

# EE 5500 – Introduction to Photonics

## Quiz II

60 minutes, 20 points, closed book

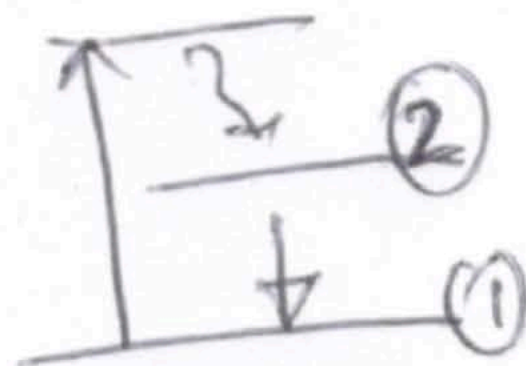
### Remember...

- Make reasonable assumptions wherever necessary, but you should show all steps and justify assumptions for full credit. Use of figures may attract bonus points.
- Final answers will be graded ONLY if they are entered in the space provided.

### Objective Type Questions (5 points)

1. Which of these is/are (maybe more than one) necessary condition(s) for laser operation?

- (a) Gain > loss      (b)  $N_2 > N_1$       (c) long  $T_2$       ☒ (d) stimulated emission



Gain need not be greater than loss  
 $N_2$  need not be greater than  $N_1$   
Long  $T_2$  is desirable, not necessary

Stimulated emission  
is necessary for  
laser action

2. An Er-doped fiber laser pumped at 980 nm and operating at 1550 nm can be characterized as

- (a) 2-level      ☒ (b) 3-level      (c) 4-level      (d) Cannot say

3. The average lifetime spent by a photon in a Fabry Perot laser cavity with loss =  $10 \text{ cm}^{-1}$  &  $n=3$

(a) 1 ps

☒ (b) 10 ps

(c) 100 ps

(d) 1 ns

$$\tau_{ph} = \frac{1}{v_g \alpha_s} = \frac{1}{\frac{3 \times 10^8}{3} \times 10 \times 100} = 10^{-11} \text{ s} \text{ or } \underline{10 \text{ ps}}$$

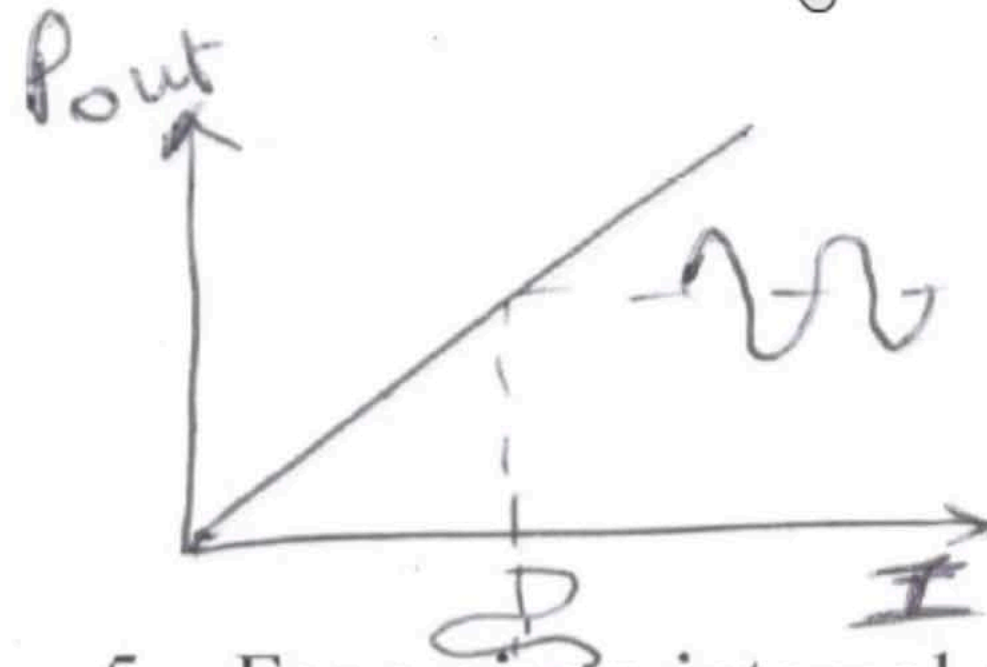
4. The modulation bandwidth of a LED does NOT depend on

☒ (a) drive current

(b) heterostructure

(c) recombination lifetime

(d) any of these



$$f_{3dB} \propto \frac{1}{\tau_c}$$

Not dependent  
on drive current

$\Rightarrow$  depends on recombination lifetime

heterostructure helps to reduce recombination lifetime

5. For a given internal efficiency, the responsivity of a photodiode scales as

(a)  $1/\lambda$

(b)  $\sqrt{\lambda}$

(c)  $\lambda^2$

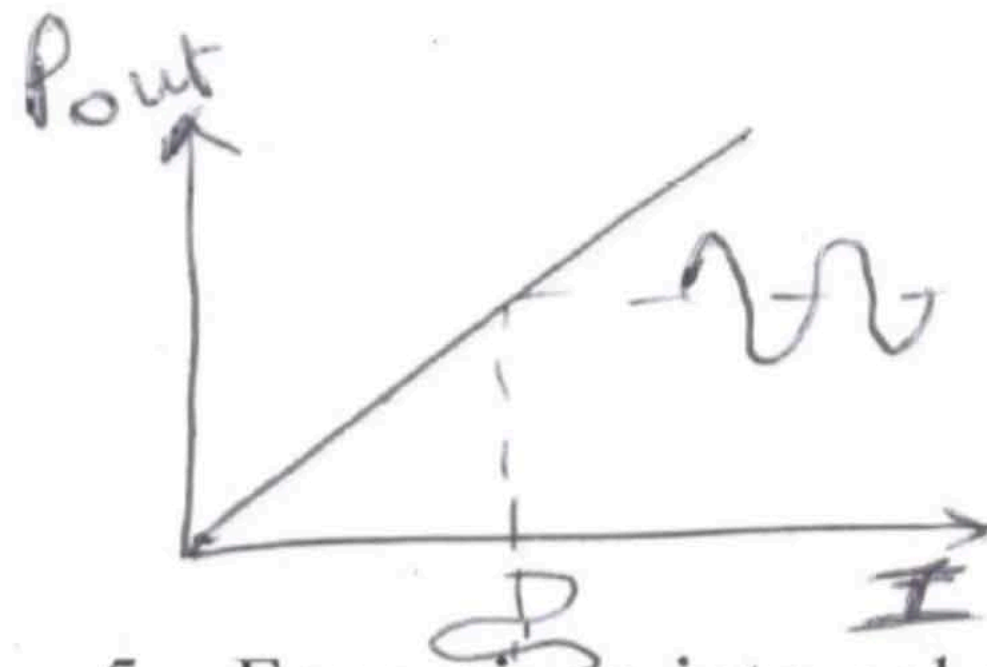
☒ (d)  $\lambda$

$$R = \frac{I_p}{P_{in}} = \frac{\eta q}{h\nu} = \frac{\eta \lambda (\mu\text{m})}{1.24}$$



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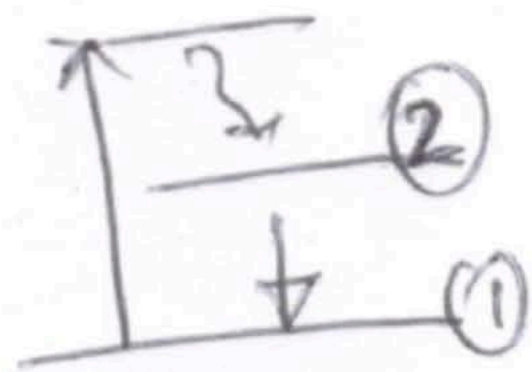
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$$R = \frac{I_p}{P_{in}} = \frac{\eta q}{h\nu} = \frac{\eta \lambda (\mu m)}{1.24}$$

$$R \propto \lambda$$



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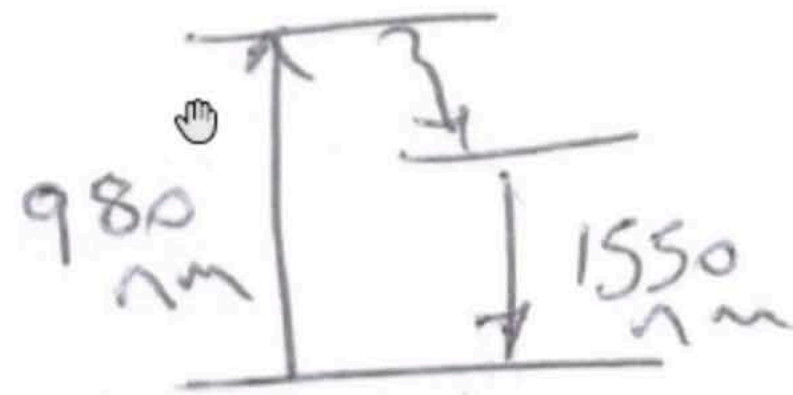
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$\Rightarrow$  3-level laser

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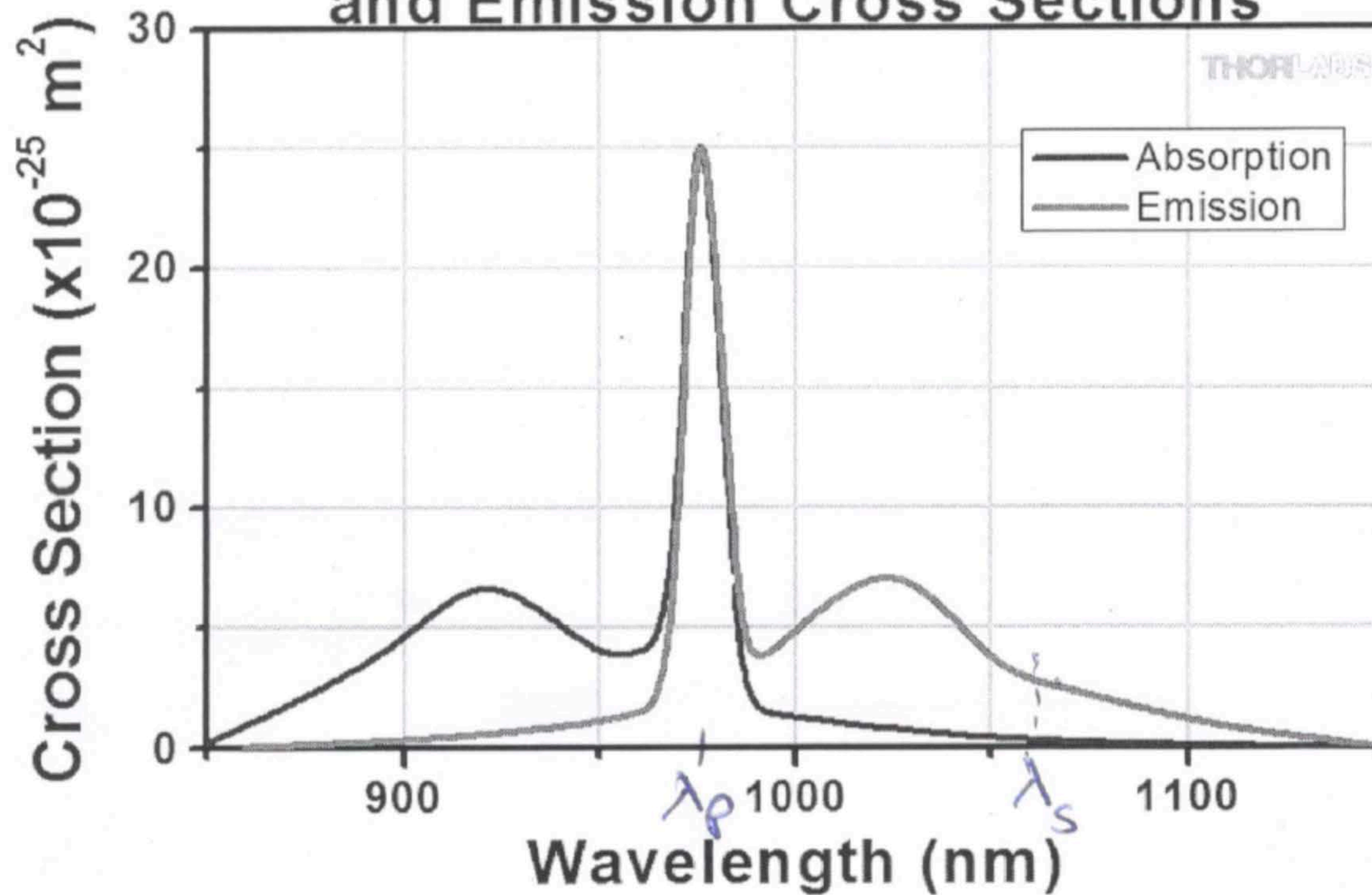
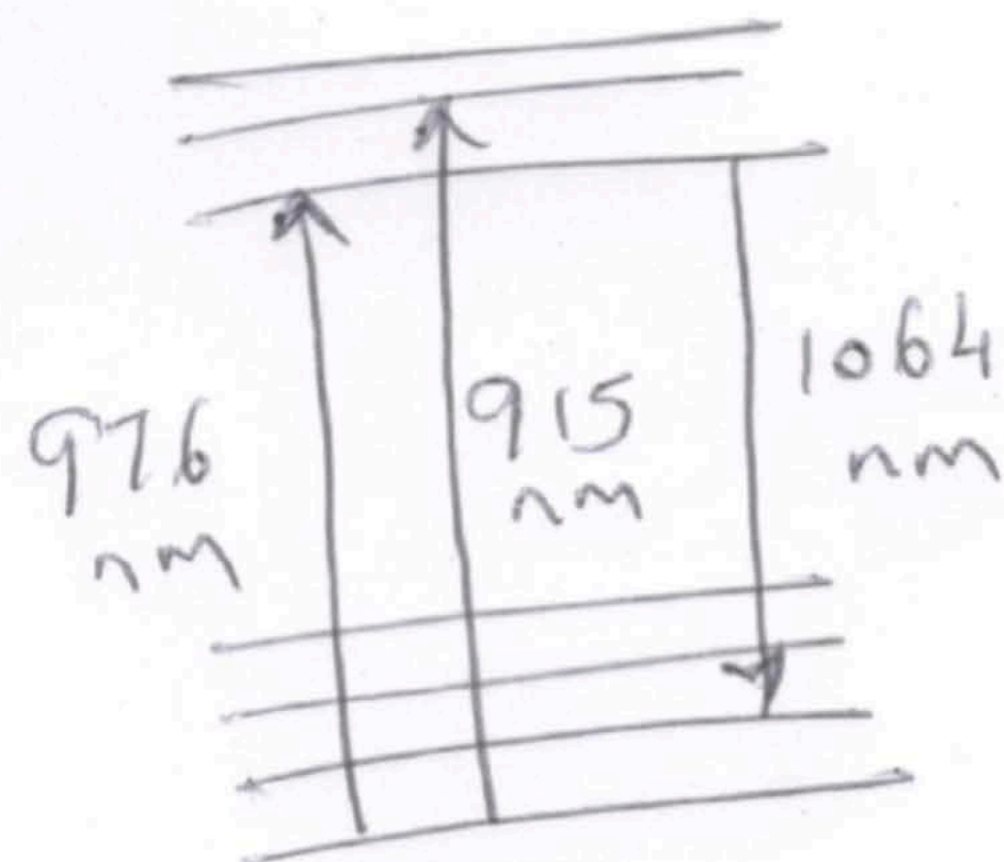
(c) recombination lifetime

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6. Suppose you are asked to design an optical amplifier at 1064 nm wavelength using a Yb-doped silica optical fiber, whose doping concentration is  $3 \times 10^{24} \text{ m}^{-3}$  and its absorption and emission cross-sections are provided below.

### Ytterbium-Doped Fiber Absorption and Emission Cross Sections



- a. Based on the above graph, what are the possible pump wavelengths for the amplifier? Which one will you choose and why? (2 pts)



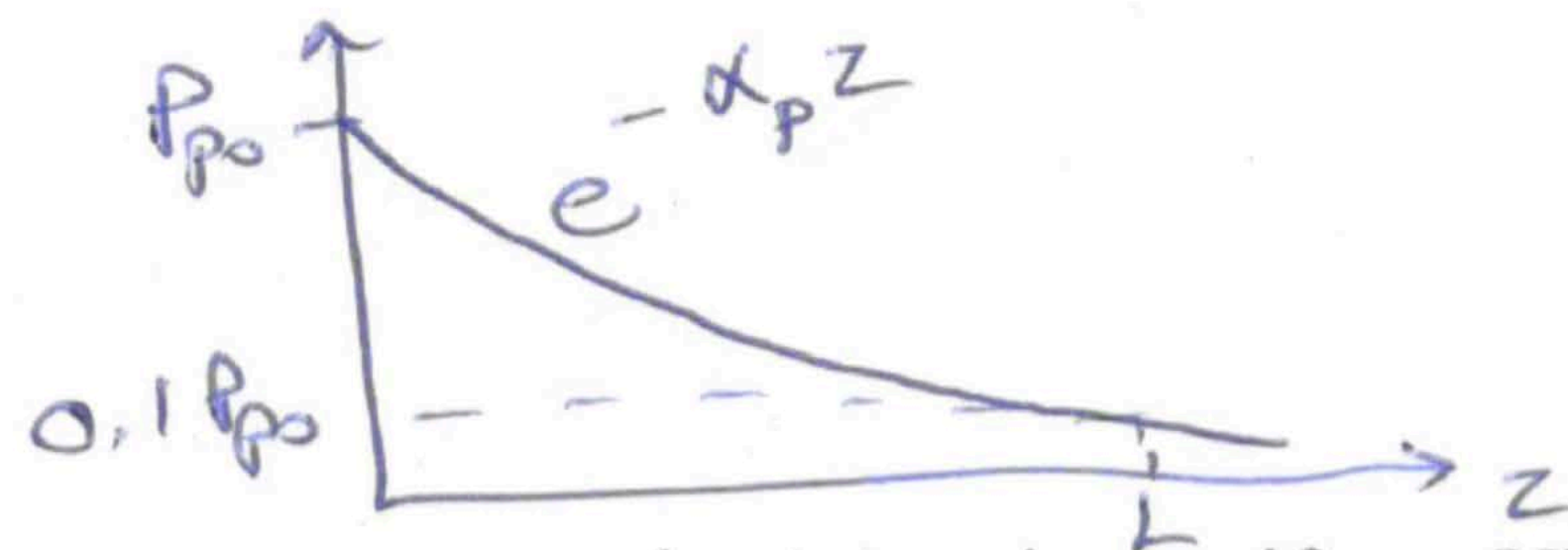
- a. Based on the above graph, what are the possible pump wavelengths for the amplifier?  
Which one will you choose and why? (2 pts)

915 nm & 976 nm. The latter is preferred since the absorption cross-section is 3x higher.

- b. Starting from appropriate rate equations, derive an expression for the small signal gain of the amplifier. (2 pts)

$$\frac{dP_s}{dz} = (N_2 \sigma_e^s - N_1 \sigma_a^s) P_s \Rightarrow G = \exp \left[ \int_0^L (N_2 \sigma_e^s - N_1 \sigma_a^s) dz \right]$$

- c. Estimate the length of Yb fiber required to absorb 90% of the launched pump radiation. (2 pts)



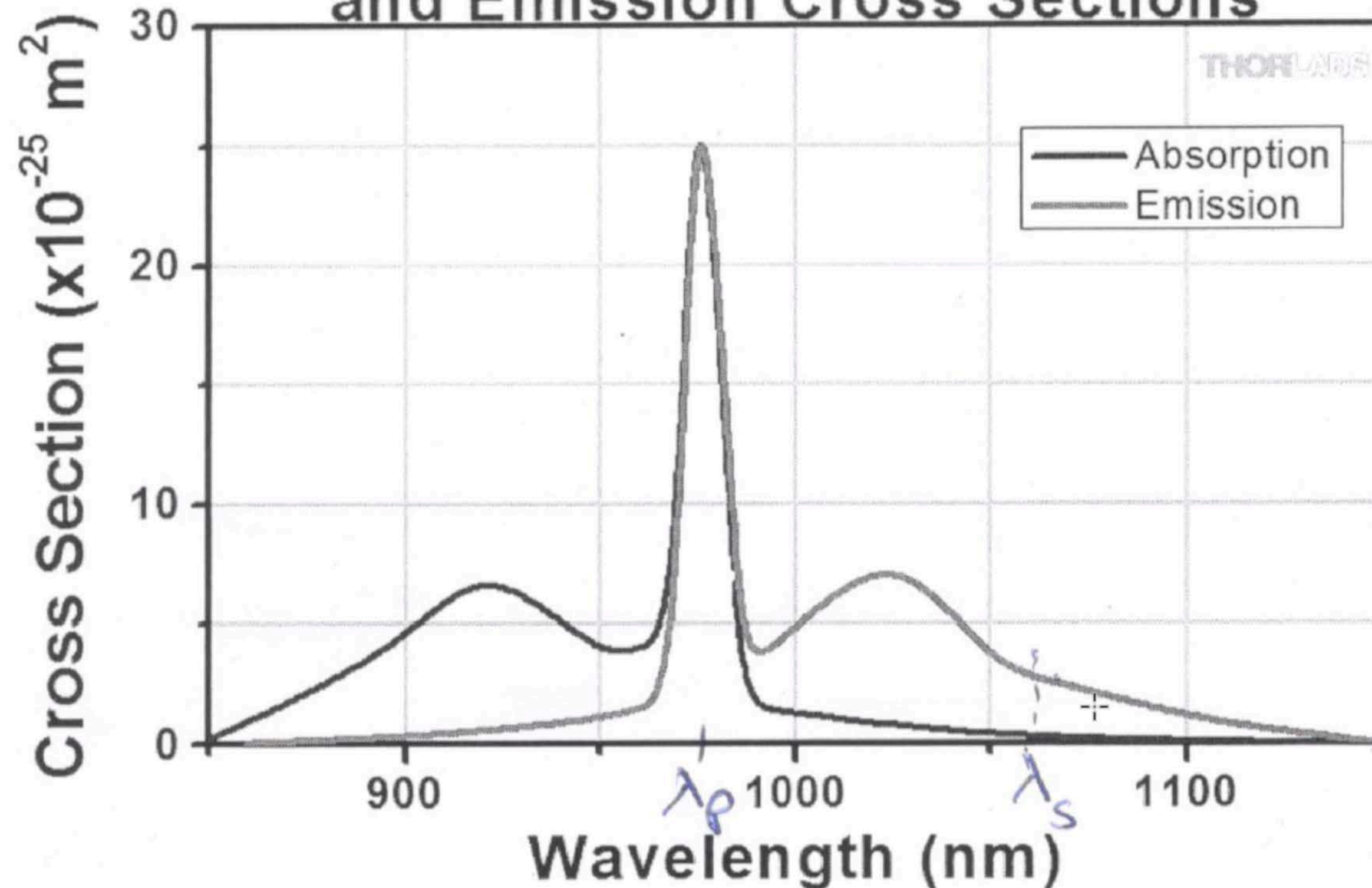
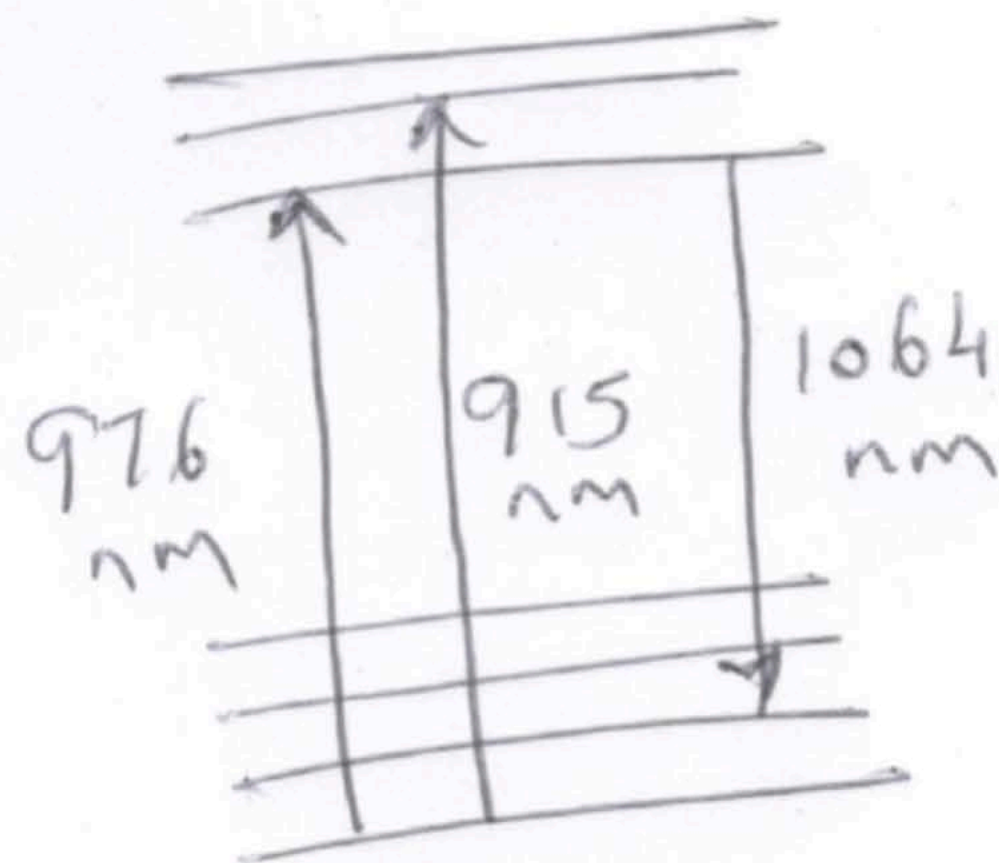
$$\alpha_p = N_a \sigma_a^p = 3 \times 10^{24} \times 2.5 \times 10^{-24} = 7.5 \text{ m}^{-1}$$

$$e^{-\alpha_p L} = 0.1 \Rightarrow L = \frac{1}{7.5} \ln(0.1) = \underline{14 \text{ mm}}$$

- d. Assuming uniform Yb ion excitation of 50% along the above length of fiber, what is the small-signal gain that could be achieved? (2 pts)

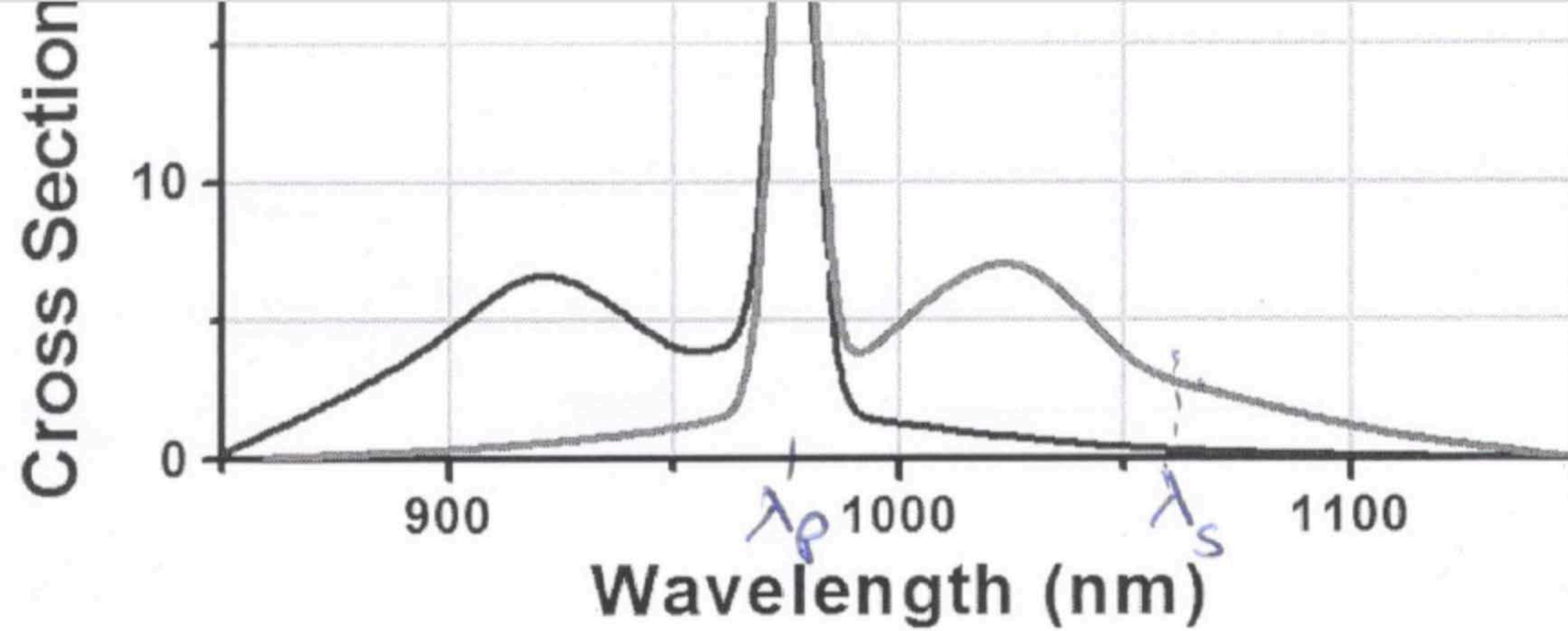
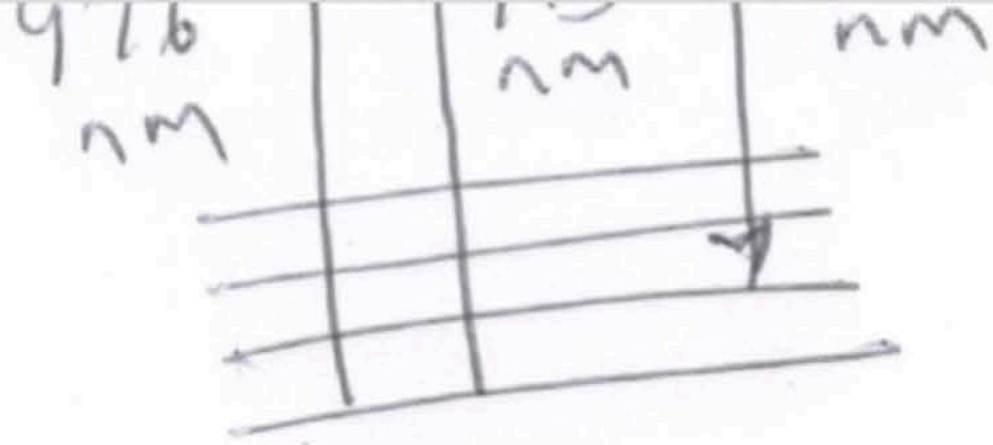


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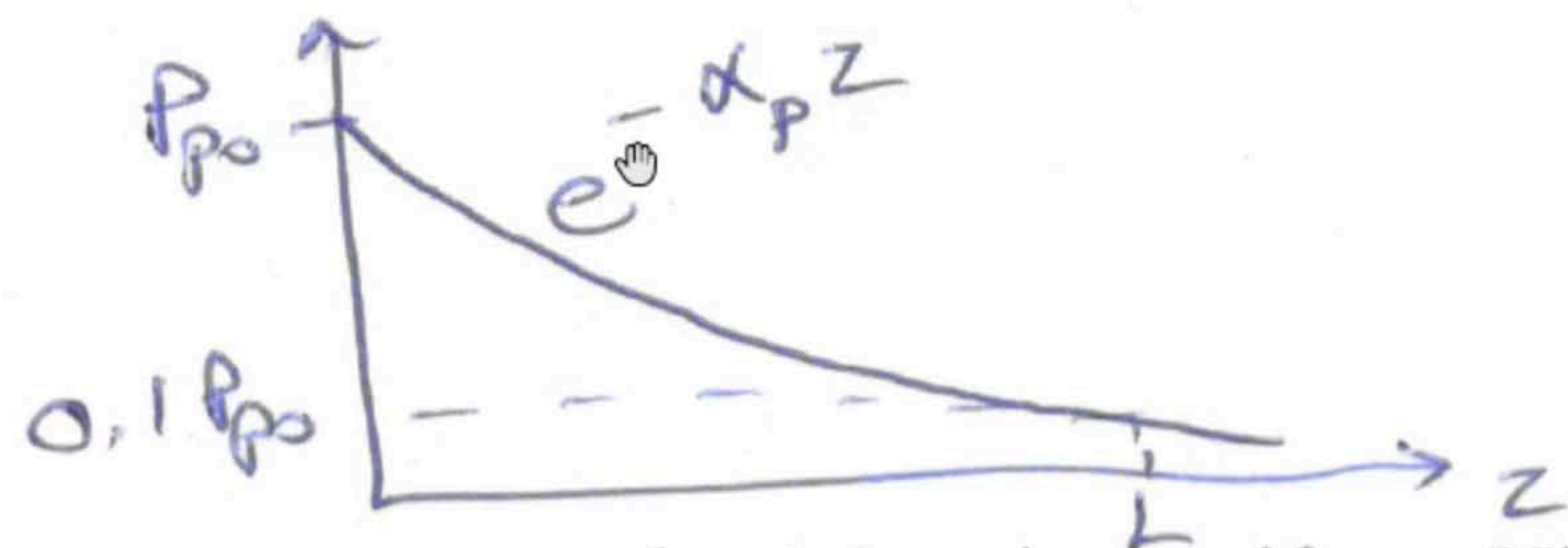


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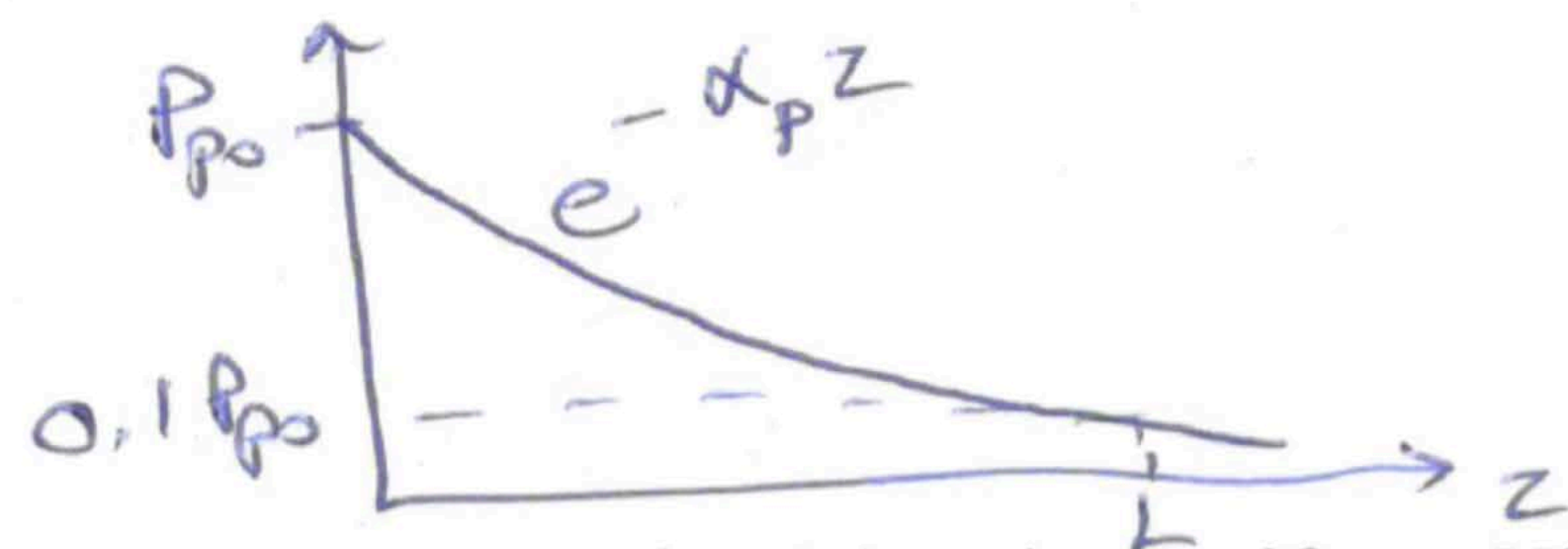
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Assuming 50% excitation,  $N_1 = N_2 = 0.5 N_a$

at 1064 nm ( $\lambda$ ),  $\sigma_e^s = 3 \times 10^{-25} \text{ m}^2$

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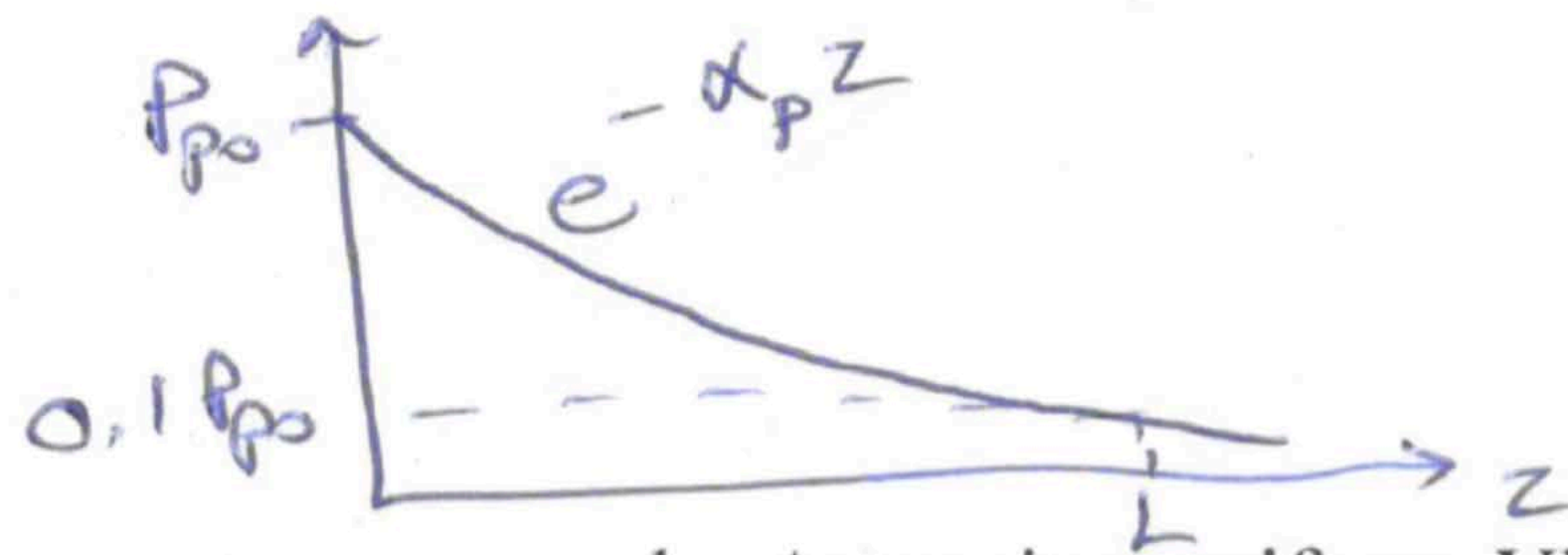
8

-25 -37



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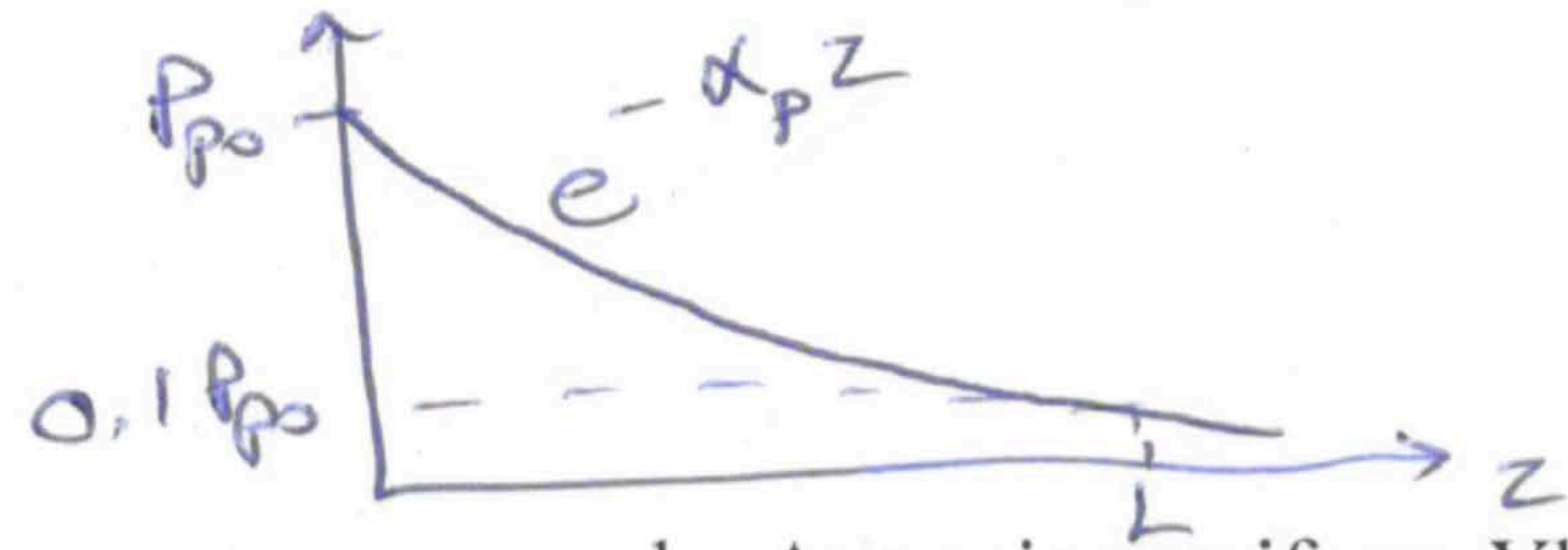
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$$G = \exp \left[ 0.5 \times 3 \times 10^{24} \times 2.9 \times 10^{-25} \times 14 \times 10^{-3} \right]$$

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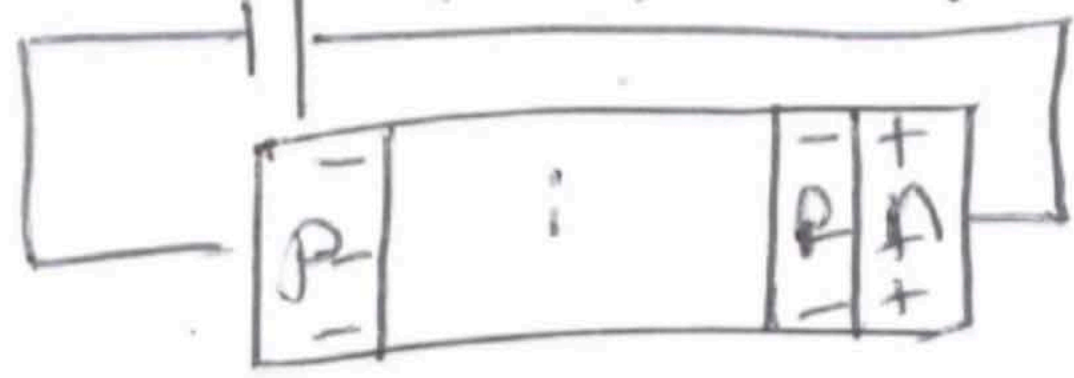
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$$= 1.006$$





Add p-layer between n & i layers  
 $\Rightarrow$  most of  $V_b$  drops across p-n junction

- d. If the width of the multiplication region is  $2 \mu\text{m}$  and the voltage drop across it is 50 V, what is the multiplicative gain (M) that could be achieved in the APD? (2 pts)

$$E\text{-field in multiplication region} = \frac{50 \text{ V}}{2 \mu\text{m}} = 2.5 \times 10^5 \text{ V/cm}$$

$$\Rightarrow \alpha_e = 2 \times 10^4 \text{ cm}^{-1}$$

#### Useful Constants:

Planck's constant ( $h$ ) =  $6.6256 \times 10^{-34} \text{ J.s}$

Boltzmann's constant ( $k_B$ ) =  $1.38 \times 10^{-23} \text{ J/K}$

Electric charge ( $q$ ) =  $1.602 \times 10^{-19} \text{ C}$

Velocity of light ( $c$ ) =  $3 \times 10^8 \text{ m/s}$

#### Useful Formulae

1.  $M = (1-k) / \{\exp [-(1-k)\alpha_e w_m] - k\}$
2.  $F = k.M + (1 - k).(2 - 1/M)$

$$\alpha_e w_m = 4$$

3

$$M = \frac{0.5}{e^{-2} - 0.5} = \frac{-1.37}{-}$$

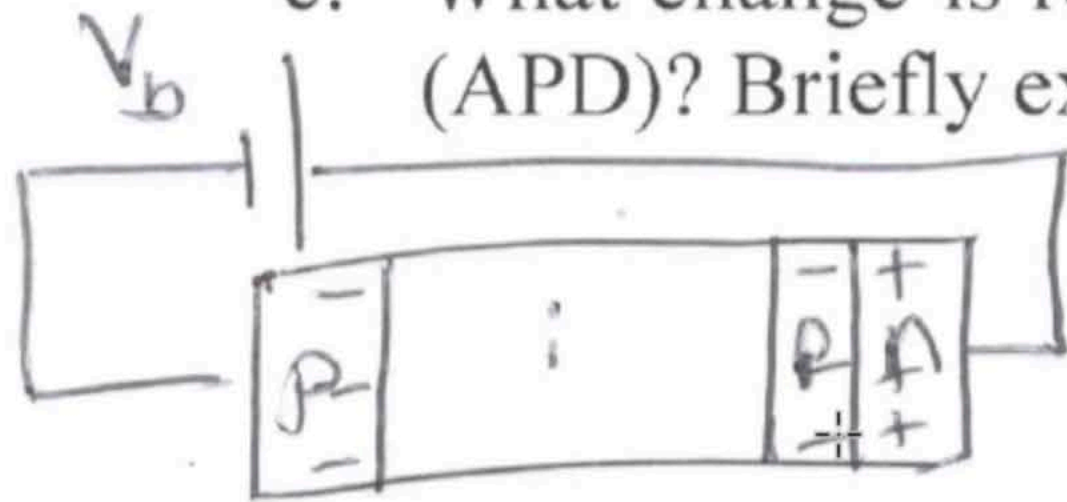
- a. Assuming negligible contribution from RC time constant and a saturation drift velocity of  $10^5$  m/s for 5 V reverse bias, what is the maximum width of the intrinsic region allowed to support the above bandwidth? (2 pts)

$$f_{3dB} = \frac{1}{2\pi(\tau_{tr} + \tau_{RC})} \quad \text{where } \tau_{tr} = \frac{W}{v_{dr}} = \frac{1}{2\pi \cdot f_{3dB}} \Rightarrow W = \frac{v_{dr}}{2\pi \times f_{3dB}}$$

- b. For the above structure, what is the expected responsivity? (2 pts)

$$R = \frac{\eta \lambda}{1.24} \quad \text{where } \eta = (1 - R_f) \cdot (1 - e^{-\alpha W}) \approx 0.8 \Rightarrow R = 1 \text{ A/W}$$

- c. What change is required in the above structure to realize an avalanche photodiode (APD)? Briefly explain with the help of appropriate schematic diagram. (1 pt)



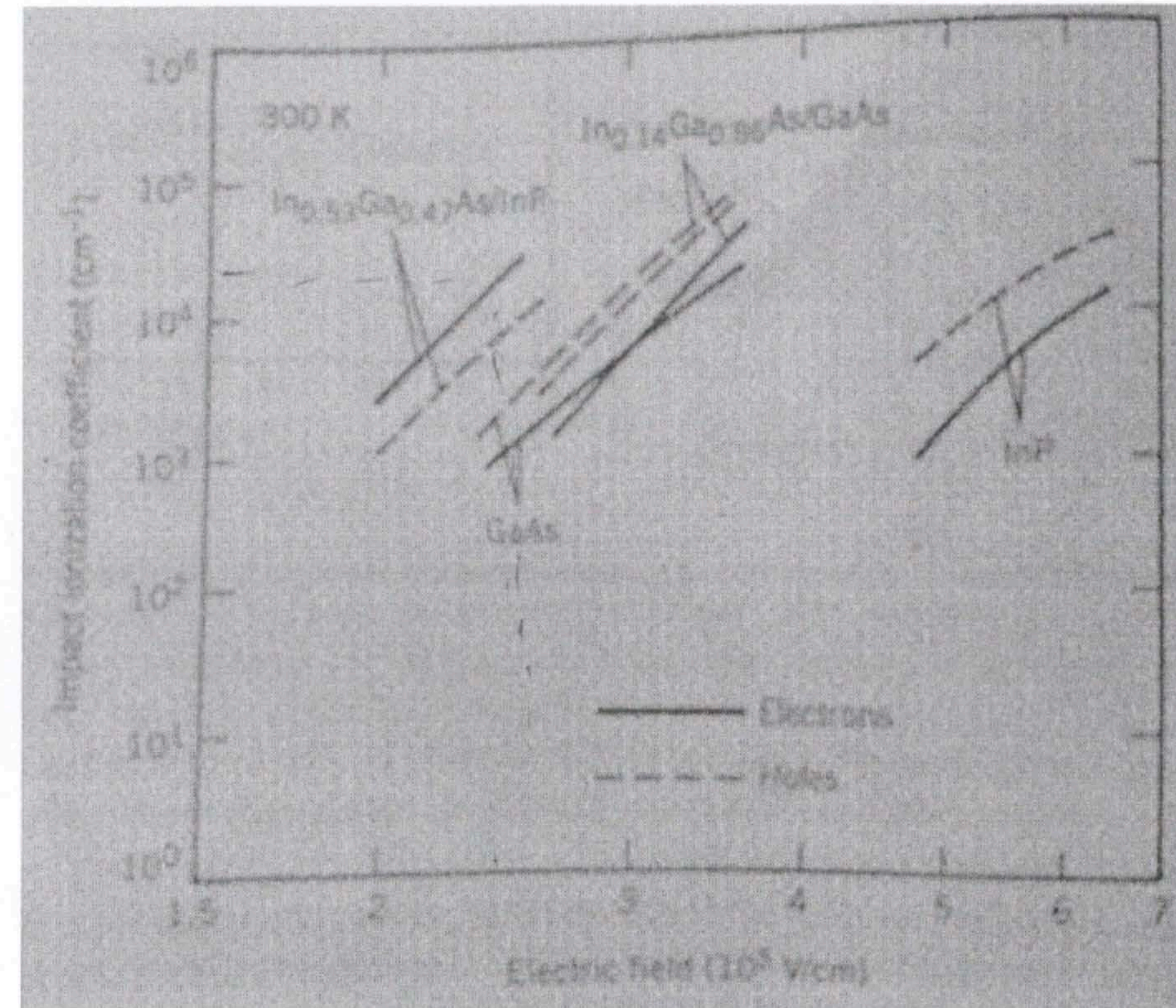
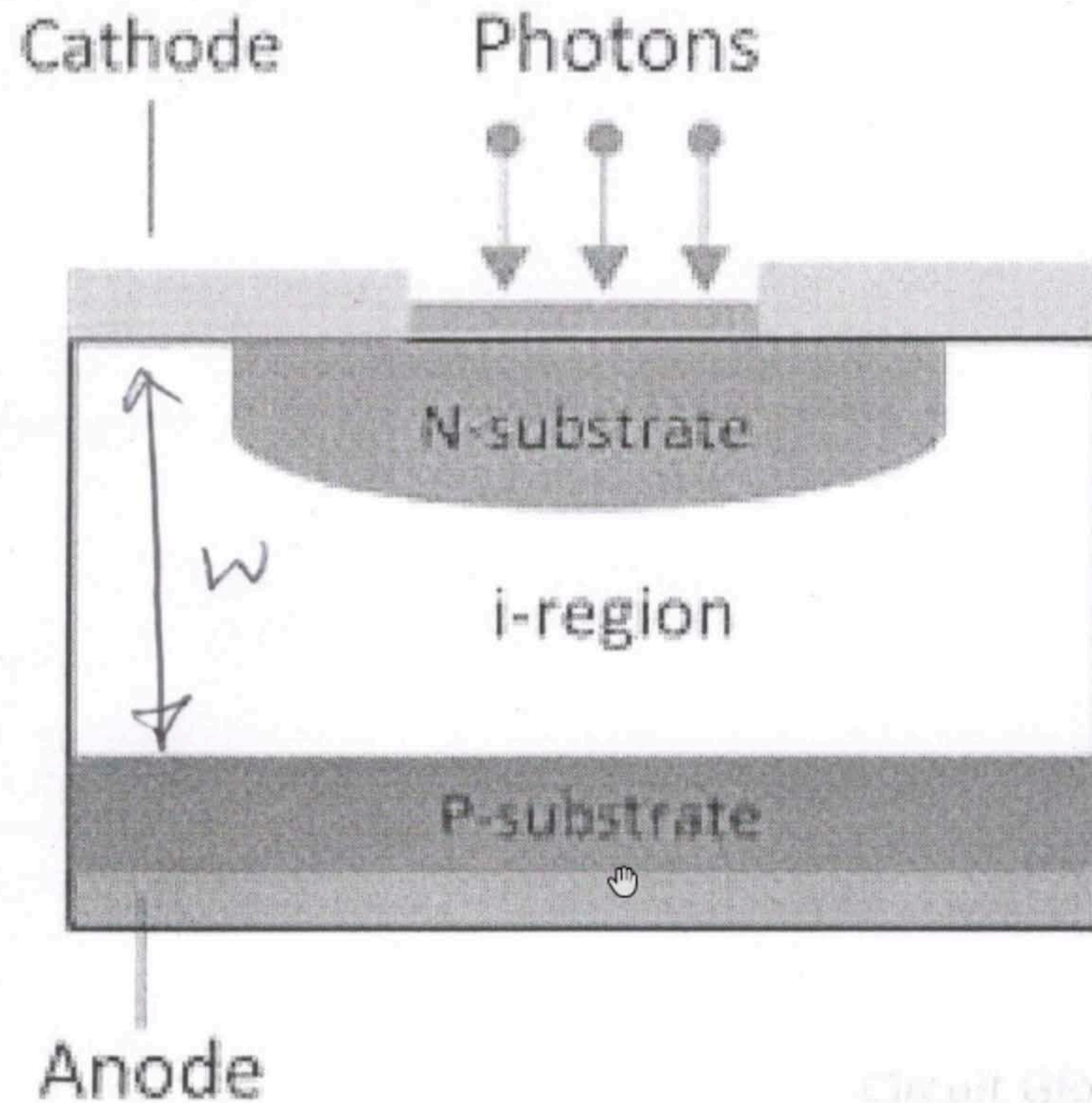
Add p-layer between n & i layers  
 $\Rightarrow$  most of  $V_b$  drops across p-n junction

- d. If the width of the multiplication region is  $2 \mu\text{m}$  and the voltage drop across it is 50 V, what is the multiplicative gain (M) that could be achieved in the APD? (2 pts)

$$E\text{-field in mult. region} = \frac{50 \text{ V}}{2 \mu\text{m}} = 2.5 \times 10^5 \text{ V/cm}$$



7. You have been asked to design a PIN photodiode with a bandwidth of 2.5 GHz for an optical fiber communication system using  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  material ( $k = 0.5$ ). The operation conditions include: photo-electron conversion efficiency of 0.8, anti-reflection coated facet, and an absorption coefficient of  $0.5 \times 10^5 \text{ cm}^{-1}$  at 1550 nm.



a. Assuming negligible contribution from RC time constant and a saturation drift



- a. Assuming negligible contribution from RC time constant and a saturation drift velocity of  $10^5$  m/s for 5 V reverse bias, what is the maximum width of the intrinsic region allowed to support the above bandwidth? (2 pts)

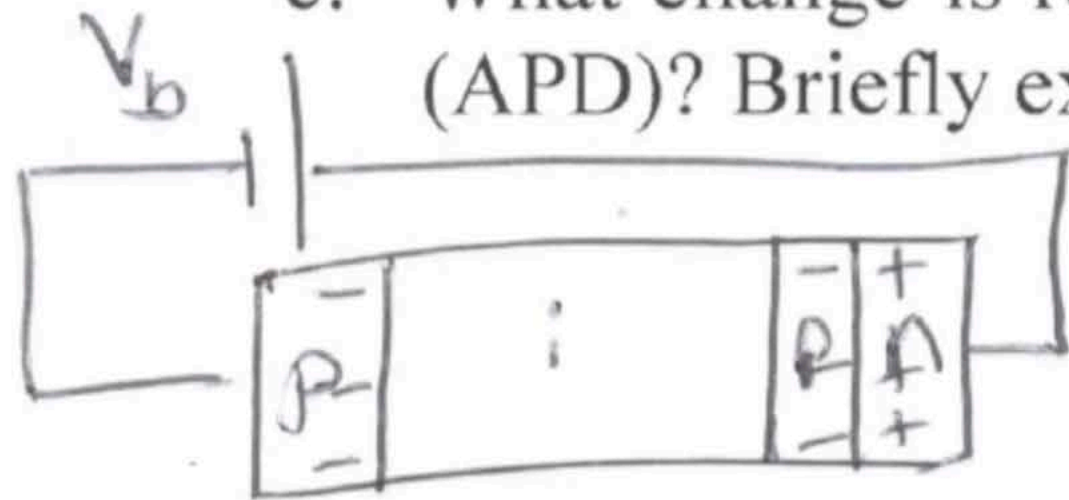
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- b. For the above structure, what is the expected responsivity? (2 pts)

(2 pts)  $6.4 \mu\text{m}$

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- c. What change is required in the above structure to realize an avalanche photodiode (APD)? Briefly explain with the help of appropriate schematic diagram. (1 pt)

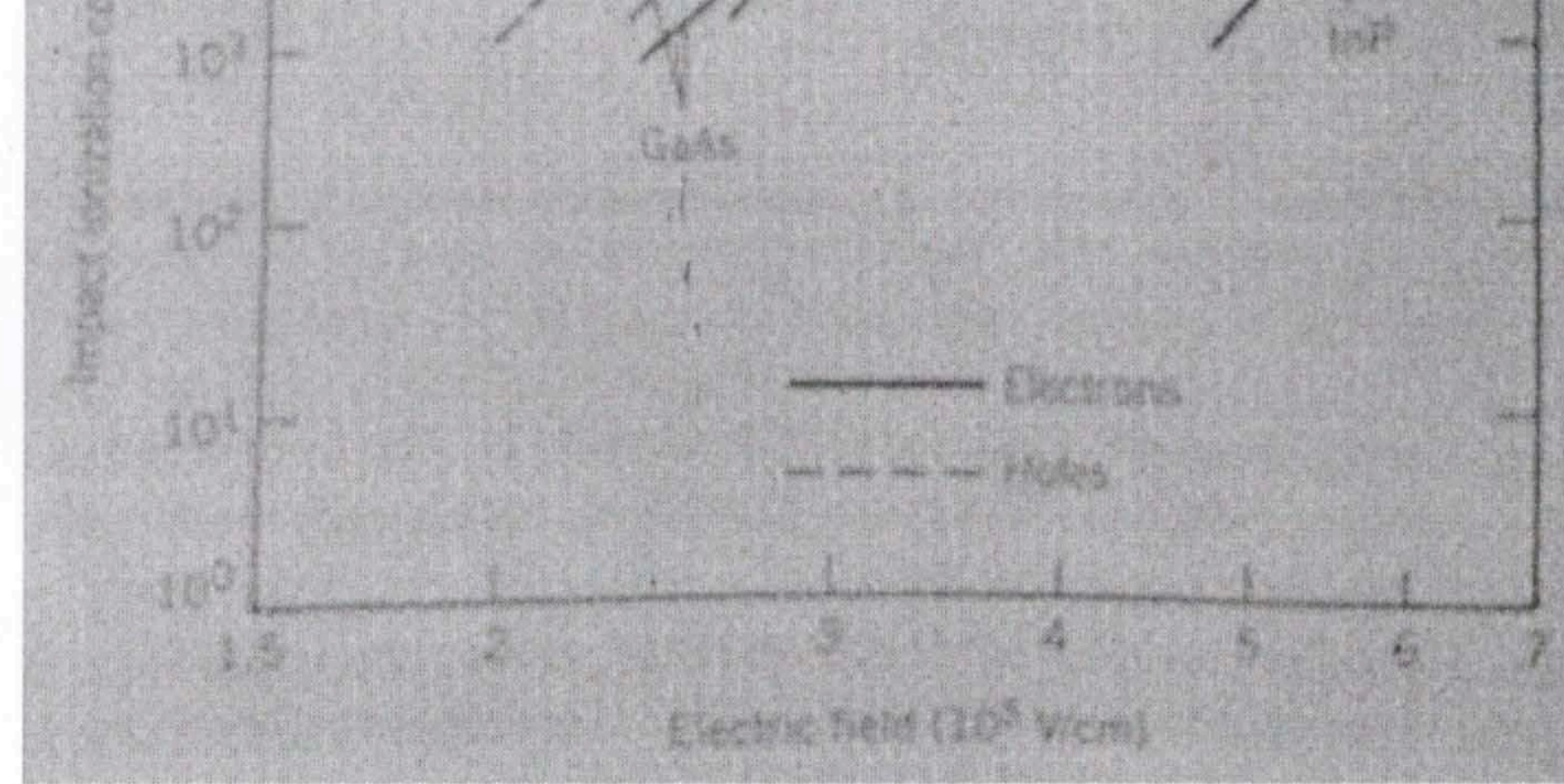
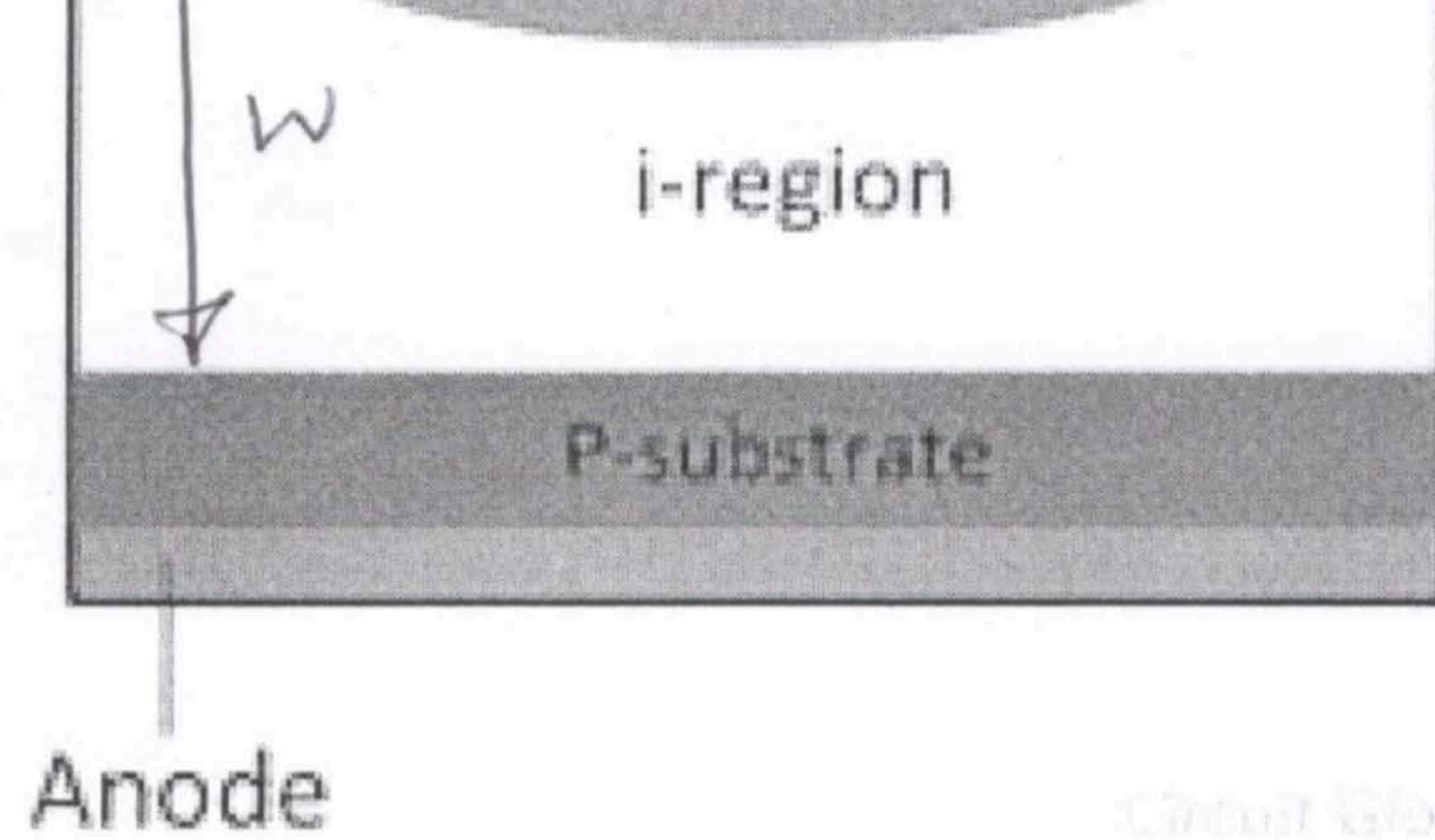


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- b. For the above structure, what is the expected responsivity? (2 pts)

$$(2 \text{ pts}) = \underline{\underline{0.4 \mu m}}$$

$$R = \frac{\eta \lambda}{1.24} \quad \text{where } \eta = (1 - R_f) \cdot (1 - e^{-\alpha W}) \approx 0.8$$

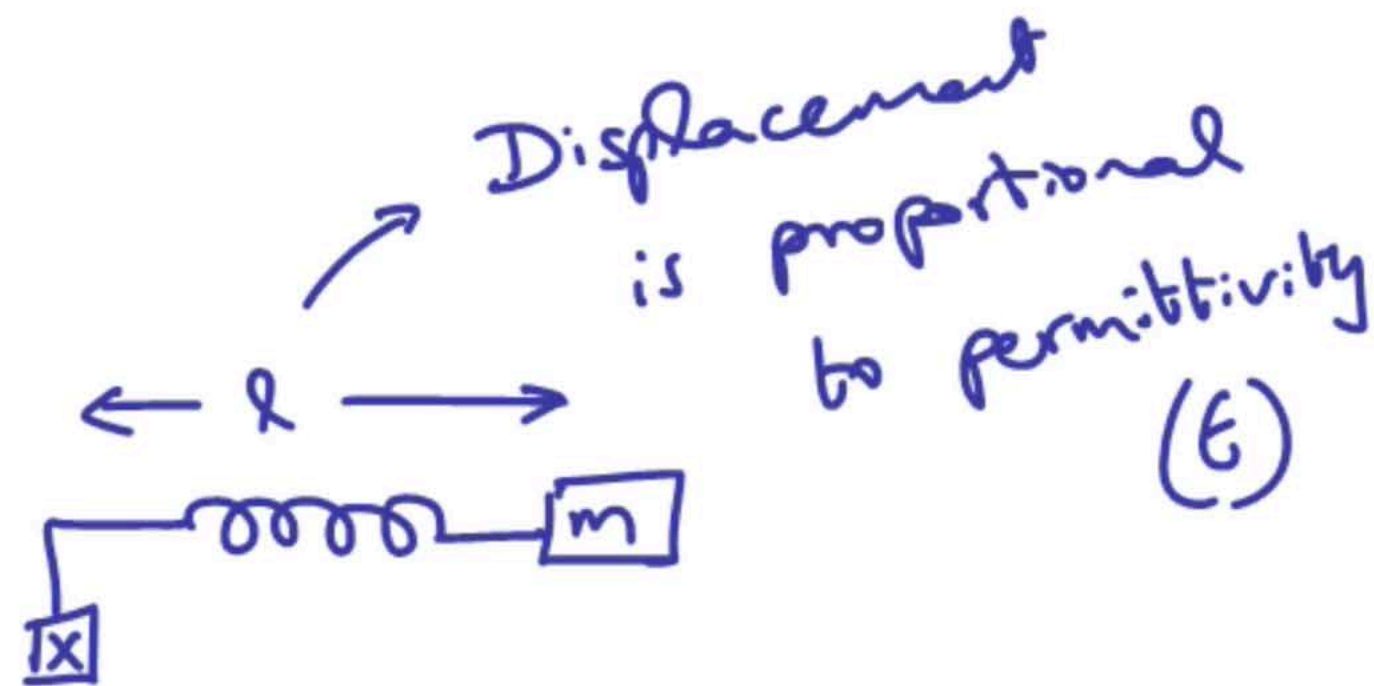
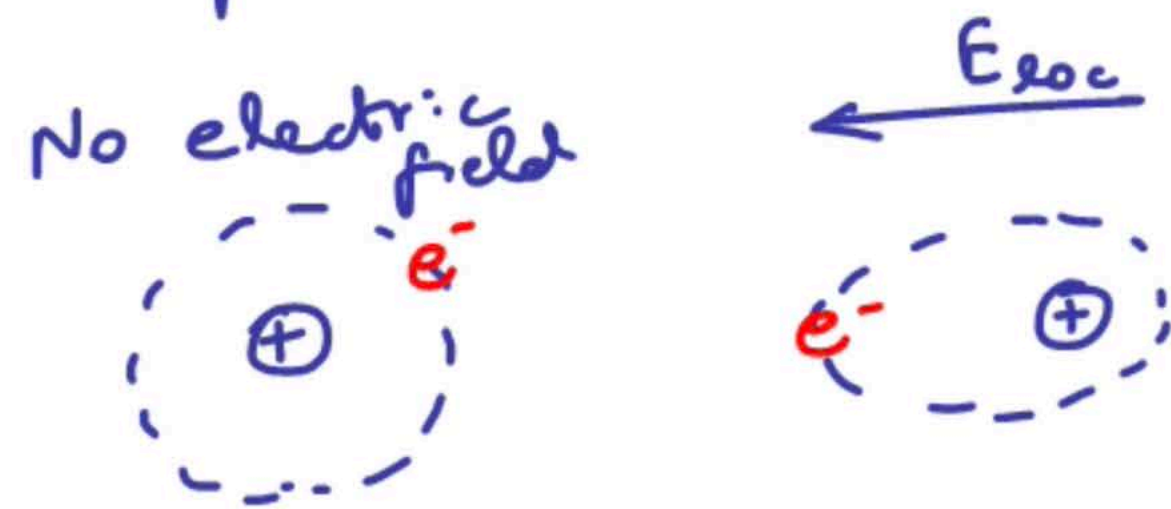
$$\Rightarrow R = \underline{\underline{1 \text{ A/W}}}$$

- c. What change is required in the above structure to realize an avalanche photodiode



Learning Outcome: Identify the fundamental principles for photon/light manipulation

How does light propagate in a medium?



Equation of motion,

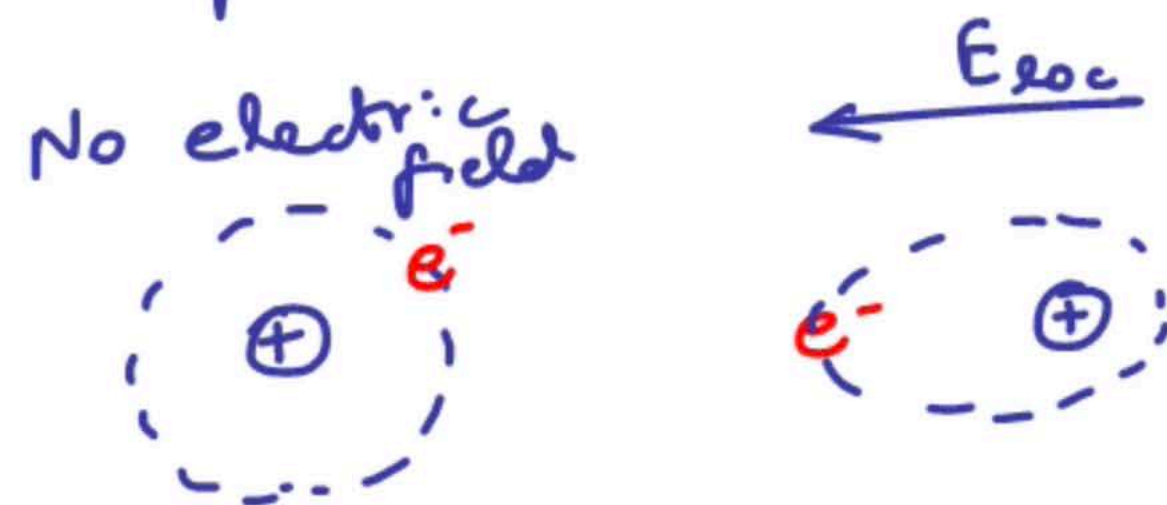
$$m \cdot \frac{d^2 l}{dt^2} + d \cdot \frac{dl}{dt} + s \cdot l = -e E_{loc}$$

for time-periodic excitation ( $e^{i\omega t}$ )

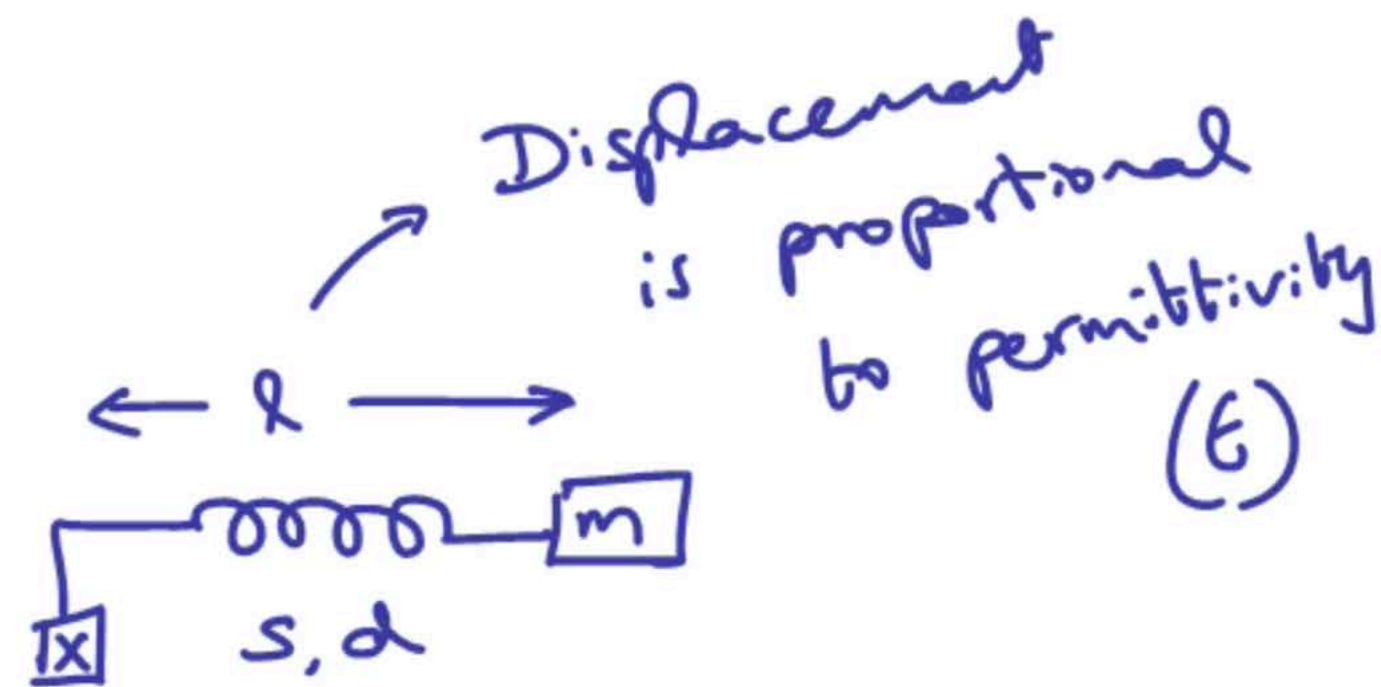


# photon/light manipulation

How does light propagate in a medium?



$\Leftrightarrow$



Equation of motion,

$$m \cdot \frac{d^2 l}{dt^2} + d \cdot \frac{dl}{dt} + s \cdot l = -e E_{loc}$$

$$\epsilon = \epsilon' - j\epsilon''$$

For time-periodic excitation ( $e^{j\omega t}$ ),

$$l = \frac{-e/m E_{loc}}{\omega_0^2 - \omega^2 + j\omega \Gamma}$$

damping  
coeff.  
 $d/m$

resonance freq.  $\leftarrow$

$$\omega_0 = \sqrt{s/m}$$

Equation of motion,

$$m \cdot \frac{d^2x}{dt^2} + d \cdot \frac{dx}{dt} + \dots$$

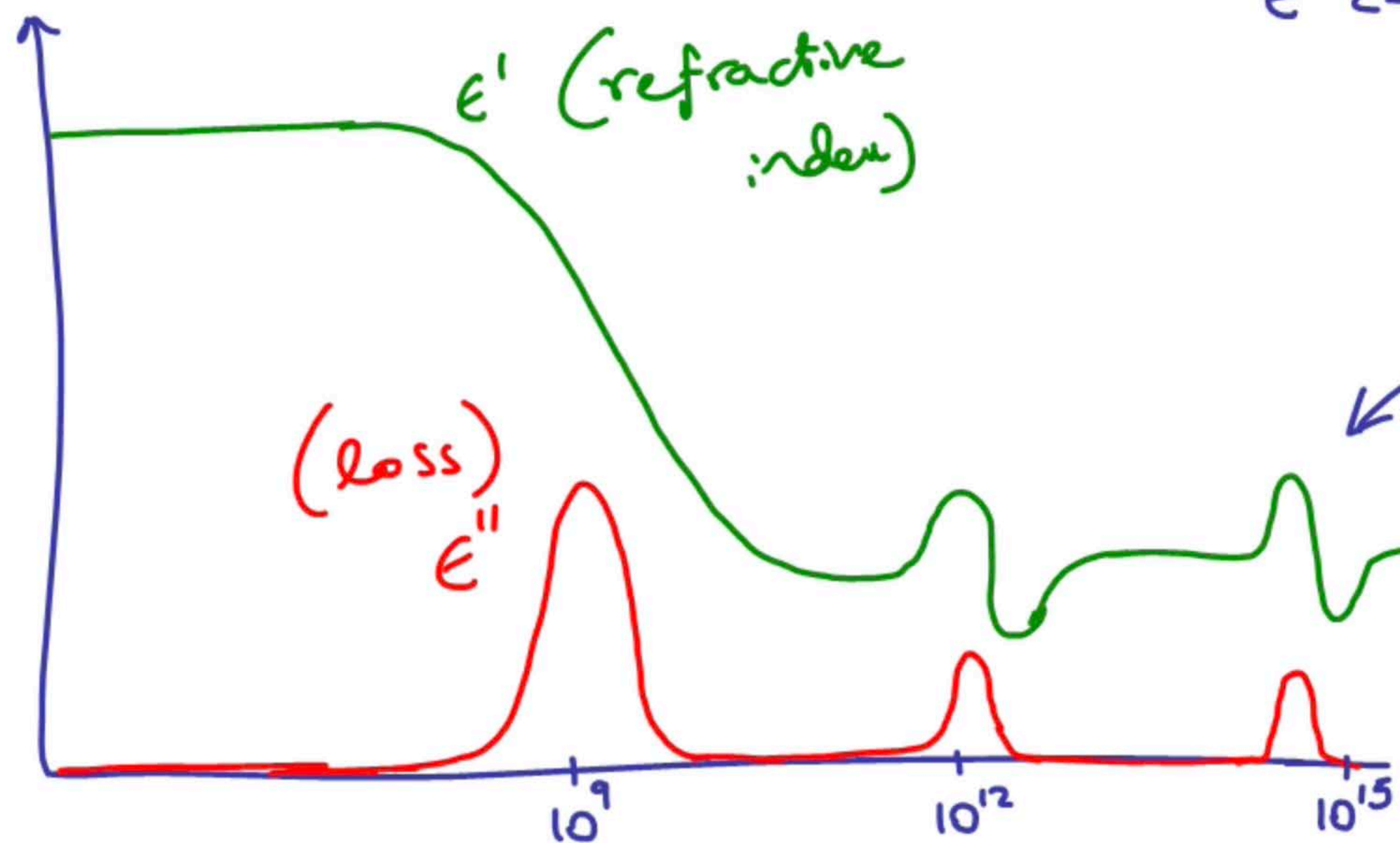
for time-periodic excitation ( $e^{j\omega t}$ ),

$$Z = \frac{-e/m E_{loc}}{\omega_0^2 - \omega^2 + j\omega \frac{d}{m}}$$

damping  
coeff.  
 $d/m$

resonance freq.  $\leftarrow$   
 $\omega_0 = \sqrt{\frac{s}{m}}$

$\epsilon' \Leftrightarrow \epsilon''$  (Kramers-Kronig relation)



Electronic  
Resonance

Frequency

Capture Effects Tools Help

Duration

1:13:47

Audio

Delete Pause Stop



Equation of motion,

$$m \cdot \frac{d^2x}{dt^2} + d \cdot \frac{dx}{dt} + \frac{1}{2} \dots$$

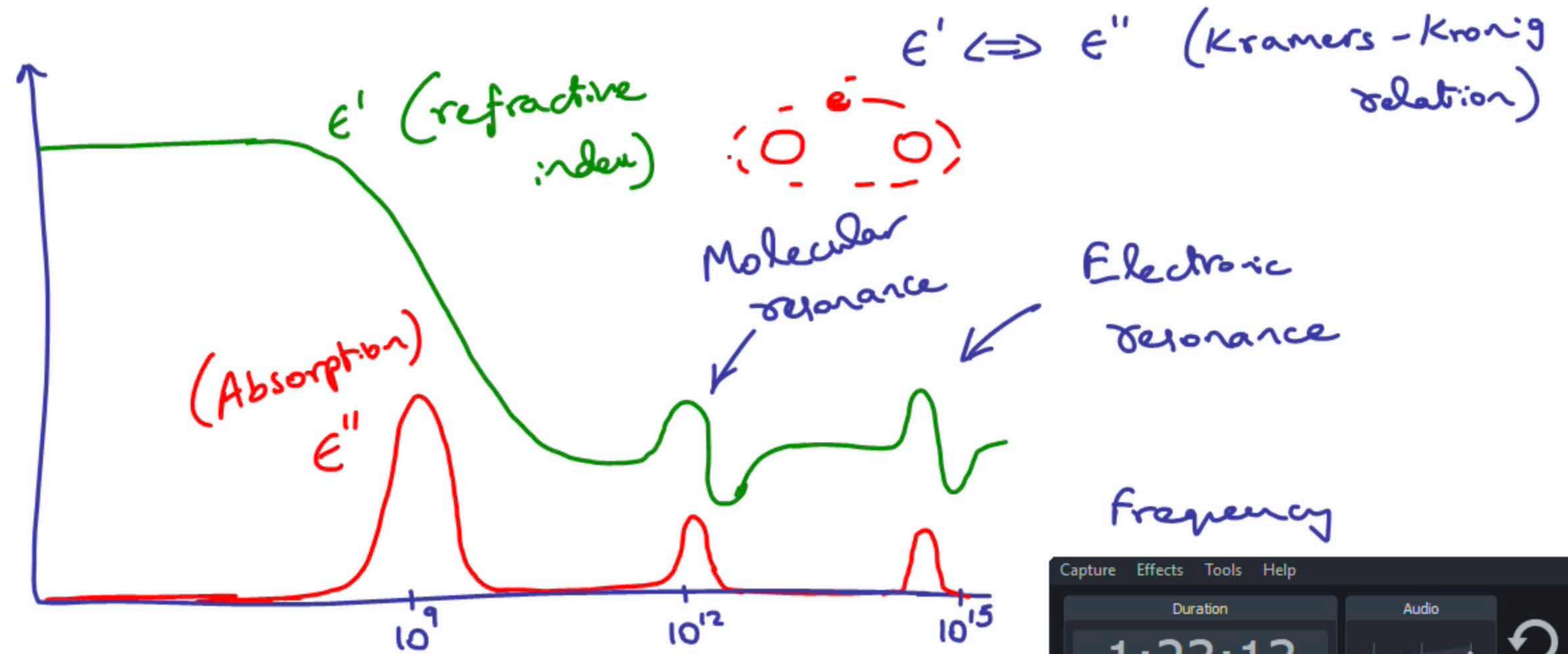
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Capture Effects Tools Help

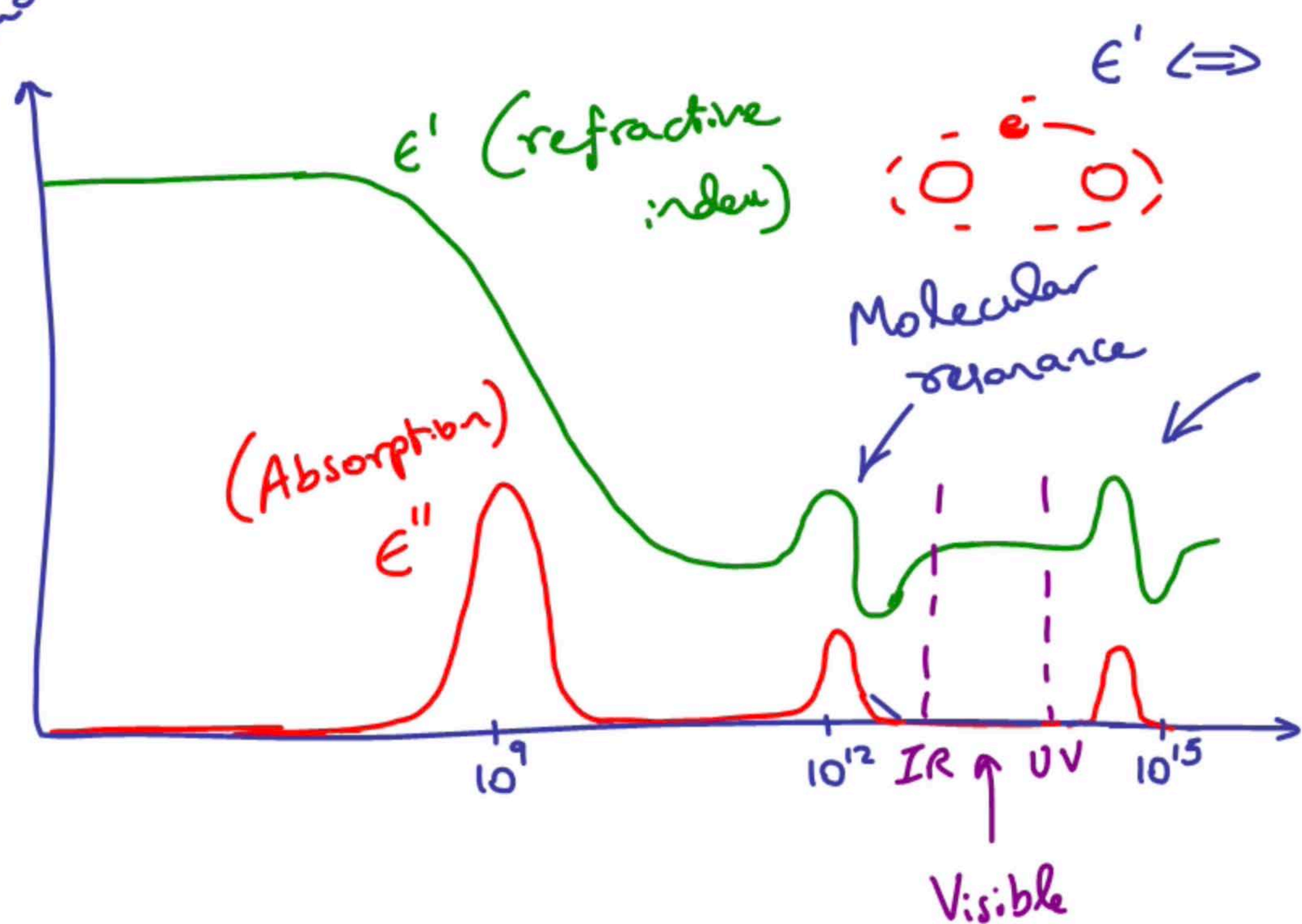
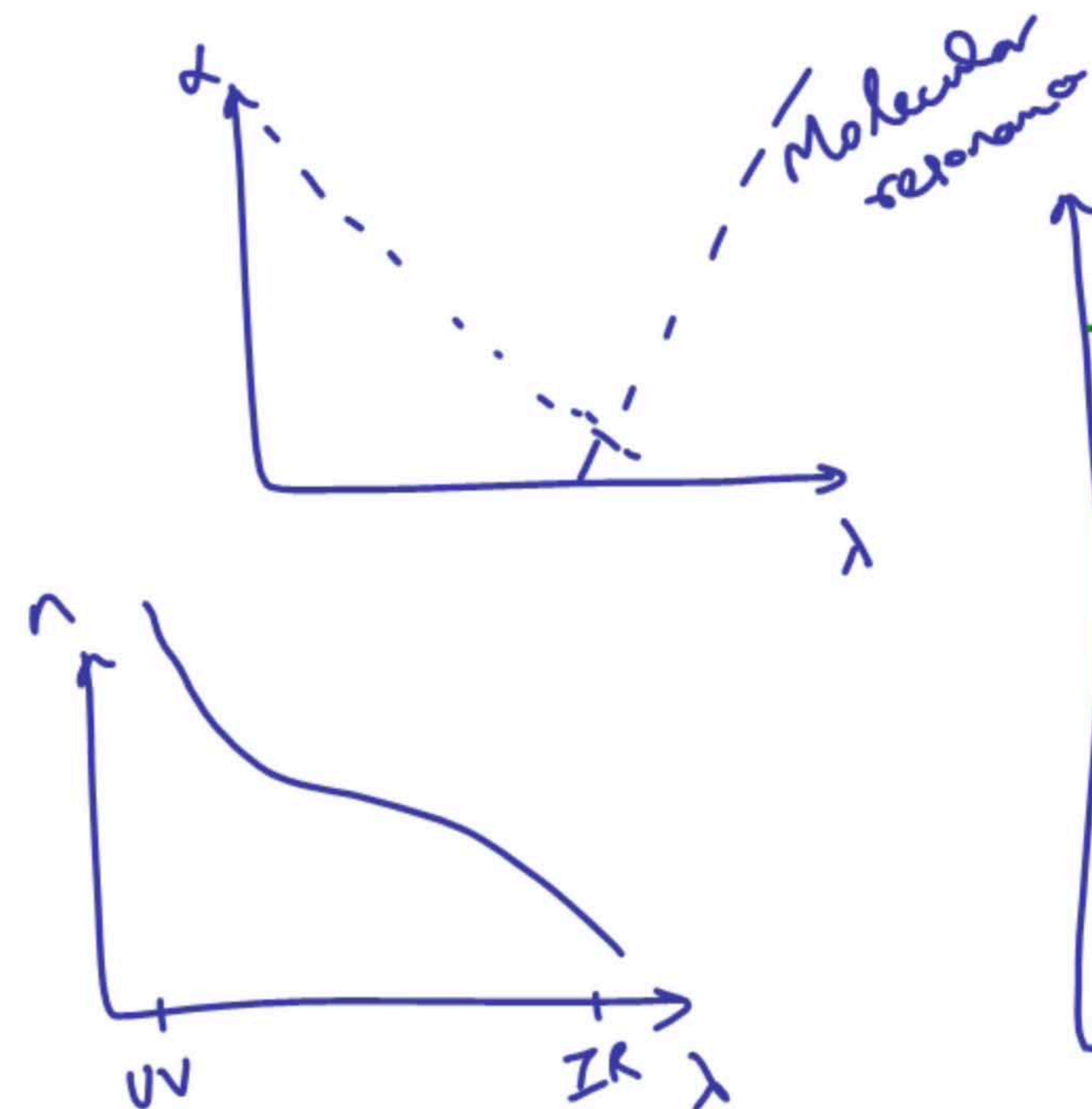
Duration

1:23:13

Audio

Delete Pause Stop

resonance freq.  $\leftarrow \omega_0 = \sqrt{\frac{s}{m}}$  damping coeff.  $d/m$



$\epsilon' \Leftrightarrow \epsilon''$  (Kramers-Kronig relation)



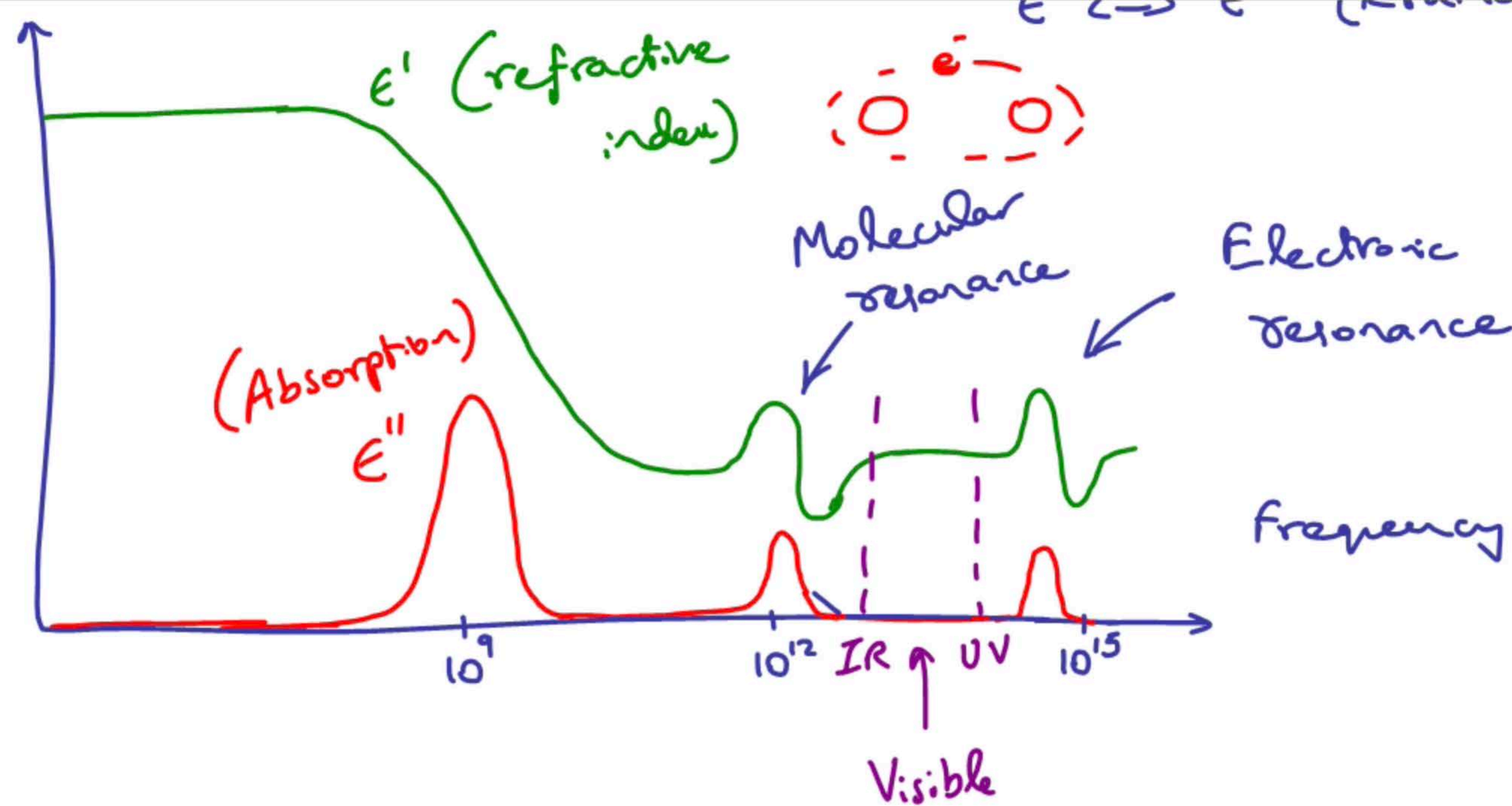
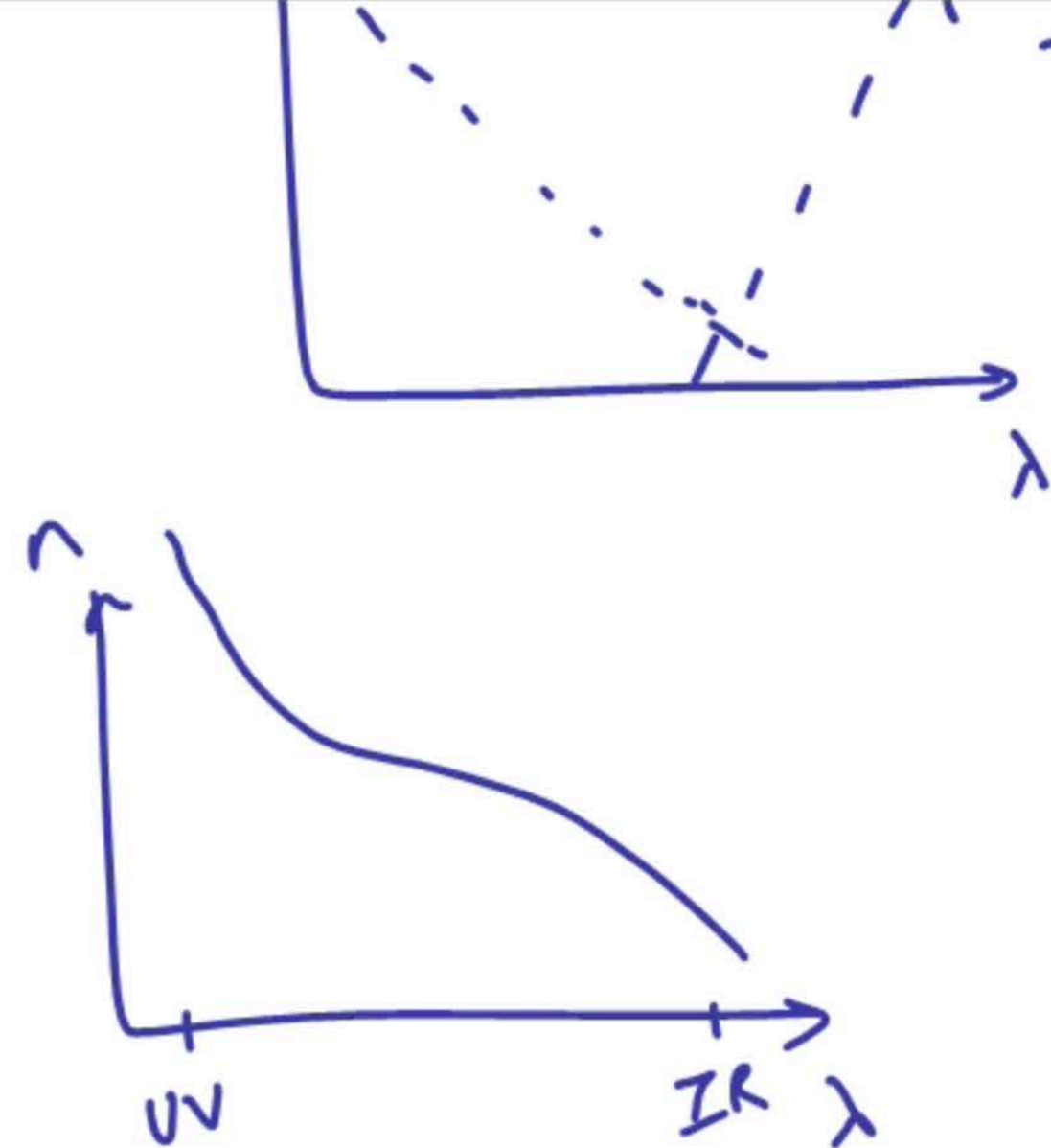
Molecular resonance

Electronic resonance

Frequency

Visible



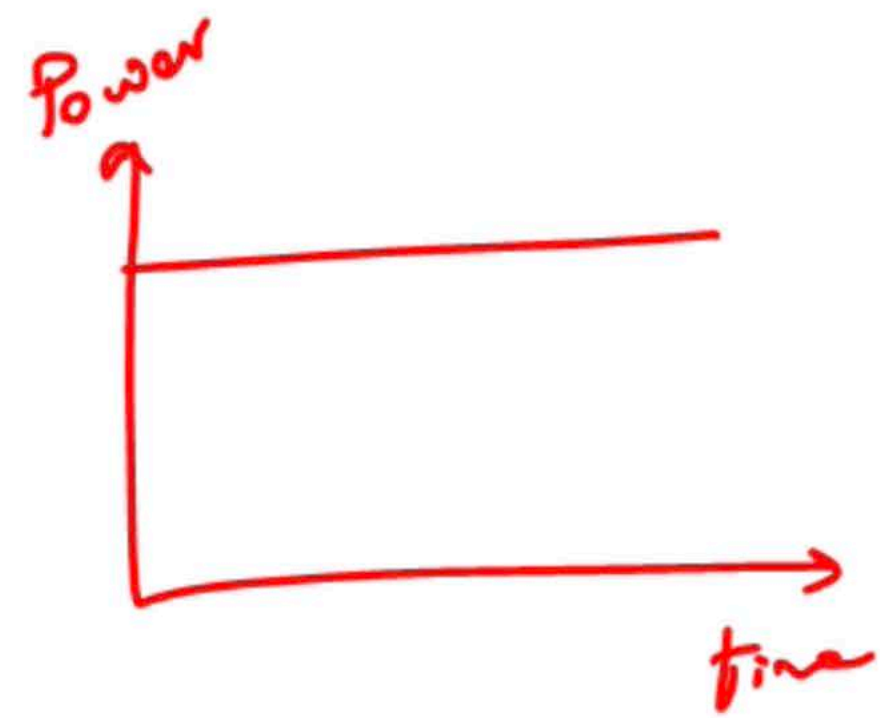


$$\begin{aligned}
 \vec{D} &= \epsilon_0 \epsilon_r \vec{E} \\
 &= \epsilon_0 (1 + \chi) \vec{E} \\
 &= \epsilon_0 \vec{E} + \vec{P}
 \end{aligned}$$

Electronic susceptibility

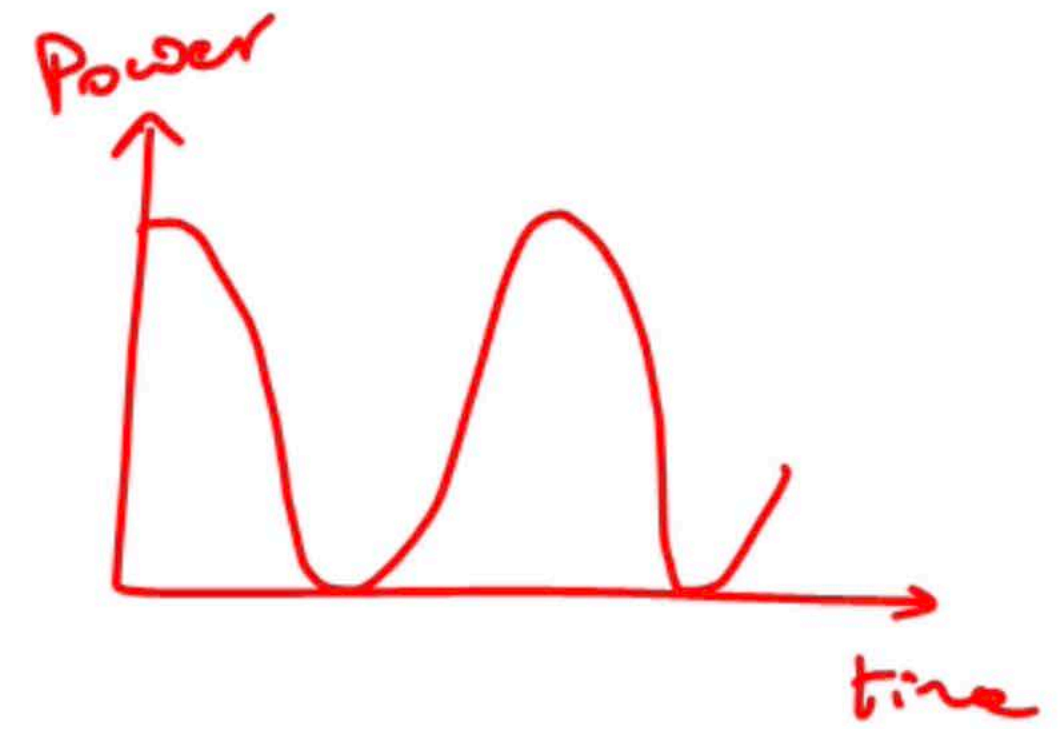
Polarization





Polarization  
RF Waves  
Acoustic waves

②



① Polarization :

For an EM wave propagating in +z direction

$$\vec{E}(x, y, z, t) = (\hat{a}_x E_x + \hat{a}_y E_y e^{j\phi}) e^{j(\omega t - \beta z)}$$



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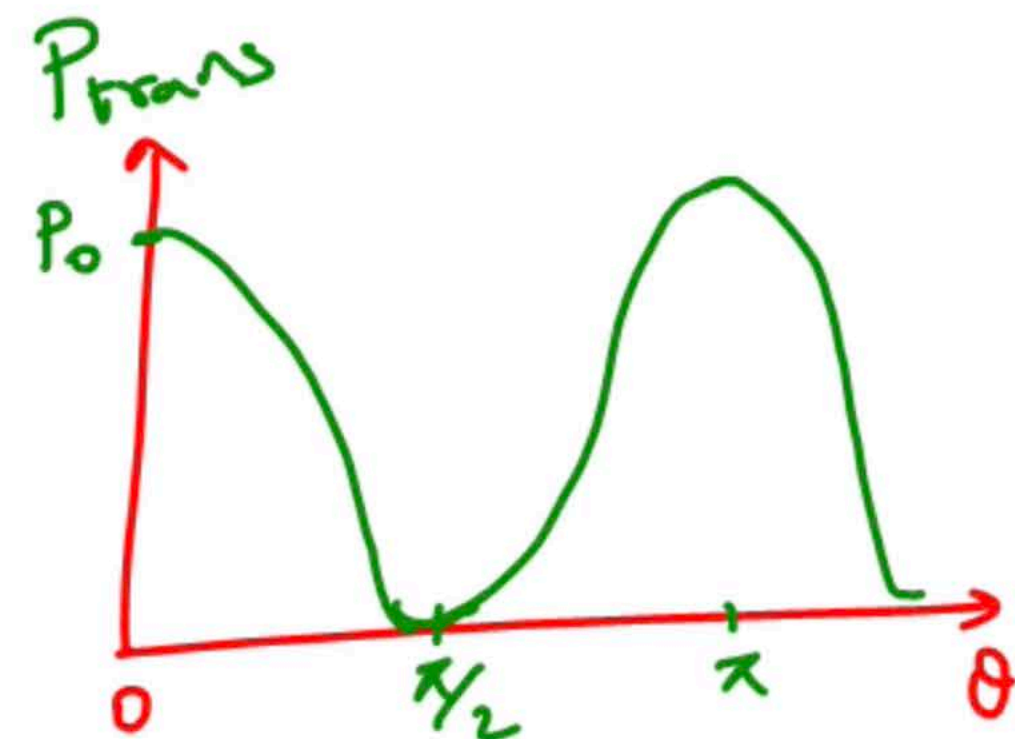
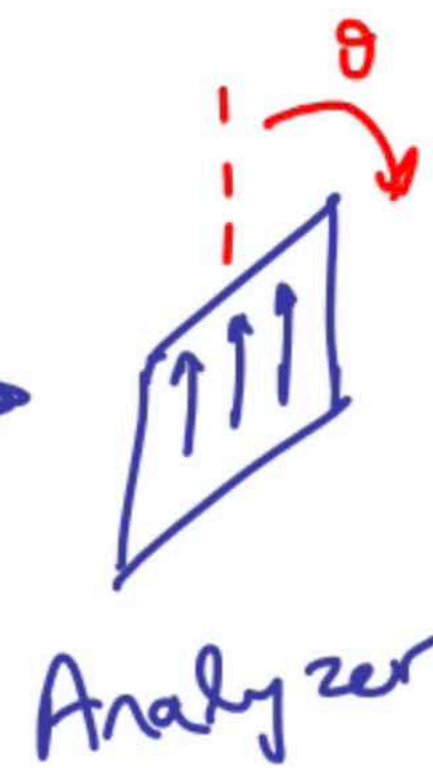
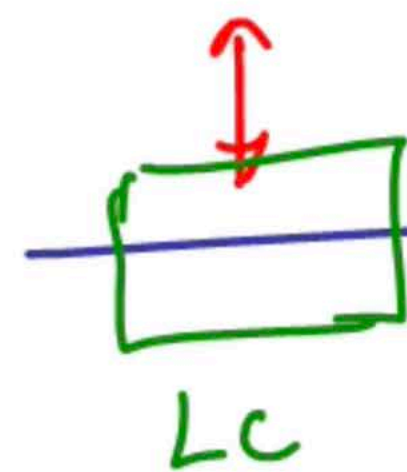
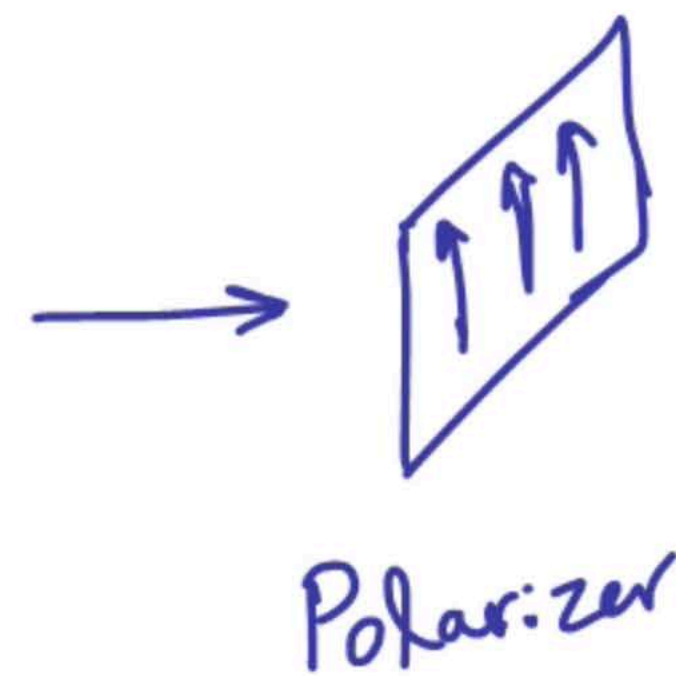
If  $\phi = 0 \Rightarrow$  Linear polarization  
( $E_x = E_y \Rightarrow \theta = 45^\circ$ )

If  $\phi = \pm \pi/2 \Rightarrow$  Circular polarization

$$E_x = E_y$$

Otherwise  $\Rightarrow$  Elliptical polarization





Malus's law

$$P_0 \cos^2 \theta$$

