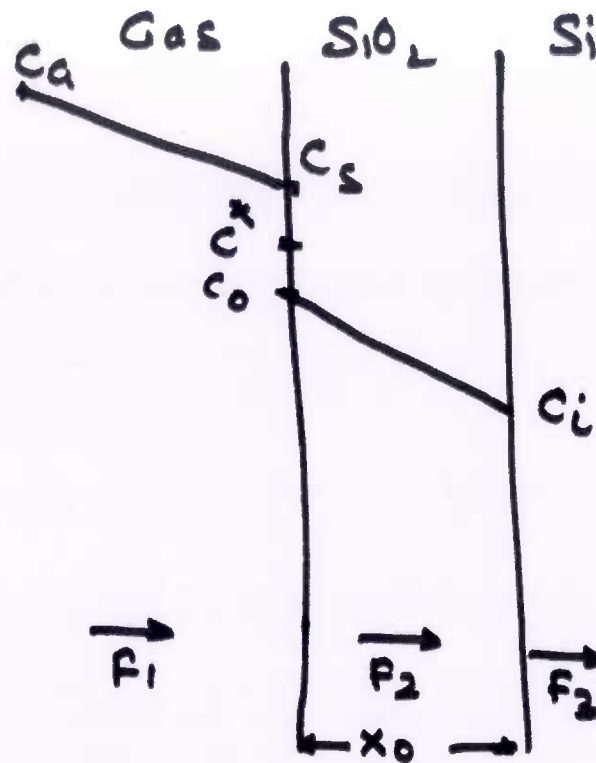
② Oxidant specie Diffuses through SiO_2 layer with a Flux F_2 .

③ Oxidant reacts with Silicon with Flux F_3 .

However in Steady State we can say that-

$$F_1 = F_2 = F_3 = F$$





Deal-Grove Model

Here :

C_a — Oxidant Conc. in Bulk-Gas.

C_s — Oxidant Conc. at Oxide Interface in Gas-Phase.

C^* — Equilibrium oxidant conc. in Solid — related to C_a

C_o — Equilibrium Oxidant Conc. in Solid — related to C_s

C_i — Oxidant Conc. at SiO_2 -Si Interface.

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[1] Flux F_1 in Gas ambient is essentially governed by Mass-Transfer, which can be written as

$$F_1 \propto (C_a - C_s) \quad \text{or} \quad F_1 = h_a (C_a - C_s) \quad - [1]$$

where h_a is called Mass Transfer Coefficient-